

List of Active ATI Cases (updated 10/30/19)

	Case	Primary Docket	Related Dockets
1	American - Finnair	DOT-OST-2002-12063	Expanded in DOT-OST-2008-0252
	Oneworld (American - British Airways - Iberia - Finnair - Royal Jordanian)	DOT-OST-2008-0252	
2	American - Japan Airlines	DOT-OST-2010-0059	
3	American – Qantas	DOT-OST-2018-0030	
4	American – LAN – LAN Peru	DOT-OST-2004-19964	
5	Northwest - KLM	Docket 46731	Expanded in DOT-OST-2007-28644
	Delta - Air France/KLM - Alitalia - Czech Airlines	DOT-OST-2001-10429	Expanded in DOT-OST-2007-28644
	Delta - Korean Air - Air France/KLM - Alitalia – Czech	DOT-OST-2002-11842	Expanded in DOT-OST-2007-28644
	Delta - Northwest - Air France/KLM - Alitalia - Czech Airlines (SkyTeam 2)	DOT-OST-2007-28644	Expanded in DOT-OST-2013-0068
	Delta - Virgin Atlantic - Air France/KLM - Alitalia	DOT-OST-2013-0068	
6	Delta - Virgin Australia	DOT-OST-2009-0155	
7	Delta – Aeromexico	DOT-OST-2015-0070	
8	United – Lufthansa	DOT-OST-1996-1116	Expanded in DOT-OST-1996-1411
	United - Lufthansa – SAS	DOT-OST-1996-1411	Expanded in DOT-OST-1996-1434
	United - Air Canada	DOT-OST-1996-1434	Expanded in DOT-OST-2000-7828
	United - Austrian - Lufthansa – SAS	DOT-OST-2000-7828	Expanded in DOT-OST-2001-10575 and OST-2001-11029
	United - bmi - Austrian - Lufthansa - SAS	DOT-OST-2001-10575	Expanded in DOT- OST-2005-22922
	United - Lufthansa - SAS - Austrian - bmi - LOT - Swiss - TAP - Air Canada	DOT-OST-2005-22922	Expanded in DOT-OST-2008-0234
	United - Lufthansa - Air Canada - SAS - Austrian - bmi - LOT- Swiss - TAP	DOT-OST-2008-0234	
9	United - Air New Zealand	DOT-OST-1999-6680	
10	United – Copa	DOT-OST-2000-8577	
11	UA – Asiana	DOT-OST-2003-14202	
12	United - All Nippon Airways	DOT-OST-2009-0350	Expanded in DOT-OST-2010-0059
13	SAS - Iceland Air	DOT-OST-2000-7248	

Case Details:

Case Name (File Date)	American – Finnair (April 4, 2002)
Case Docket	DOT-OST-2002-12063
Show Cause Order (Issue Date)	
Final Order (Issue Date)	Order 2002-7-39 (July 30, 2002)
Conditions	None
Reporting	Full-itinerary Origin-Destination Survey of Airline Passenger Traffic for all passenger itineraries that include a United States point. Confidential progress report due annually in December.
Currently Active Docket	Case expanded in DOT-OST-2008-0252 .

Case Name (File Date)	American – British Airways - Iberia – Finnair – Royal Jordanian (Oneworld Transatlantic Case) (August 15, 2008)
Case Docket	DOT-OST-2008-0252
Show Cause Order (Issue Date)	Order 2010-2-8 (February 13, 2010)
Final Order (Issue Date)	Order 2010-7-8 (July 20, 2010) European Commission Case COMP/F-1/39.596 – BA/AA/IB
Conditions	The authority was granted subject to a slot remedy. For a period of 10 years from the date of approval, applicants must transfer four slot pairs at London Heathrow to competitors: 2 for Heathrow-Boston services and 2 for services between Heathrow and any U.S. city. Administered confidentially by the trustee Competition Rx on behalf of the European Commission. Slots are allocated each IATA season via confidential auction (see, e.g., notice for Summer Season 2020).
Reporting	Full-itinerary Origin-Destination Survey of Airline Passenger Traffic for all passenger itineraries that include a United States point. Confidential progress report due annually in December.

Case Name (File Date)	American – LAN – Lan Peru (December 22, 2004)
Case Docket	DOT-OST-2004-19964
Show Cause Order (Issue Date)	
Final Order (Issue Date)	Order 2005-10-8 (October 13, 2005)
Conditions	Excludes pricing, inventory or yield management coordination, or pooling of revenues, with respect to unrestricted coach class fares or any business or first class fares for local U.S. point-of-sale passengers flying nonstop on the following routes: <ul style="list-style-type: none"> • Miami and Lima • Miami and Santiago
Reporting	Full-itinerary Origin-Destination Survey of Airline Passenger Traffic for all passenger itineraries that include a United States point.
Other	The Joint Applicants filed an expanded application and requested to form a joint venture in Docket DOT-OST-2019-0054 . The application was withdrawn and the docket closed.

Case Name (File Date)	American – Japan Airlines (February 12, 2010)
Case Docket	DOT-OST-2010-0059
Show Cause Order (Issue Date)	Order 2010-10-4 (October 6, 2010)
Final Order (Issue Date)	Order 2010-11-10 (November 10, 2010)
Conditions	None
Reporting	Full-itinerary Origin-Destination Survey of Airline Passenger Traffic for all passenger itineraries that include a United States point. Confidential progress report due annually in December.
Other	This case was combined with the United – All Nippon Airways ATI application as a consolidated U.S. – Japan ATI case.

Case Name (File Date)	American – Qantas (February 26, 2018)
Case Docket	DOT-OST-2018-0030
Show Cause Order (Issue Date)	Order 2019-5-23 (June 3, 2019)
Final Order (Issue Date)	Order 2019-7-7 (July 19, 2019)
Conditions	None
Reporting	Full-itinerary Origin-Destination Survey of Airline Passenger Traffic for all passenger itineraries that include a United States point. Confidential progress report due annually in July.
Other	Self-assessment due six years and six months from approval (January 2027).

Case Name (File Date)	Northwest – KLM (September 9, 1992)
Case Docket	DOT-OST-1995-579
Show Cause Order (Issue Date)	Order 92-11-17 (November 20, 1992)
Final Order (Issue Date)	Order 93-1-11 (January 11, 1993)
Conditions	None
Reporting	Full-itinerary Origin-Destination Survey of Airline Passenger Traffic for all passenger itineraries that include a United States point. Confidential progress report due annually in December (see Docket DOT-OST-2007-28644).
Currently Active Docket	DOT-OST-2007-28644

Case Name (File Date)	Delta – Air France/KLM – Alitalia – Czech Airlines (August 15, 2001)
Case Docket	DOT-OST-2001-10429
Show Cause Order (Issue Date)	
Final Order (Issue Date)	Order 2002-1-6 (Jan. 18, 2002)
Conditions	Carve outs for U.S. point-of-sale time sensitive passengers in the Atlanta-Paris, and Cincinnati-Paris markets (removed by Order 2008-5-32).
Reporting	Full-itinerary Origin-Destination Survey of Airline Passenger Traffic for all passenger itineraries that include a United States point. Confidential progress report due annually in December.
Other	The carve outs were removed by Order 2008-5-32 (May 22, 2008) in Docket DOT-OST-2007-28644 . This alliance was expanded in Dockets DOT-OST-2002-11842 and DOT-OST-2007-28644 .
Currently Active Docket	DOT-OST-2007-28644

Case Name (File Date)	Delta – Korean Air – Air France/KLM – Alitalia – Czech Airlines (March 13, 2002)
Case Docket	DOT-OST-2002-11842
Show Cause Order (Issue Date)	
Final Order (Issue Date)	Order 2002-6-18 (June 27, 2002)
Conditions	None
Reporting	Full-itinerary Origin-Destination Survey of Airline Passenger Traffic for all passenger itineraries that include a United States point. Confidential progress report due annually in December (see Docket DOT-OST-2007-28644).
Other	Joint Venture between Delta and Korean Air approved by letter with conditions, DOT-OST-2002-11842-0021 (November 20, 2017). Order 2017-11-8 (November 17, 2017) denied motion of JetBlue to institute a <i>de novo</i> proceeding.
Currently Active Docket	DOT-OST-2007-28644

Case Name (File Date)	Delta – Northwest – Air France/KLM – Alitalia – Czech Airlines (SkyTeam 2) (June 28, 2007)
Case Docket	DOT-OST-2007-28644
Show Cause Order (Issue Date)	Order 2008-4-17 (April 9, 2008)
Final Order (Issue Date)	Order 2008-5-32 (May 22, 2008)
Conditions	None. Removed carve outs from Order 2002-1-6 .
Reporting	Full-itinerary Origin-Destination Survey of Airline Passenger Traffic for all passenger itineraries that include a United States point. Confidential progress report due annually in December.
Currently Active Docket	DOT-OST-2013-0068

Case Name (File Date)	Delta – Virgin Atlantic – Air France/KLM – Alitalia (April 8, 2013)
Case Docket	DOT-OST-2013-0068
Show Cause Order (Issue Date)	Order 2013-8-21 (August 30, 2013)
Final Order (Issue Date)	Order 2013-9-14 (September 23, 2013)
Conditions	None
Reporting	Full-itinerary Origin-Destination Survey of Airline Passenger Traffic for all passenger itineraries that include a United States point. Confidential progress report due annually in December.

Case Name (File Date)	Delta – Virgin Australia (July 9, 2009)
Case Docket	DOT-OST-2009-0155
Show Cause Order (Issue Date)	Order 2010-9-4 (September 8, 2010): tentatively denying antitrust immunity Order 2011-5-8 (May 10, 2011): tentatively granting antitrust immunity based upon substantial changes to the application
Final Order (Issue Date)	Order 2011-6-9 (June 10, 2011)
Conditions	Required adherence to stated capacity levels for a period of two years from issuance of the final order.
Reporting	Full-itinerary Origin-Destination Survey of Airline Passenger Traffic for all passenger itineraries that include a United States point. Confidential progress report due annually in December.

Case Name (File Date)	Delta – Aeromexico (March 31, 2015)
Case Docket	DOT-OST-2015-0070
Show Cause Order (Issue Date)	Order 2016-11-2 (Nov. 4, 2016)
Final Order (Issue Date)	Order 2016-12-13 (Dec. 14, 2016)
Conditions	Phase I: Order 2017-4-6 (April 10, 2017) Aeromexico slots at Mexico City (MEX) to: <ul style="list-style-type: none"> • JetBlue (2) • Southwest (1) • Alaska (2) • Volaris (2) • VivaAerobus (2) Delta slots at New York (JFK) to: <ul style="list-style-type: none"> • Interjet • Volaris See Notice DOT-OST-2017-0071 (May 5, 2017). Phase II: Order 2018-7-2 (July 3, 2018) Aeromexico slots at Mexico City (MEX) to: <ul style="list-style-type: none"> • Volaris (2) Delta slots at New York (JFK) to: <ul style="list-style-type: none"> • VivaAerobus
Reporting	Full-itinerary Origin-Destination Survey of Airline Passenger Traffic for all passenger itineraries that include a United States point. Confidential progress report due annually in December.
Other	Alliance approval expires in December 2021. Reapplication required.

Case Name (File Date)	United – Lufthansa (February 29, 1996)
Case Docket	DOT-OST-1996-1116
Show Cause Order (Issue Date)	Order 96-5-12 (May 9, 1996)
Final Order (Issue Date)	Order 96-5-27 (May 20, 1996)
Conditions	Carve outs imposed for U.S. point-of-sale nonstop O&D traffic in the Chicago-Frankfurt and Washington-Frankfurt markets.
Reporting	Full-itinerary Origin-Destination Survey of Airline Passenger Traffic for all passenger itineraries that include a United States point. Confidential progress report due annually in December.
Other	Case expanded in DOT-OST-1996-1411 .
Currently Active Docket	DOT-OST-2008-0234

Case Name	United – Lufthansa – SAS (May 28, 1996)
Case Docket	DOT-OST-1996-1411
Show Cause Order (Issue Date)	
Final Order (Issue Date)	Order 96-11-1 (November 1, 1996)
Conditions	None
Reporting	Full-itinerary Origin-Destination Survey of Airline Passenger Traffic for all passenger itineraries that include a United States point. Confidential progress report due annually in December.
Other	Case expanded in DOT-OST-1996-1434 .
Currently Active Docket	DOT-OST-2008-0234

Case Name (File Date)	United – Air Canada (June 4, 1996)
Case Docket	DOT-OST-1996-1434
Show Cause Order (Issue Date)	
Final Order (Issue Date)	Order 97-9-21 (September 19, 1997)
Conditions	Initial grant of ATI was for U.S. – Canada transborder markets only. The scope was expanded to global immunity by Order 2007-2-16 (February 13, 2007). Carve outs imposed for all U.S. point-of-sale traffic in the following markets: <ul style="list-style-type: none"> • Chicago – Toronto • San Francisco – Toronto
Reporting	Full-itinerary Origin-Destination Survey of Airline Passenger Traffic for all passenger itineraries that include a United States point. Confidential progress report due annually in December.
Other	Case expanded in DOT-OST-2000-7828 .
Currently Active Docket	DOT-OST-2008-0234

Case Name (File Date)	United – Austrian – Lufthansa – SAS (August 18, 2000)
Case Docket	DOT-OST-2000-7828
Show Cause Order (Issue Date)	
Final Order (Issue Date)	Order 2001-1-19 (January 26, 2001)
Conditions	None
Reporting	Full-itinerary Origin-Destination Survey of Airline Passenger Traffic for all passenger itineraries that include a United States point. Confidential progress report due annually in December.
Other	Case expanded in DOT-OST-2001-10575 and DOT-OST-2001-11029 .
Currently Active Docket	DOT-OST-2008-0234

Case Name (File Date)	United – bmi – Austrian – Lufthansa – SAS (September 5, 2001)
Case Docket	DOT-OST-2001-10575
Show Cause Order (Issue Date)	Order 2002-4-4 (April 4, 2002) (subject to U.S. – UK Open Skies within 6 months of issue date) Order 2002-10-6 (October 3, 2002) (subject to achieving U.S. – UK Open Skies by December 31, 2002) Order 2002-12-22 (December 31, 2002) (subject to achieving U.S. – UK Open Skies by June 30, 2003). Order 2003-6-39 (June 30, 2003) (Subject to achieving U.S. – UK open skies agreement).
Final Order (Issue Date)	Order 2007-9-12 (September 12, 2007) (authority granted effective March 30, 2008 (Docket DOT-OST-2001-11029))
Conditions	Case rulings were dependent on a U.S. – United Kingdom Open Skies Agreement, which came to fruition as a U.S. – EU Air Transportation Agreement that became effective March 30, 2008. Open Skies agreements fully liberalize aviation international markets and are requisite for antitrust immunity.
Reporting	Full-itinerary Origin-Destination Survey of Airline Passenger Traffic for all passenger itineraries that include a United States point. Confidential progress report due annually in December.
Other	Case expanded in DOT-OST-2005-22922 .
Currently Active Docket	DOT-OST-2008-0234

Case Name (File Date)	United – Lufthansa – Air Canada – SAS – Austrian – bmi – LOT – Swiss – TAP (November 4, 2005)
Case Docket	DOT-OST-2005-22922
Show Cause Order (Issue Date)	Order 2006-12-17 (December 19, 2006)
Final Order (Issue Date)	Order 2007-2-16 (February 13, 2007)
Conditions	None
Reporting	Full-itinerary Origin-Destination Survey of Airline Passenger Traffic for all passenger itineraries that include a United States point. Confidential progress report due annually in December.
Other	See also DOT-OST-1996-1434 , Order 2007-2-16 , because Air Canada is part of the immunized alliance. British carrier bmi ceased operations in 2012.
Currently Active Docket	DOT-OST-2008-0234

Case Name (File Date)	United - Lufthansa - Air Canada - SAS - Austrian - bmi - LOT- Swiss – TAP (July 23, 2008)
Case Docket	DOT-OST-2008-0234
Show Cause Order (Issue Date)	Order 2009-4-5 (April 7, 2009)
Final Order (Issue Date)	Order 2009-7-10 (July 10, 2009)
Conditions	Excludes all local O&D (origin – destination) U.S. point-of-sale passengers in the following markets: <ul style="list-style-type: none"> • Cleveland-Toronto • Houston-Calgary • Houston-Toronto • New York-Lisbon (applies to non-JV carriers only) • New York-Ottawa
Reporting	Full-itinerary Origin-Destination Survey of Airline Passenger Traffic for all passenger itineraries that include a United States point. Confidential progress report due annually in December.
Other	Brussels Airlines, a Lufthansa-controlled affiliate, added as a subsidiary by Order 2011-11-16 (Nov. 16, 2011). British carrier bmi ceased operations in 2012.

Case Name (File Date)	United – Air New Zealand (December 17, 1999)
Case Docket	DOT-OST-1999-6680
Show Cause Order (Issue Date)	Order 2001-3-4 (March 2, 2001)
Final Order (Issue Date)	Order 2001-4-2 (April 3, 2001)
Conditions	Excludes all local U.S. POS, time-sensitive travelers (unrestricted coach, business, or first-class fares): <ul style="list-style-type: none"> • Los Angeles-Auckland • Los Angeles-Sydney
Reporting	Full-itinerary Origin-Destination Survey of Airline Passenger Traffic for all passenger itineraries that include a United States point.

Case Name (File Date)	United – COPA (December 22, 2000)
Case Docket	DOT-OST-2000-8577
Show Cause Order (Issue Date)	
Final Order (Issue Date)	Order 2001-5-1 (May 3, 2001)
Conditions	None
Reporting	Full-itinerary Origin-Destination Survey of Airline Passenger Traffic for all passenger itineraries that include a United States point.
Other	The case was originally filed by Continental Airlines. United, upon merging with Continental, retained the antitrust immunity with approval from DOT in a route transfer proceeding.

Case Name (File Date)	United – Asiana (January 3, 2003)
Case Docket	DOT-OST-2003-14202
Show Cause Order (Issue Date)	
Final Order (Issue Date)	Order 2003-5-18 (May 14, 2003)
Conditions	None
Reporting	Full-itinerary Origin-Destination Survey of Airline Passenger Traffic for all passenger itineraries that include a United States point.

Case Name (File Date)	United – All Nippon Airways
Case Docket	DOT-OST-2010-0059
Show Cause Order (Issue Date)	Order 2010-10-4 (October 6, 2010)
Final Order (Issue Date)	Order 2010-11-10 (November 10, 2010)
Conditions	None
Reporting	Full-itinerary Origin-Destination Survey of Airline Passenger Traffic for all passenger itineraries that include a United States point. Confidential progress report due annually in December.
Other	Initial application filed in DOT-OST-2009-0350 . The proceedings were consolidated into DOT-OST-2010-0059 by Order 2010-3-10 (March 11, 2010). This docket also includes the American – Japan Airlines request for antitrust immunity.

Case Name (File Date)	SAS – Iceland Air (April 13, 2000)
Case Docket	DOT-OST-2000-7248
Show Cause Order (Issue Date)	
Final Order (Issue Date)	Order 2000-10-13 (October 13, 2000)
Conditions	None
Reporting	Full-itinerary Origin-Destination Survey of Airline Passenger Traffic for all passenger itineraries that include a United States point.