# Report on DOT Significant Rulemakings

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#### **Federal Aviation Administration**

Red

1. Revisions to Digital Flight Data Recorder Regulations for B-737
Airplanes and for Part 125 Operators

**Popular Title**: Digital Flight Data Recorder/B-737

RIN 2120-AG87 Stage: Final Rule

**Previous Stage:** NPRM: Publication Date 11/18/1999; End of Comment Period 12/20/1999; SNPRM Publication Date 09/05/06; End of Comment Period

12/04/06

**Abstract:** This rulemaking would amend the regulations governing flight data recorders to increase the number of digital flight data recorder parameters for all Boeing 737 series airplanes manufactured after August 18, 2000. This change is based on safety recommendations from the National Transportation Safety Board following its investigations of two accidents and several incidents involving 737s. This rulemaking would also adopt a prohibition on deviations from flight recorder requirements for all airplanes operated under part 125.

#### **Effects:**

None

**Prompting action:** None **Legal Deadline:** None

**Rulemaking Project Initiated:** 02/15/1999

Docket Number: FAA-1999-6482

**Dates for Final Rule:** 

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	05/29/2000	11/03/3000	10/25/2000
To OMB	06/28/2000	12/04/2000	12/26/2000
Returned by OMB			09/18/2001
Resubmitted to OST		04/30/2004	05/04/2004
Returned to Mode			09/08/2004
Resubmitted to OST/3		12/01/2004	11/08/2004
Resubmitted to OST/4			03/07/2005
Returned to Mode/2			06/13/2005

Resubmitted to OST/5		06/12/2008	06/20/2008
Resubmitted to OMB		09/21/2008	09/05/2008
OMB Clearance	07/28/2000	12/05/2008	10/31/2008
Publication Date	08/11/2000	12/15/2008	12/02/2008

Explanation for any delay: Unanticipated issues requiring further analysis

Federal Register Citation for Final Rule: 73 FR 73171

Federal Aviation Administration

## 2. Aging Aircraft Program (Widespread Fatigue Damage)

Red

Popular Title: Aging Aircraft Widespread Fatigue

RIN 2120-AI05
Stage: Final Rule

**Previous Stage:** NPRM: Publication Date 4/18/2006; End of Comment Period 7/17/2006; Extended Comment Period 7/17/2006; End of Extended Comment Period 9/18/2006.

**Abstract:** This rulemaking would require design approval holders to establish limits of validity (LOVs) of the engineering data that support the maintenance programs for certain transport category airplanes, and it would require them to determine if maintenance actions are needed to prevent widespread fatigue damage before an airplane reaches its LOV. This rulemaking would require operators of any affected airplane to incorporate the LOV into the airworthiness limitations section of the instructions for continued airworthiness. This rulemaking would also prohibit operation of an affected airplane beyond the LOV, unless an operator has incorporated an extended LOV and any necessary service information into its maintenance program.

#### **Effects:**

EU NAFTA Foreign

**Prompting action:** None

Legal Deadline: None

**Rulemaking Project Initiated:** 02/14/2004

Docket Number: FAA-2006-24281

Milestone	Originally	New	Actual
	Scheduled	Projected	Date
	Date	Date	
To OST	05/14/2007	02/20/2009	
To OMB	06/20/2007	03/20/2009	
OMB Clearance	09/20/2007	06/19/2009	
Publication	09/28/2007	06/30/2009	
Date			

Explanation for any delay: Unanticipated issues requiring further analysis

Federal Register Citation for Final Rule: None

Federal Aviation Administration

# 3. Washington, DC, Metropolitan Area Special Flight Rules Area Green

**Popular Title**: DC Flight Rules

RIN 2120-AI17
Stage: Final Rule

**Previous Stage:** NPRM: Publication Date: 08/04/2005; End of Comment Period 11/02/2005; Publication Date for Extension of Comment Period 11/07/2005; End of Extended Comment Period 02/06/2006.

**Abstract:** This rulemaking would codify restrictions for certain aircraft operations in the Washington, DC, Metropolitan Area. This action is necessary because of the ongoing threat of terrorist attacks. The FAA intends by this action to help the Department of Homeland Security and the Department of Defense protect national assets in the National Capital region. We are developing the rule in conjunction with the Department of Defense and Department of Homeland Security.

#### **Effects:**

**Economically Significant** 

Major

Regulatory Flexibility Act

**Prompting action:** None

Legal Deadline: None

**Rulemaking Project Initiated:** 08/18/2003

Docket Number: FAA-2004-17005

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	10/31/2008		10/30/2008
To OMB	11/26/2008		10/30/2008
OMB Clearance	01/08/2009	01/30/2009	12/03/2008
Publication Date	01/16/2009	02/06/2009	12/16/2009

**Explanation for any delay:** N/

A

Federal Register Citation for Final Rule: 73 FR 76195

Federal Aviation Administration

# 4. Part 145: Repair Stations--Ratings and Quality System

Red

**Popular Title**: Part 145

RIN 2120-AI53 Stage: Final Rule

Previous Stage: None

**Abstract:** This rulemaking would amend the regulations for repair stations by revising the system of ratings and requiring repair stations to establish a quality program. This rulemaking would add changes critical to maintaining safety. These include: requiring a repair station to maintain a capability list; requiring a repair station to designate a chief inspector; requiring permanent housing for all repair stations for their facilities, equipment, materials, and personnel; and identifying reasons for denying a repair station certificate, especially when a previously held certificate has been revoked. In addition, the rulemaking would clarify recent revisions to the repair station regulations. This action is necessary to reflect changes in aviation technology and repair station business practices.

#### **Effects:**

EU

NAFTA

Foreign

**Prompting action:** None

Legal Deadline: None

**Rulemaking Project Initiated:** 09/18/2001

**Docket Number:** FAA-2006-26408

Milestone	Originally	New	Actual
	Scheduled	Projected	Date
	Date	Date	
To OST	11/18/2008	02/13/2009	
To OMB	01/20/2009	04/14/2009	
OMB Clearance	03/20/2009	06/16/2009	
Publication	03/25/2009	06/24/2009	
Date			

**Explanation for any delay:** Awaiting development of additional data

Federal Register Citation for Final Rule: None

Federal Aviation Administration

## 5. **Production and Airworthiness Approvals**

Red

**Popular Title**: Production and Airworthiness Approvals

RIN 2120-AI78
Stage: Final Rule

**Previous Stage:** NPRM: Publication Date 10/05/2006; End of Comment Period 01/03/2007; Extended Comment Period Publication Date 12/20/06; Extended

Comment Period Date 02/05/2007

**Abstract:** This rulemaking would change certification procedures and identification requirements for aeronautical products and parts. The changes would address standardizing requirements for production approval holders; requiring production approval holders to issue airworthiness approvals for aircraft engines, propellers, and other aviation parts; requiring manufacturers to mark all parts and components; and revising export airworthiness approval requirements to facilitate global manufacturing. The intent of these changes is to promote safety by ensuring that aircraft, and parts designed specifically for use in aircraft, wherever manufactured, meet applicable standards. This action is also necessary to update our regulations to reflect the current gobal aircraft and aircraft parts manufacturing environment.

#### **Effects:**

Regulatory Flexibility Act Information Collection

**Prompting action:** None **Legal Deadline:** None

**Rulemaking Project Initiated:** 06/04/2001

Milestone	Originally	New	Actual
	Scheduled	Projected	Date
	Date	Date	
To OST	10/27/2008	01/02/2009	
To OMB	11/28/2008	03/02/2009	
OMB Clearance	02/26/2009	06/02/2009	
Publication	03/13/2009	06/16/2009	
Date			

Explanation for any delay: Additional coordination necessary

Federal Register Citation for Final Rule: None

Federal Aviation Administration

6. Commuter Operations in Very Light Jets (VLJs)

Red

Popular Title: VLJ RIN 2120-AI84

Stage: NPRM

Previous Stage: None

**Abstract:** This rulemaking would establish a rule to allow passenger-carrying commuter operations to be conducted under the provisions of part 135 using multiengine turbojets, certificated under either part 23 or part 25, configured with 9 or fewer passenger seats. The rulemaking would allow multiengine turbojet operators to provide commuter service to the traveling public, thus accommodating new technologies and a new generation of turbojet airplanes that otherwise would not be allowed in part 135 commuter service. Since 1995, turbojets used in scheduled operations must operate under the provisions of part 121. This current rulemaking resulted, in part, from recommendations from the Aviation Rulemaking Committee for parts 14 CFR 135/125 and covers pilot crew, equipment, training, and dispatch requirements for the safe operation of this new generation airplane.

#### **Effects:**

None

**Prompting action:** None **Legal Deadline:** None

**Rulemaking Project Initiated:** 03/15/2006

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	12/29/2006	03/25/2009	
To OMB	01/31/2007	04/27/2009	
OMB Clearance	02/27/2007	07/27/2009	
Publication Date	03/13/2007	08/13/2009	
End of Comment Period	06/13/2007	11/13/2009	

Explanation for any delay: Additional coordination necessary

Federal Register Citation for NPRM: None

Federal Aviation Administration

# 7. **Re-registration and Renewal of Aircraft Registration** Black

Popular Title: Re-registration & Renewal of Aircraft Registration

**RIN 2120-AI89** 

**Stage:** Undetermined

Previous Stage: NPRM: Publication Date 2/28/2008; End of Comment Period

8/28/2008

**Abstract:** This rulemaking would amend requirements concerning the registration of aircraft. The rulemaking is based on the need to increase and maintain the accuracy of aircraft registration information in the Civil Aviation Registry. This rulemaking would ensure aircraft owners periodically provide information regarding changes in registration. The rulemaking would respond to the concerns of law enforcement and other government agencies and would provide more accurate, up-to-date aircraft registration information to all users of the Civil Aviation Registry database.

#### **Effects:**

Information Collection

**Prompting action:** None **Legal Deadline:** None

**Rulemaking Project Initiated:** 11/30/2004

**Docket Number:** FAA-2008-0188

#### **Dates for Undetermined:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST			
To OMB			
OMB Clearance			
Publication Date			
End of Comment Period			

**Explanation for any delay:** N/

Α

Federal Register Citation for Undetermined: None

Federal Aviation Administration

## 8. **Activation of Ice Protection**

Black

Popular Title: Activation of Ice Protection

RIN 2120-AI90 Stage: Final Rule

Previous Stage: NPRM: Publication Date 04/26/2007; End of Comment Period

07/25/2007

**Abstract:** This rulemaking would amend the airworthiness standards applicable to transport category airplanes certificated for flight in icing conditions. The standards would require a means to ensure timely activation of the airframe ice protection system. This rulemaking is the result of information gathered from a review of icing accidents and incidents, and is intended to improve the level of safety for new airplane designs when operating in icing conditions.

#### **Effects:**

EU

NAFTA

Foreign

**Prompting action:** None **Legal Deadline:** None

**Rulemaking Project Initiated:** 03/28/2006

Docket Number: FAA-2007-27653

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST			12/05/2008
To OMB		02/19/2009	
OMB Clearance		05/19/2009	
Publication Date		06/02/2009	

**Explanation for any delay:** N/

A

Federal Register Citation for Final Rule: None

Federal Aviation Administration

9. **Automatic Dependent Surveillance - Broadcast (ADS-B) Equipage Mandate To Support Air Traffic Control Service**Black

**Popular Title**: ADS-B

**RIN 2120-AI92** 

**Stage:** Undetermined

**Previous Stage:** NPRM: Publication Date 10/05/2007; End of Comment Period 11/19/07; Comment Period Extended 01/03/2008; End of Extended Comment Period 03/03/2008.

Abstract: This rulemaking would require Automatic Dependent Surveillance - Broadcast (ADS-B) Out equipment on aircraft to operate in certain classes of airspace within the United States National Airspace System. The rulemaking is necessary to accommodate the expected increase in demand for air transportation, as described in the Next Generation Air Transportation System Integrated Plan. The intended effect of this rule is to provide the Federal Aviation Administration with a comprehensive surveillance system that accommodates the anticipated increase in operations and would provide a platform for additional flight applications and services.

#### **Effects:**

**Economically Significant** 

Major

Regulatory Flexibility Act

EU

**NAFTA** 

Foreign

**Prompting action:** None **Legal Deadline:** None

Rulemaking Project Initiated: 09/26/2006

Docket Number: FAA-2007-29305

**Dates for Undetermined:** 

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST			
To OMB			
OMB Clearance			
Publication Date			
End of Comment Period			

**Explanation for any delay:** N/

A

Federal Register Citation for Undetermined: None

Federal Aviation Administration

# 10. Flight Crewmember Duty Limitations and Rest Requirements Black

Popular Title: Duty Limitations And Rest Requirements

**RIN 2120-AI93** 

Stage: Undetermined

**Previous Stage:** NPRM: Publication Date 12/20/1995; End of Comment Period 03/19/1996; Comment Period Extended 3/20/1996; End of Extended Comment Period 6/19/1996.

**Abstract:** This rulemaking would amend the regulations on duty period limitations, flight time limitations, and rest requirements for flight crewmembers engaged in air transportation. The changes would respond to the need to ensure that the rules will continue to provide the minimum level of safety. This rulemaking responds to public and congressional interest in regulating flight crewmember rest requirements, NTSB Safety Recommendations, petitions for rulemaking, and scientific data. This action is considered significant because of substantial public interest. The FAA is considering proposing additional changes in response to comments received on the NPRM.

#### **Effects:**

**Economically Significant** 

Major

**Unfunded Mandate** 

Regulatory Flexibility Act

**Prompting action:** None **Legal Deadline:** None

**Rulemaking Project Initiated:** 11/28/1995

**Docket Number:** 

#### **Dates for Undetermined:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST			
To OMB			
OMB Clearance			
Publication Date			
End of Comment Period			

**Explanation for any delay:** N/A

## Federal Register Citation for Undetermined: None

Federal Aviation Administration

11. Qualification, Service, and Use of Crewmembers and Aircraft Dispatchers Red

Popular Title: Part 121, Subparts N and O

RIN 2120-AJ00 Stage: NPRM

Previous Stage: None

**Abstract:** This rulemaking would amend the regulations for crewmember and dispatcher training programs in domestic, flag, and supplemental operations. The rulemaking would enhance traditional training programs by requiring the use of flight simulation training devices for flight crewmembers and including additional training requirements in areas that are critical to safety. The rulemaking would also reorganize and revise the qualification and training requirements. The changes are intended to contribute significantly to reducing aviation accidents.

#### **Effects:**

Regulatory Flexibility Act Information Collection

**Prompting action:** None **Legal Deadline:** None

**Rulemaking Project Initiated:** 03/12/1999

**Docket Number: Dates for NPRM:** 

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	04/27/2007	09/28/2007	10/03/2007
To OMB	05/29/2007	03/03/2008	03/06/2008
OMB Clearance	08/29/2007	07/03/2008	06/06/2008
Publication Date	09/10/2007	01/12/2009	
End of Comment Period	01/10/2008	05/12/2009	

**Explanation for any delay:** Additional coordination necessary

Federal Register Citation for NPRM: None

Federal Aviation Administration

# 12. Part 121 Pilot Age Limit

Red

**Popular Title**: Age 65

RIN 2120-AJ01 Stage: Final Rule

Previous Stage: None

**Abstract:** This rulemaking would amend the Code of Federal Regulations to bring it into conformance with recent legislation raising the upper age limit for pilots serving in domestic, flag, and supplemental operations until they reach their 65th birthday. Congress enacted legislation, effective December 13, 2007, mandating an Age-65 limit for pilots for purposes of Title 49 USC.

#### **Effects:**

Economically Significant

Major

**Prompting action:** None **Legal Deadline:** None

**Rulemaking Project Initiated:** 01/30/2007

Docket Number: FAA-2006-26139

**Dates for Final Rule:** 

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	03/28/2008		06/26/2008
Returned to Mode			10/01/2008
Resubmitted to OST			10/03/2008
Returned to Mode/2			10/31/2008
Resubmitted to OST/2		11/19/2008	11/17/2008
Returned to Mode/3			12/19/2008
To OMB	04/29/2008	02/12/2009	
OMB Clearance	07/29/2008	04/12/2009	
Publication Date	08/07/2008	04/26/2009	

Explanation for any delay: Additional coordination necessary

Federal Register Citation for Final Rule: None

Federal Aviation Administration

# 13. Safety Management Systems (SMS)

Yellow

**Popular Title: SMS** 

RIN 2120-AJ15 Stage: ANPRM

Previous Stage: None

**Abstract:** This rulemaking would require a Safety Management System (SMS) for FAA certificate holders, certain product manufacturers, applicants, and employers. This action is being taken to further enhance the practice of managing safety, conducting risk and system assessments and analysis, undertaking preventive and corrective actions, and creating a safety culture. The intended effect of this action would make the United States compliant with International Civil Aviation Organization (ICAO) annexes.

#### **Effects:**

**Information Collection** 

**Prompting action:** None **Legal Deadline:** None

**Rulemaking Project Initiated:** 09/25/2007

Docket Number:
Dates for ANPRM:

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	11/25/2008	01/05/2009	
To OMB	12/26/2008	03/05/2009	
OMB Clearance	03/26/2009	06/05/2009	
Publication Date	04/08/2009	06/19/2009	
End of Comment Period	06/08/2009	09/21/2009	

**Explanation for any delay:** N/

A

Federal Register Citation for ANPRM: None

Federal Aviation Administration

	Pilot-in-Command Proficiency Check in Single-Piloted	Black
14.	Turboject Airplanes	

Popular Title: PIC Proficiency

RIN 2120-AJ18 Stage: NPRM

Previous Stage: None

**Abstract:** This rule would require proficiency checks for pilots in command (PIC) of single-piloted turbojet powered airplanes, allow pilot schools to use Internet-based training without requiring schools to have a physical ground training facility, allow pilot schools and provisional pilot schools to apply for a combined private pilot certification and instrument rating course, and revise the definitions of "complex airplane" and "high performance airplane." FAA believes these actions are necessary to respond to changes in the aviation industry and to avoid imposing unnecessary regulatory burdens. The intended effect of this rule would be to ensure flight crewmembers have the training and qualifications necessary to operate aircraft safely.

#### **Effects:**

**Information Collection** 

**Prompting action:** None **Legal Deadline:** None

**Rulemaking Project Initiated:** 07/24/2007

Docket Number: Dates for NPRM:

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST		12/12/2008	12/22/2008
To OMB		03/09/2009	
OMB Clearance		06/09/2009	
Publication Date		06/23/2009	
End of Comment Period		09/23/2009	

**Explanation for any delay:** N/

A

Federal Register Citation for NPRM: None

Federal Aviation Administration

# 15. Certification of Turbojets

Black

Popular Title: Turbojets

RIN 2120-AJ22 Stage: NPRM

Previous Stage: None

**Abstract:** This rule would amend safety and applicability standards of the jet industry to reflect the current needs of the industry, accommodate future trends, address emerging technologies, and provide for future aircraft operations. These changes would standardize and streamline the certification of light jets, clarify areas of frequent non-standardization and misinterpretation, particularly for electronic equipment and system certification, and codify certification requirements that currently exist in special conditions for new jet aircraft that incorporate new technologies in part 23. With these changes, the FAA would be able to better manage and assign resources to the certification of other safety enhancing technologies in 14 CFR part 23 airplanes.

#### **Effects:**

None

**Prompting action:** None **Legal Deadline:** None

**Rulemaking Project Initiated:** 01/02/2008

#### **Docket Number:**

#### **Dates for NPRM:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST		02/19/2009	
To OMB		03/19/2009	
OMB Clearance		06/19/2009	
Publication Date		07/06/2009	
End of Comment Period		10/06/2009	

**Explanation for any delay:** N/

A

Federal Register Citation for NPRM: None

Federal Aviation Administration

16. Crewmember Requirements When Passengers Are Onboard

Black

**Popular Title**: Crewmember Requirements

RIN 2120-AJ30 Stage: NPRM

Previous Stage: None

**Abstract:** This rulemaking would allow one required flight attendant to deplane during passenger boarding, conduct safety-related duties, and permit a reduction of flight attendants remaining onboard the airplane during passenger deplaning. Currently, during passenger boarding and deplaning, all flight attendants are required to be onboard the airplane and this frequently complicates a flight attendant's ability to complete other safety-related duties required by FAA regulations, and may lead to unintended negative consequences.

#### **Effects:**

None

**Prompting action:** None **Legal Deadline:** None

**Rulemaking Project Initiated:** 01/21/2005

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST		12/22/2008	12/22/2008
To OMB		03/09/2009	
OMB Clearance		06/09/2009	
Publication Date		06/23/2009	
End of Comment Period		08/24/2009	

**Explanation for any delay:** N/

A

Federal Register Citation for NPRM: None

Federal Aviation Administration

17. Crew Resources Management Training for Crewmembers and Flight Followers in Part 135 Operations

Yellow

**Popular Title**: CRM Training for Part 135 Operations

RIN 2120-AJ32 Stage: NPRM

Previous Stage: None

**Abstract:** This rulemaking would require Crew Resource Management (CRM) training for all pilots and flight attendants in 14 CFR part 135 operations. CRM training teaches crewmembers to effectively use all resources available to them (e. g. hardware, software, and all persons involved in aircraft operation) to achieve safe and efficient flight operations. This rulemaking is needed to ensure that all crewmembers in part 135 operations receive training and practice in the use of CRM principles, as appropriate for their operation. The intended effect of this rulemaking is to reduce accidents and incidents within the scope of part 135 operations.

#### **Effects:**

None

**Prompting action:** None **Legal Deadline:** None

**Rulemaking Project Initiated:** 05/01/2007

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	12/01/2008	02/06/2008	
To OMB	01/02/2009	03/06/2009	
OMB Clearance	04/02/2009	06/08/2009	
Publication Date	04/17/2009	06/22/2009	
End of Comment Period	06/16/2009	08/24/2009	

Explanation for any delay: N/

Α

Federal Register Citation for NPRM: None

Federal Aviation Administration

18. Flightcrew Alerting (Formerly Warning, Caution and Advisory Lights)

Black

**Popular Title**: Flightcrew Alerting

RIN 2120-AJ35 Stage: NPRM

Previous Stage: None

**Abstract:** This rulemaking would amend the airworthiness standards for transport category airplanes regarding definitions, prioritization, color requirements, and performance. These changes would update the latest technology and functionality concerning flightcrew alerting. This rulemaking is necessary to add additional alerting functions, and to consolidate and standardize definitions and regulations for flightcrew warning, caution, and advisory systems.

#### **Effects:**

Regulatory Flexibility Act

**Prompting action:** None

Legal Deadline: None

**Rulemaking Project Initiated:** 09/26/2000

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST		12/08/2008	12/08/2008
To OMB		03/02/2009	
OMB Clearance		06/02/2009	
Publication Date		06/16/2009	
End of Comment Period		08/17/2009	

**Explanation for any delay:** N/

A

Federal Register Citation for NPRM: None

Federal Aviation Administration

19. **Post Employment Restrictions Applicable to FAA Aviation** Green **Safety Inspectors** 

**Popular Title**: Post employment Restrictions

RIN 2120-AJ36 Stage: NPRM

Previous Stage: None

**Abstract:** This rulemaking would prohibit a certificate holder from employing or contracting with a former Aviation Safety Inspector (ASI) or other person with certificate holder oversight responsibilities to act as an agent or to represent that certificate holder in any matter before the FAA. This restriction would apply if the person, in the proceeding two year period has (a) served as, or was responsible for oversight of, a Flight Standards Service ASI; and (b) had the responsibility to inspect, or oversee the inspection of, the operations of the certificate holder. The rulemaking would enhance the FAA's ability to properly perform its safety mission and to ensure that every passenger can have complete confidence in the integrity of the programs and operations administered by the FAA.

#### **Effects:**

None

**Prompting action:** None **Legal Deadline:** None

**Rulemaking Project Initiated:** 06/16/2008

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	03/17/2009		
To OMB	04/17/2009		
OMB Clearance	07/17/2009		
Publication Date	07/31/2009		
End of Comment Period	08/31/2009		

**Explanation for any delay:** N/

Α

Federal Register Citation for NPRM: None

Federal Aviation Administration

# 20. **Drug and Alcohol Testing Program**

Black

Popular Title: Drug and Alcohol Testing Program

**RIN 2120-AJ37** 

Stage: Undetermined Previous Stage: None

**Abstract:** This action would amend the FAA's drug and alcohol regulations by placing them in a designated location within the regulations. This action would simplify locating specific provisions and changes to those provisions for individuals and entities required to comply with the FAA's drug and alcohol testing requirements. The FAA is not making any substantive changes to the drug and alcohol regulations in this rulemaking.

#### **Effects:**

None

**Prompting action:** None

Legal Deadline: None

**Rulemaking Project Initiated:** 02/12/2008

#### **Dates for Undetermined:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST			
To OMB			
OMB Clearance			
Publication Date			
End of Comment Period			

**Explanation for any delay:** N/

Α

Federal Register Citation for Undetermined: None

**Federal Highway Administration** 

Federal Highway Administration

# 21. Express Lane Demonstration Project

Red

Popular Title: Tolling

RIN 2125-AF07 Stage: Final Rule

**Previous Stage:** NPRM: 09/20/07 End of comment period: 11/19/07 **Abstract:** Pursuant to SAFETEA-LU, this rulemaking would establish requirements, standards, or performance specifications for automated toll collection systems implemented under the Express Lanes Demonstration Project.

**Effects:** 

None

Prompting action: Statute

**Legal Deadline:** Complete rulemaking: 02/06/2006

**Rulemaking Project Initiated:** 08/10/2005

Docket Number: FHWA-2006-23597

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	06/23/2008	10/10/2008	10/10/2008
Returned to Mode		12/02/2008	12/02/2008
To OMB	07/23/2008	03/01/2009	
OMB Clearance	10/23/2008	06/01/2009	
Publication Date	11/07/2008	06/07/2009	

Explanation for any delay: Additional coordination necessary

Federal Register Citation for Final Rule: None

Federal Highway Administration

# 22. Real-Time System Management Information Program

Red

**Popular Title**: Real-Time Management

RIN 2125-AF19 Stage: NPRM

Previous Stage: None

**Abstract:** This action would establish a real-time system management information program to provide, in all States, the capability to monitor, in real-time, the traffic and travel conditions of the major highways of the United States. Section 1201 of the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU) provides that the purpose of this program would be to improve the security of the surface transportation system, to address the congestion problems facing motorists, to support improved response to weather events and surface transportation incidents and to facilitate national and regional highway traveler information.

#### **Effects:**

Economically Significant Major

**Prompting action:** Statute

Legal Deadline: None

**Rulemaking Project Initiated:** 10/31/2006

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	01/11/2007	08/17/2007	08/07/2007
Returned to Mode			09/14/2007
Resubmitted to OST		09/21/2007	09/19/2007
Returned to Mode/2			10/10/2007
Resubmitted to OST/2		10/25/2007	11/01/2007
Returned to Mode/3			02/01/2008
Returned To OST			04/28/2008
To OMB	02/11/2007	08/29/2008	08/28/2008
OMB Clearance	05/11/2007	11/29/2008	12/02/2008
Publication Date	05/31/2007	01/09/2009	
End of Comment Period	07/31/2007	03/10/2009	

Explanation for any delay: Unanticipated issues requiring further analysis

Federal Register Citation for NPRM: None

Federal Highway Administration

# 23. National Tunnel Inspection Standards

Red

Popular Title: Tunnel Inspection

RIN 2125-AF24 Stage: ANPRM

Previous Stage: None

**Abstract:** This rulemaking would revise 23 CFR Part 650 -- Bridges, Structures, and Hydraulics, by adding the National Tunnel Inspection Standards (NTIS) under Subpart E. We anticipate that the NTIS may be modeled after the existing National Bridge Inspection Standards and may include requirements for, among other things, inspection procedures, the qualifications and training of inspectors, and a National Tunnel Inventory.

#### **Effects:**

None

**Prompting action:** None **Legal Deadline:** None

**Rulemaking Project Initiated:** 01/30/2008

**Docket Number:** FHWA-2008-0038

**Dates for ANPRM:** 

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	04/14/2008	04/28/2008	04/28/2008
Returned to Mode			05/27/2008
Resubmitted to OST		07/18/2008	07/18/2008
To OMB	05/14/2008	08/25/2008	08/14/2008
OMB Clearance	05/28/2008	11/25/2008	09/08/2008
Publication Date	06/04/2008	11/14/2008	11/18/2008
End of Comment Period	09/04/2008	01/14/2009	02/17/2009

Explanation for any delay: Additional coordination necessary

Federal Register Citation for ANPRM: 73 FR 68365

**Federal Motor Carrier Safety Administration** 

### Federal Motor Carrier Safety Administration

# 24. Unified Registration System

Red

Popular Title: URS RIN 2126-AA22 Stage: SNPRM

**Previous Stage:** ANPRM: Publication Date 8/26/96; End of Comment Period 10/25/96. NPRM: Publication Date 05/19/2005; End of Comment Period 08/17/2005.

**Abstract:** This rulemaking would replace three current identification and registration systems: the US DOT number identification system, the commercial registration system, and the financial responsibility system, with an online Federal unified registration system. This program would serve as a clearinghouse and depository of information on, and identification of, brokers, freight forwarders, and others required to register with the Department of Transportation. The Agency is revising this rulemaking to address amendments directed by SAFETEA-LU. The replacement system for the Single State Registration System, which the ICC Termination Act originally directed be merged under URS, will be addressed separately.

#### **Effects:**

Regulatory Flexibility Act Information Collection

**Prompting action:** Statute

**Legal Deadline:** Final Rule : 01/01/1998

**Rulemaking Project Initiated:** 01/01/1996

**Docket Number:** FMCSA-97-2349

**Dates for SNPRM:** 

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	02/02/2007	05/08/2009	
To OMB	03/08/2007	06/12/2009	
OMB Clearance	06/08/2007	09/14/2009	
Publication Date	06/29/2007	09/21/2009	
End of Comment Period	09/28/2007	12/21/2009	

Explanation for any delay: Additional coordination necessary

Other, higher priorities

Unanticipated issues requiring further analysis

Federal Register Citation for SNPRM: None

Federal Motor Carrier Safety Administration

Application by Certain Mexico-Domiciled Motor Carriers to Operate Beyond U.S. Municipalities and Commercial Zones on the U.S.-Mexico Border

Red

**Popular Title**: Mexico-Domiciled Motor Carriers

**RIN 2126-AA34** 

Stage: Undetermined

**Previous Stage:** NPRM: Publication Date 05/03/2001; End of Comment Period 07/02/2001. Interim Final Rule: Publication Date 03/19/2002; End of Comment

Period 04/18/2002.

**Abstract:** This rulemaking would change FMCSA regulations to govern applications by Mexican carriers to operate beyond municipalities and commercial zones at the United State-Mexico border. It would also revise the application form, OP-1MX, to be filed by these Mexican motor carriers. The revised form would require additional information about the applicant\\\'s business and operating practices to allow the FMCSA to determine if the applicant can meet the safety standards established for operating in interstate commerce in the United States. Carriers that had previously submitted an application would have to submit the updated form. These changes are needed to implement part of the North American Free Trade Agreement (NAFTA). On January 16, 2003, the Ninth Circuit Court remanded this rule, along with two other NAFTA-related rules, to the agency, requiring a full environmental impact statement and an analysis required by the Clean Air Act. On June 7, 2004, the Supreme Court reversed the Ninth Circuit and remanded the case, holding that FMCSA is not required to prepare the environmental documents as ruled by the Ninth Circuit. FMCSA is waiting for Interim Final Rule experience after the border opens before deciding what to do next on this rulemaking. FMCSA originally planned to publish a final rule by November 20, 2003.

#### **Effects:**

Information Collection NAFTA

**Prompting action:** International Agreement

Legal Deadline: None

**Rulemaking Project Initiated:** 02/07/2001

**Docket Number:** FMCSA-98-3298

**Dates for Undetermined:** 

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST			
To OMB			
OMB Clearance			
Publication Date			
End of Comment Period			

Explanation for any delay: Unanticipated issues requiring further analysis

Federal Register Citation for Undetermined: None

Federal Motor Carrier Safety Administration

26.

**Popular Title**: Safety Monitoring

**RIN 2126-AA35** 

Stage: Undetermined

**Previous Stage:** NPRM: Publication Date 05/03/2001; End of Comment Period 07/02/2001. Interim Final Rule: Publication Date 03/19/2002; End of Comment Period 04/18/2002.

**Abstract:** This rule would implement a safety monitoring system and compliance initiative designed to evaluate the continuing safety fitness of all Mexicodomiciled carriers within 18 months after receiving a provisional Certificate of Registration or provisional authority to operate in the United States. It also would establish suspension and revocation procedures for provisional Certificates of Registration and operating authority, and incorporate criteria to be used by FMCSA in evaluating whether Mexico-domiciled carriers exercise basic safety management controls. The interim rule included requirements that were not proposed in the NPRM but which are necessary to comply with the FY-2002 DOT Appropriations Act. On January 16, 2003, the Ninth Circuit Court of Appeals remanded this rule, along with two other NAFTA-related rules, to the agency, requiring a full environmental impact statement and an analysis required by the Clean Air Act. On June 7, 2004, the Supreme Court reversed the Ninth Circuit and remanded the case, holding that FMCSA is not required to prepare the environmental documents. FMCSA is waiting for Interim Final Rule experience after the border opens before deciding what to do next on this rulemaking. FMCSA originally planned to publish a final rule by November 28, 2003.

#### **Effects:**

Regulatory Flexibility Act Federalism Information Collection NAFTA

**Prompting action:** International Agreement

Legal Deadline: None

Rulemaking Project Initiated: 02/07/2001

**Docket Number:** FMCSA-1998-3299

#### **Dates for Undetermined:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST			
To OMB			
OMB Clearance			
Publication Approved			
Publication Date			
End of Comment Period			

Explanation for any delay: Unanticipated issues requiring further analysis

Federal Register Citation for Undetermined: None

Federal Motor Carrier Safety Administration

## 27. New Entrant Safety Assurance Process

Red

Popular Title: New Entrant

RIN 2126-AA59 Stage: Final Rule

**Previous Stage:** Interim Final Rule: Publication Date 05/13/2002; End of Comment Period 7/12/2002; IFR Effective Date 01/01/2003. NPRM: Publication Date 12/21/2006; End of Comment Period 2/20/2007.

Abstract: This rulemaking would change the New Entrant Safety Assurance Process by raising the standard of compliance for passing the new entrant safety audit. It also would make clarifying changes to some of the existing new entrant regulations. The rule also proposes a separate application procedure and safety oversight system for non-North America-domiciled motor carriers. The proposed rule would improve the Agency's ability to identify at-risk new entrant carriers and would ensure deficiencies in basic safety management controls are corrected before the new entrant is granted permanent registration. These changes would not impose additional operational requirements on any new entrant carrier. All new entrants would continue to receive educational information on how to comply with the safety regulations and be given an opportunity to correct any deficiencies found. FMCSA recognizes many new entrants are small businesses that are unaware of these requirements and continue to need our assistance.

#### **Effects:**

**Economically Significant** 

Major

Regulatory Flexibility Act Information Collection

Prompting action: Statute

Legal Deadline: None

**Rulemaking Project Initiated: 12/09/1999** 

Docket Number: FMCSA-2001-11061

**Dates for Final Rule:** 

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	11/10/2007	07/08/2008	07/09/2008
To OMB	12/10/2007	09/20/2008	09/23/2008
OMB Clearance	03/17/2008	12/20/2008	11/26/2008
Publication Date	03/31/2008	12/24/2008	12/16/2008

**Explanation for any delay:** Unanticipated impacts requiring further analysis Other, higher priorities

Federal Register Citation for Final Rule: 73 FR 76472

Federal Motor Carrier Safety Administration

Certification of Safety Auditors, Safety Investigators, and Safety Red Inspectors

Popular Title: Safety Auditors Certification

RIN 2126-AA64

28.

Stage: Undetermined

**Previous Stage:** Interim Final Rule: Publication Date 03/19/2002; End of Comment Period 05/20/2002; Extension of Compliance 06/17/02; End of Extended Compliance 07/17/02. IFR Extension of Statutory Compliance Date: Publication Date 7/28/2003. Notice of Environmental Assessment: Publication Date 10/02/2003; End of Comment Period 11/03/2003. Notice of Statutory Compliance Date: Publication Date 12/23/2003; Compliance Date 1/01/2004.

**Abstract:** This rulemaking would require that any safety inspection, safety audit, or compliance review be conducted by a certified inspector, auditor, or investigator. It is required by section 211 of the Motor Carrier Safety Improvement Act. Based on comments to the IFR, the agency will issue a NPRM that addresses issues not clarified in the IFR.

#### **Effects:**

None

**Prompting action:** Statute

Legal Deadline: NPRM: 12/09/2000

**Rulemaking Project Initiated:** 12/09/1999

Docket Number: FAA-2007-28100

**Dates for Undetermined:** 

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST			
To OMB			
OMB Clearance			
Publication Date			
End of Comment Period			

Explanation for any delay: Other, higher priorities

Federal Register Citation for Undetermined: None

Federal Motor Carrier Safety Administration

29. **Limitations on the Issuance of Commercial Driver Licenses** Green with a Hazardous Materials Endorsement

**Popular Title**: USA PATRIOT Act Rule

**RIN 2126-AA70** 

**Stage:** Interim Final Rule/4

**Previous Stage:** Interim Final Rule: Publication Date 05/05/2003; End of Comment Period 07/07/2003. IFR Delay of Compliance Date: Publication Date 11/07/2003; End of Comment Period 01/06/2004. IFR Delay of Compliance Date: Publication Date 08/19/2004; Interim Final Rule/3: Publication Date 08/19/2004.

**Abstract:** This rulemaking would prohibit States from issuing, renewing, transferring or upgrading a commercial driver's license (CDL) with a hazardous materials endorsement, unless the Transportation Security Administration (TSA) has first conducted a background check on the applicant and determined the applicant does not pose a security risk warranting denial of the hazardous materials endorsement. FMCSA and TSA simultaneously published interim final rules. FMCSA has published another IFR corresponding to TSA's extension of compliance date. Since this rulemaking conforms to TSA's rulemaking, the rulemaking is dependent upon TSA action. This action is considered significant because of substantial public and congressional interest, and national security.

#### **Effects:**

**NAFTA** 

Prompting action: Statute

Legal Deadline: None

**Rulemaking Project Initiated:** 10/26/2001

**Docket Number:** FMCSA-2001-11117

**Dates for Interim Final Rule/4:** 

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	03/22/2005		03/21/2005
To OMB			04/14/2005
OMB Clearance			04/25/2005
Publication Approved	05/26/2005		04/25/2005
Publication Date	05/31/2005		04/29/2005

Explanation for any delay: N/

A

# Federal Register Citation for Interim Final Rule/4: 70 FR 22268

Federal Motor Carrier Safety Administration

30. Brokers of Household Goods Transportation by Motor Vehicle

Red

**Popular Title**: Household Goods Brokers

**RIN 2126-AA84** 

Stage: Undetermined

**Previous Stage:** ANPRM: Publication Date 12/22/2004; End of Comment Period 02/22/2005. NPRM: Publication date 2/8/2007; End of Comment Period 5/9/2007

**Abstract:** This rulemaking would address the American Moving and Storage Association's petition for rulemaking and implement certain provisions of Subtitle B of SAFETEA-LU. FMCSA would determine in this rulemaking whether 49 CFR part 371 needs to be amended to protect consumers against unscrupulous brokers of household goods. FMCSA's next stage for this rule is undetermined because resources are currently dedicated to other rulemaking actions.

#### **Effects:**

**Information Collection** 

Prompting action: Statute

**Legal Deadline:** Final Rule: 08/10/2006

**Rulemaking Project Initiated:** 05/12/2003

**Docket Number:** FMCSA-2004-17008

**Dates for Undetermined:** 

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST			
To OMB			
OMB Clearance			
Publication Date			
End of Comment Period			

Explanation for any delay: Additional coordination necessary

Federal Register Citation for Undetermined: None

Federal Motor Carrier Safety Administration

Requirements for Intermodal Equipment Providers and Motor Carriers and Drivers Operating Intermodal Equipment

Red

Popular Title: Intermodal Container Chassis

RIN 2126-AA86 Stage: Final Rule

**Previous Stage:** NPRM: Publication Approved 11/29/2006; Publication Date 12/21/2006; End of Comment Period 03/21/2007; Extension of Comment Period

04/13/2007; End of Extended Comment Period 05/21/2007.

Abstract: This rulemaking would require entities that offer intermodal container chassis for transportation in interstate commerce to: file a Motor Carrier Identification Report (Form MCS-150); display a USDOT identification number on each chassis offered for such transportation; establish a systematic inspection, repair, and maintenance program to ensure the safe operating condition of each chassis offered for transportation and maintain documentation of the program; and provide a means for effectively responding to driver and motor carrier complaints about the condition of intermodal container chassis. The rulemaking is considered significant because of substantial industry and congressional interest and because it involves other departmental modes. It is required by SAFETEA-LU.

#### **Effects:**

Regulatory Flexibility Act Information Collection

**Prompting action:** Statute

**Legal Deadline:** Final Rule: 08/10/2006

**Rulemaking Project Initiated:** 01/26/2004

**Docket Number:** FMCSA-2005-23315

**Dates for Final Rule:** 

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	12/10/2007	08/04/2008	08/08/2008
To OMB	01/10/2008	09/22/2008	09/23/2008
OMB Clearance	04/10/2008	12/08/2008	12/03/2008
Publication Date	04/24/2008	12/16/2008	12/17/2008

Explanation for any delay: Additional coordination necessary

Other, higher priorities

Unanticipated issues requiring further analysis

Federal Register Citation for Final Rule: 73 FR 76794

Federal Motor Carrier Safety Administration

32. Electronic On-Board Recorders for Hours-of-Service Compliance

Red

**Popular Title**: Electronic On-Board Recorders

RIN 2126-AA89
Stage: Final Rule

**Previous Stage:** ANPRM: Publication Date 9/01/2004; End of Comment Period 11/30/2004. NPRM: Publication Date 01/18/2007; End of Comment Period 04/18/2007.

**Abstract:** This rulemaking would amend the Federal Motor Carrier Safety Regulations (FMCSRs) to incorporate new performance standards for electronic on-board recorders (EOBRs) installed in commercial motor vehicles (CMVs) manufactured 2 years after the effective date of a final rule. On-board hours-ofservice recording devices meeting FMCSA's current requirements and voluntarily installed in CMVs manufactured before that date could continue to be used for the remainder of the service life of those CMVs. Motor carriers that have demonstrated a history of serious noncompliance with the hours-of-service (HOS) rules would be subject to mandatory installation of EOBRs meeting the new performance standards (a remedial directive). The motor carrier would then be required to install EOBRs in all of its CMVs regardless of their date of manufacture and to use the devices for HOS recordkeeping for a period of 2 years, unless the carrier already had equipped its vehicles with automatic onboard recording devices (AOBRDs) meeting the Agency's current requirements under 49 CFR 395.15 and could demonstrate to FMCSA that its drivers understand how to use the devices. FMCSA would encourage industry-wide use of EOBRs by providing the following incentives for motor carriers to voluntarily use EOBRs in their CMVs: Revising the Agency's compliance review procedures to permit examination of a random sample of drivers' records of duty status; and providing partial relief from HOS supporting documents requirements, if certain conditions are satisfied.

## **Effects:**

Economically Significant Major Information Collection Privacy Peer Review

**Prompting action:** Court Action

Legal Deadline: None

**Rulemaking Project Initiated:** 08/09/2004

**Docket Number:** FMCSA-2004-18940

#### **Dates for Final Rule:**

Milestone	Originally	New	Actual
	Scheduled	Projected	Date
	Date	Date	
To OST	05/03/2008	08/29/2008	09/03/2008
To OMB	06/05/2008	11/13/2008	11/10/2008
OMB Clearance	09/05/2008	12/30/2008	
Publication	09/19/2008	01/04/2009	
Date			

Explanation for any delay: Other, higher priorities

Additional coordination necessary

Unanticipated issues requiring further analysis

Federal Register Citation for Final Rule: None

Federal Motor Carrier Safety Administration

# 33. Qualifications of Drivers; Diabetes Standard

Black

Popular Title: Diabetes Standard

**RIN 2126-AA95** 

**Stage:** Undetermined

Previous Stage: ANPRM: Publication Date 03/17/2006; End of Comment Period

06/15/2006.

**Abstract:** This rulemaking action would amend FMCSA's medical qualification standards to allow drivers with insulin-treated diabetes mellitus to operate commercial motor vehicles in interstate commerce, without seeking an exemption from the FMCSRs.

#### **Effects:**

None

**Prompting action:** Statute

Legal Deadline: None

**Rulemaking Project Initiated:** 08/10/2005

Docket Number: FMCSA-2005-23151

#### **Dates for Undetermined:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST			
To OMB			
OMB Clearance			
Publication Date			
End of Comment Period			

Explanation for any delay: N/

A

Federal Register Citation for Undetermined: None

Federal Motor Carrier Safety Administration

## 34. National Registry of Certified Medical Examiners

Red

**Popular Title**: National Registry

RIN 2126-AA97 Stage: NPRM

Previous Stage: None

Abstract: This rulemaking would establish training, testing and certification standards for medical examiners responsible for certifying that interstate commercial motor vehicle drivers meet established physical qualifications standards; provide a database (or National Registry) of medical examiners that meet the prescribed standards for use by motor carriers, drivers, and Federal and State enforcement personnel in determining whether a medical examiner is qualified to conduct examinations of interstate truck and bus drivers; and require medical examiners to transmit electronically to FMCSA the name of the driver and a numerical identifier for each driver that is examined. The rulemaking would also establish the process by which medical examiners that fail to meet or maintain the minimum standards would be removed from the National Registry. This action is in response to section 4116 of Safe, Accountable, Flexible, Efficient, Transportation Equity Act: A Legacy for Users.

#### **Effects:**

Major

**Unfunded Mandate** 

Regulatory Flexibility Act

**Information Collection** 

Privacy

Prompting action: Statute

**Legal Deadline:** Final Rule: 08/10/2006

**Rulemaking Project Initiated:** 08/10/2005

Docket Number:
Dates for NPRM:

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	07/21/2006	06/02/2008	05/30/2008
To OMB	08/21/2006	08/15/2008	08/19/2008
OMB Clearance	11/20/2006	11/15/2008	11/19/2008
Publication Date	11/28/2006	11/22/2008	12/01/2008

Explanation for any delay: Additional coordination necessary

Other, higher priorities

Federal Register Citation for NPRM: 73 FR 73129

Federal Motor Carrier Safety Administration

# 35. Consumer Complaint Information

Black

Popular Title: Consumer Complaint Information

RIN 2126-AB01

Stage: Undetermined

Previous Stage: NPRM: Publication Date 02/20/2008; End of Comment Period

4/21/2008.

**Abstract:** The rulemaking would require each motor carrier of household goods to submit a quarterly report of specific identified information. This rule responds to SAFETEA-LU.

#### **Effects:**

**Information Collection** 

Privacy

**Prompting action:** Statute

Legal Deadline: None

**Rulemaking Project Initiated:** 08/10/2005

Docket Number: FMCSA-2008-0029

**Dates for Undetermined:** 

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST			
To OMB			
OMB Clearance			
Publication Date			
End of Comment Period			

**Explanation for any delay:** N/

A

Federal Register Citation for Undetermined: None

Federal Motor Carrier Safety Administration

36. Commercial Driver's License Testing and Commercial Learner's Permit Standards

Red

**Popular Title:** Commercial Learner's Permit

**RIN 2126-AB02** 

**Stage:** Undetermined

Previous Stage: NPRM: Publication Date 4/9/2008 ;End of Comment Period

6/9/2008; End of Extended Comment Period 7/9/2008.

Abstract: This rulemaking would establish revisions to the commercial driver's license knowledge and skills testing standards as required by section 4019 of TEA-21, implement fraud detection and prevention initiatives at the State driver licensing agencies as required by the SAFE Port Act of 2006, and establish new minimum Federal standards for States to issue commercial learner's permits (CLPs), based in part on the requirements of section 4122 of SAFETEA-LU. In addition, to ensuring the applicant has the appropriate knowledge and skills to operate a commercial motor vehicle, this rule would establish the minimum information that must be on the CLP document and the electronic driver's record. The rule would also establish maximum issuance and renewal periods, establish a minimum age limit, address issues related to a driver's State of Domicile, and incorporate previous regulatory guidance into the Federal regulations. This rule would also address issues raised in the SAFE Port Act.

#### **Effects:**

Regulatory Flexibility Act Federalism

Information Collection

**Prompting action:** Statute

**Legal Deadline:** Publish by Final Rule by: 04/13/2008

**Rulemaking Project Initiated:** 08/10/2005

Docket Number: FMCSA-2007-27659

**Dates for Undetermined:** 

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST			
To OMB			
OMB Clearance			
Publication Date			
End of Comment Period			

Explanation for any delay: Additional coordination necessary

Federal Register Citation for Undetermined: None

Federal Motor Carrier Safety Administration

#### Railroad Highway Grade Crossing Safety 37.

Red

Popular Title: Railroad Highway Grade Crossing Safety

RIN 2126-AB04 Stage: NPRM

**Previous Stage:**None

Abstract: This rulemaking would prohibit operators of commercial motor vehicles (CMVs) from driving onto a railroad grade crossing unless there is sufficient space to drive completely through the crossing without stopping. It is intended to reduce the incidence of collisions between trains and CMVs. This rulemaking action is required by the Hazardous Materials Transportation Authorization Act of 1994.

#### **Effects:**

None

Prompting action: Statute

Legal Deadline: Final Rule: 02/16/1995

**Rulemaking Project Initiated:** 08/16/1994

**Docket Number:** FMCSA-2006-25660

**Dates for NPRM:** 

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	05/01/2007	02/04/2009	
To OMB	06/07/2007	02/26/2009	
OMB Clearance	09/07/2007	05/27/2009	
Publication Date	09/17/2007	06/11/2009	
End of Comment Period	11/17/2007	08/11/2009	

Explanation for any delay: Additional coordination necessary

Other, higher priorities

Federal Register Citation for NPRM: None

Federal Motor Carrier Safety Administration

20	Minimum Training Requirements for Entry Level Commercial Motor Vehicle Operations	Black
30.	Commercial Motor Vehicle Operations	

Popular Title: Entry Level Driver Training

RIN 2126-AB06

Stage: Undetermined

**Previous Stage:** NPRM: Publication Date 12/26/2007; End of Comment Period 3/28/2008; Publication Date for Extension of Comment Period 3/21/2008; End of Extended Comment Period 5/23/2008.

Abstract: This rulemaking would require behind-the-wheel and classroom training for persons who must hold a commercial driver's license to operate commercial motor vehicles. This action is in response to the U.S. Court of Appeals for the District of Columbia Circuit's December 2005 decision remanding the May 21, 2004, Final Rule, "Minimum Training Requirements for Entry-Level Commercial Motor Vehicle Operators" to the Agency for further consideration. The rulemaking will consider the effectiveness of CMV driver training in reducing crashes, the appropriate types and levels of training that should be mandated, and related costs. While FMCSA is working on a Final Rule for this issue, the rulemaking is listed as undetermined because no schedule has yet been decided upon.

## **Effects:**

**Economically Significant** 

Major

Federalism

Prompting action: Court Action

Legal Deadline: None

**Rulemaking Project Initiated:** 04/19/2006

**Docket Number:** FMCSA-2007-27748

**Dates for Undetermined:** 

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST			
To OMB			
OMB Clearance			
Publication Date			
End of Comment Period			

Explanation for any delay: N/

A

Federal Register Citation for Undetermined: None

Federal Motor Carrier Safety Administration

# 39. Carrier Safety Fitness Determination

Red

Popular Title: CSA 2010 Carrier Safety Fitness Determination

RIN 2126-AB11 Stage: NPRM

Previous Stage: None

**Abstract:** This rulemaking would revise 49 CFR Part 385, Safety Fitness Procedures, in accordance with the Agency's major new initiative, Comprehensive Safety Analysis (CSA) 2010. CSA 2010 is a new operational model FMCSA plans to implement that is designed to help the Agency carry out its compliance and enforcement programs more efficiently and effectively. Currently, the safety fitness rating of a motor carrier is determined based on the results of a very labor intensive compliance review conducted at the carrier's place of business. Aside from roadside inspections and new audits, the compliance review is the Agency's primary intervention. Under CSA 2010, FMCSA would propose to implement a broader array of progressive interventions, some of which allow FMCSA to make contact with more carriers. Through this rulemaking FMCSA would establish safety fitness determinations based on safety data consisting of crashes, inspections, and violation history rather than the standard compliance review. This will enable the Agency to assess the safety performance of a greater segment of the motor carrier industry with the goal of further reducing large truck and bus crashes and fatalities.

#### **Effects:**

None

Prompting action: Secretarial/Head of Operating Administration Decision

Legal Deadline: None

**Rulemaking Project Initiated:** 06/21/2007

Docket Number: FMCSA-2004-18898

**Dates for NPRM:** 

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	11/12/2007	01/13/2009	
To OMB	12/15/2007	02/12/2009	
OMB Clearance	03/15/2008	05/12/2009	
Publication Date	03/29/2008	05/20/2009	
End of Comment Period	06/29/2008	08/20/2009	

Explanation for any delay: Other, higher priorities

Federal Register Citation for NPRM: None

Federal Railroad Administration

Yellow

**Popular Title**: Pressurized Tank Cars

RIN 2130-AB69
Stage: Final Rule

Previous Stage: NPRM: Publication Date 04/01/2008; End of Comment Period

06/02/2008

40.

**Abstract:** This rulemaking would amend the existing design standards for pressurized tank cars pursuant to section 9005 of SAFETEA-LU. This rulemaking would improve the crashworthiness protection of railroad tank cars designed to transport poisonous by inhalation (PIH) materials by establishing enhanced tank car performance standards for head and shell impacts, as well as operational restrictions for trains transporting PIH materials.

#### **Effects:**

**Economically Significant** 

Major

**Information Collection** 

Prompting action: Statute

**Legal Deadline:** NPRM: 02/10/2007

**Rulemaking Project Initiated:** 08/10/2005

Docket Number: FRA-2006-25169

**Dates for Final Rule:** 

Milestone	Originally	New	Actual
	Scheduled	Projected	Date
	Date	Date	
To OST	10/02/2008		10/02/2008
To OMB	10/31/2008		10/21/2008
OMB Clearance	01/29/2009		12/19/2008
Publication	02/06/2009		
Date			

Explanation for any delay: N/

Α

Federal Register Citation for Final Rule: None

Federal Railroad Administration

41. Hours of Service Record Keeping

Red

**Popular Title**: Hours of Service Record Keeping

RIN 2130-AB85 Stage: NPRM

Previous Stage: None

**Abstract:** This rulemaking would revise the hours of service record keeping regulations to allow for the use of electronic record keeping systems. It would also clarify the existing hours of service recordkeeping regulations to ensure that they are applied consistently to both paper and electronic records. It would also ensure that all records, whether created manually or electronically, would allow for proper enforcement of the hours of service law.

## **Effects:**

**Information Collection** 

**Prompting action:** None

Legal Deadline: None

**Rulemaking Project Initiated:** 08/02/2006

Docket Number: FRA-2006-26176

**Dates for NPRM:** 

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	12/31/2007	02/27/2009	
To OMB	02/08/2008	03/30/2009	
OMB Clearance	05/08/2008	06/30/2009	
Publication Date	05/15/2008	07/14/2009	
End of Comment Period	06/16/2008	09/14/2009	

Explanation for any delay: Additional coordination necessary

Federal Register Citation for NPRM: None

Federal Railroad Administration

42. Amendments to the Railroad Rehabilitation and Improvement Financing (RRIF) Program

Red

Popular Title: Railroad Rehabilitation and Improvement Financing

RIN 2130-AB91
Stage: Final Rule

**Previous Stage:** NPRM: Publication Date 06/09/2008; End of Comment Period 08/08/2008; Extension of Comment Period 8/26/2008.

**Abstract:** This rulemaking would amend eligibility and application form and content criteria for the Railroad Rehabilitation and Improvement Financing (RRIF) Program to ensure the long-term sustainability of the program, promote competition in the railroad industry, and reduce the risk of default for applicants and the Government.

#### **Effects:**

**Information Collection** 

**Prompting action:** None **Legal Deadline:** None

**Rulemaking Project Initiated:** 11/09/2007

Docket Number: FRA-2008-0061

### **Dates for Final Rule:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	09/05/2008	11/10/2008	11/13/2008
To OMB	09/26/2008	01/05/2009	
OMB Clearance	10/17/2008	01/12/2009	
Publication Date	10/29/2008	01/16/2009	

Explanation for any delay: Additional coordination necessary

Federal Register Citation for Final Rule: None

Federal Railroad Administration

43. Roadway Worker Protection; Adjacent-Track On-Track
Safety

Black

Popular Title: Roadway Worker Protection; Adjacent-Track On-Track

RIN 2130-AB96

Stage: NPRM

Previous Stage: None

**Abstract:** This rulemaking would simplify the determination regarding whether or not adjacent track protection is required for roadway work groups engaged in large-scale maintenance or construction, pursuant to 49 CFR Part 214. The recent increase in roadway worker fatalities that have occurred on adjacent track has caused some concern at FRA and throughout the industry, even prompting the filing of a petition for emergency order. FRA issued a notice of safety advisory to address the issue in May of 2004; however, it appears that the effects were not long-lasting. The amendments FRA proposes to issue in this notice of proposed rulemaking are based on the consensus language developed through the Roadway Worker Protection (RWP) Working Group of FRA's Railroad Safety Advisory Committee (RSAC).

### **Effects:**

None

**Prompting action:** None **Legal Deadline:** None

**Rulemaking Project Initiated:** 08/20/2008

**Docket Number:** FRA-2008-0059

**Dates for NPRM:** 

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST			11/14/2008
Returned to Mode			11/20/2008
Resubmitted to OST		03/02/2009	
To OMB		04/03/2009	
OMB Clearance		07/06/2009	
Publication Date		07/22/2009	
End of Comment Period		09/21/2009	

Explanation for any delay: N/

A

Federal Register Citation for NPRM: None

**Federal Transit Administration** 

Federal Transit Administration

**Major Capital Investment Projects - New/Small Starts** 

Black

Popular Title: New/Small Starts

**RIN 2132-AA81** 

**Stage:** Undetermined

Previous Stage: ANPRM: Publication Date 01/30/2006; End of Comment Period

03/10/2006. NPRM: Publication Date 08/03/2007; End of Comment Period

11/01/2007.

**Abstract:** This rulemaking would establish a simplified evaulation process for projects seeking less than \$75 million in New Starts funds. The rule will set out FTA's evaluation and rating process for proposed projects based on the results of project justification and local financial commitment. This action is mandated by SAFETEA-LU. At this time, the 2008 Appropriations Act prohibits a Final Rule.

#### **Effects:**

**Economically Significant** 

Major

Regulatory Flexibility Act

**Prompting action:** Statute

**Legal Deadline:** Final rule: 04/07/2006

**Rulemaking Project Initiated:** 08/10/2005

**Docket Number:** FTA-2006-25737

**Dates for Undetermined:** 

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST			
To OMB			
OMB Clearance			
Publication Date			
End of Comment Period			

**Explanation for any delay:** N/

A

Federal Register Citation for Undetermined: None

Federal Transit Administration

45. Contractor Performance Assessment for Capital Investment Program

Black

**Popular Title**: Contractor Performance

#### **RIN 2132-AA96**

Stage: Undetermined Previous Stage: None

**Abstract:** On August 10, 2005, the President signed the Safe, Accountable, Flexible, and Efficient Transportation Equity Act-A Legacy for Users (SAFETEA-LU). Section 3011 of SAFETEA-LU made a number of changes to 49 U.S.C. 5309 ("Section 5309"), which authorizes the Federal Transit Administration's (FTA's) capital investment grant program. SAFETEA-LU emphasized the need to improve the accuracy of the estimates of ridership and costs used to support the selection of a major capital investment ("New Start") as a locally preferred alternative (LPA) for Section 5309 funds. Federal transit law supports the use of incentives to encourage the development of more reliable cost and ridership estimates for New Starts funded under Section 5309. A number of provisions in Section 5309 recognize that contractors to grant recipients play an important role in increasing the reliability of forecasting methods to estimate costs and utilization of New Starts projects. This rulemaking would establish a new part 612 in the Code of Federal Regulations. It would encourage accurate cost and ridership estimates through the award of additional New Starts funds to project sponsors, which they can choose to pass along to their contractors. FTA is considering the effect of recently-enacted statutory language concerning the issuance of final rules under 49 U.S.C. 5309 on this rulemaking.

#### **Effects:**

None

Prompting action: Secretarial/Head of Operating Administration Decision

Legal Deadline: None

**Rulemaking Project Initiated:** 07/17/2007

Docket Number: FTA-2008-0005

**Dates for Undetermined:** 

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST			
To OMB			
OMB Clearance			
Publication Date			
End of Comment Period			

**Explanation for any delay:** N/

A

## Federal Register Citation for Undetermined: None

### **Maritime Administration**

#### Maritime Administration

Regulations To Be Followed by All Departments, Agencies and Shippers Having Responsibility To Provide a Preference for U. S.-Flag Vessels in the Shipment of Cargoes on Ocean Vessels.

Black

**Popular Title**: Cargo Preference NPRM

RIN 2133-AB74

46.

**Stage:** Undetermined **Previous Stage:** None

**Abstract:** This rulemaking would revise and clarify the Cargo Preference rules that have not been revised substantially since 1971. Revisions would include an updated purpose and definitions section along with the removal of obsolete provisions.

## **Effects:**

**Information Collection** 

Foreign

Prompting action: Secretarial/Head of Operating Administration Decision

**Legal Deadline:** None

**Rulemaking Project Initiated:** 08/21/2008

**Docket Number:** 

### **Dates for Undetermined:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST			
To OMB			
OMB Clearance			
Publication Date			
End of Comment Period			

**Explanation for any delay:** N/

A

## Federal Register Citation for Undetermined: None

## **National Highway Traffic Safety Administration**

National Highway Traffic Safety Administration

# 47. Roof Crush Resistance

Red

Popular Title: Roof Crush Resistance

**RIN 2127-AG51** 

**Stage:** Undetermined

**Previous Stage:** Request for Comments: Publication Date 10/22/01; End of Comment Period 12/06/01. NPRM: Publication Date 8/23/05; End of Comment Period 11/21/05. SNPRM: Publication date 1/30/08; End of Comment Period 3/17/09; Ext. of Comment Period 3/14/08; End of Ext. Comment Period 2/27/08. SNPRM: Publication Date 1/30/08; End of Comment Period 3/17/08; Extension of Comment Period 3/14/08; End of Extended Comment Period 3/27/08.

**Abstract:** This rulemaking would upgrade vehicle roof crush requirements. It is part of the agency's comprehensive response to mitigate the number of fatalities and injuries resulting from vehicle rollovers. Rollover crashes constitute about 3 percent of passenger vehicle crashes, but about one third (10,000) of the occupant fatalities. Light trucks are more prone to rollover, and they represent about half of the U.S. fleet. This rulemaking is significant because of public interest in vehicle safety.

#### **Effects:**

**Economically Significant** 

Major

**Unfunded Mandate** 

EU

**NAFTA** 

Foreign

**Prompting action:** Statute

**Legal Deadline:** Final Rule: 07/01/2008

**Rulemaking Project Initiated:** 07/13/1996

**Docket Number:** NHTSA-2008-0015

#### **Dates for Undetermined:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST			
To OMB			
OMB Clearance			
Publication Date			
End of Comment Period			

**Explanation for any delay:** N/

Α

Federal Register Citation for Undetermined: None

National Highway Traffic Safety Administration

48. Reduced Stopping Distance Requirements for Truck Tractors

Red

**Popular Title**: Reduced Stopping Distance for Truck Tractors

RIN 2127-AJ37

Stage: Final Rule

Previous Stage: NPRM: Publication Date: 12/15/2005; End of Comment Period

4/14/2006.

**Abstract:** This rulemaking would reduce stopping distance requirements for truck tractors equipped with air brake systems. Advances in heavy vehicle braking systems show that improved stopping performance is attainable for these vehicles. Such improvements would reduce the stopping distance disparity with light vehicles, and would result in fewer deaths and injuries and reduce property damage due to fewer crashes between truck tractors and light vehicles.

## **Effects:**

EU

**NAFTA** 

Foreign

**Prompting action:** None

Legal Deadline: None

**Rulemaking Project Initiated:** 04/02/2004

**Docket Number:** NHTSA-2005-21462

#### **Dates for Final Rule:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	01/12/2007	10/07/2008	07/17/2008
Returned to C-50			10/20/2008
To OMB	02/23/2007	10/17/2008	11/03/2008
OMB Clearance	05/25/2007	05/17/2009	
Publication Date	05/31/2007	05/22/2009	

Explanation for any delay: Additional coordination necessary

Federal Register Citation for Final Rule: None

National Highway Traffic Safety Administration

## 49. Subpart T Hybrid III-10C Dummy, 10-Year-Old Child

Red

**Popular Title**: Hybrid Dummy

RIN 2127-AJ49
Stage: Final Rule

Previous Stage: NPRM: Publication Date 07/13/2005; End of Comment Period

09/12/2005.

Abstract: This rulemaking would incorporate the Hybrid III ten-year old dummy into Part 572 for potential application in Federal motor vehicle safety standards (FMVSS) such as FMVSS No. 213, Child restraint systems. Anton's Law, signed by the President on December 4, 2002, specified in Section 4 that within 24 months, the Secretary of Transportation shall develop and evaluate an anthropomorphic test device that simulates a 10-year old child for use in testing child restraints used in passenger motor vehicles. The evaluation and testing of such a device has been completed by NHTSA. This rulemaking would include a rationale for the dummy performance response requirements; detailed dummy design drawings and specifications; and a procedures manual for the dummy inspection, assembly and disassembly.

### **Effects:**

EU NAFTA Foreign

**Prompting action:** None **Legal Deadline:** None

**Rulemaking Project Initiated:** 09/16/2004

**Docket Number: 2007-0048** 

#### **Dates for Final Rule:**

Milestone	Originally Scheduled	New Projected	Actual Date
	Date	Date	
To OST	12/20/2007	02/06/2009	
To OMB	01/31/2008	03/20/2009	
OMB Clearance	04/30/2008	06/19/2009	
Publication Date	05/15/2008	06/30/2009	

Explanation for any delay: Additional coordination necessary

Federal Register Citation for Final Rule: None

National Highway Traffic Safety Administration

## 50. **Ejection Mitigation**

Red

**Popular Title**: Ejection Mitigation

RIN 2127-AK23 Stage: NPRM

Previous Stage: None

Abstract: This rulemaking would create a new Federal Motor Vehicle Safety Standard (FMVSS) for reducing occupant ejection. Currently, there are over 52,000 annual ejections in motor vehicle crashes, and over 10,000 ejected fatalities per year. This rulemaking would propose new requirements for reducing occupant ejection through passenger vehicle side widows. The requirement would be an occupant containment requirement on the amount of allowable excursion through passenger vehicle side windows. The SAFETEA-LU legislation requires that: "[t]he Secretary shall also initiate a rulemaking proceeding to establish performance standards to reduce complete and partial ejections of vehicle occupants from outboard seating positions. In formulating the standards the Secretary shall consider various ejection mitigation systems. The Secretary shall issue a final rule under this paragraph no later than October 1, 2009."

#### **Effects:**

**Economically Significant** 

Major

**Unfunded Mandate** 

EU

**NAFTA** 

Foreign

Prompting action: Statute

**Legal Deadline:** Final Rule : 10/01/2009 **Rulemaking Project Initiated:** 08/10/2005

Docket Number:
Dates for NPRM:

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	04/30/2008	02/06/2009	
To OMB	06/30/2008	03/20/2009	
OMB Clearance	09/25/2008	06/19/2009	
Publication Date	09/28/2008	06/30/2009	
End of Comment Period	11/30/2008	08/30/2009	

Explanation for any delay: Additional coordination necessary

Federal Register Citation for NPRM: None

National Highway Traffic Safety Administration

Passenger Car and Light Truck Corporate Average Fuel Economy 2011-2015

Red

Popular Title: Car and Light Truck CAFE 2011-2015

RIN 2127-AK29
Stage: Final Rule

Previous Stage: NPRM: Publication 5/02/2008; End of Comment Period

7/01/2008.

**Abstract:** This rulemaking would address Corporate Average Fuel Economy (CAFE) Standards for light trucks and for passenger cars for model years 2011-2015. CAFE standards must be set at least 18 months prior to the start of a model year. This action is also subject to a direction by the President of the United States to complete the rulemaking in 2008.

## **Effects:**

**Economically Significant** 

Major

**Unfunded Mandate** 

EIS

EU

NAFTA Foreign

**Prompting action:** Statute

**Legal Deadline:** Final Rule : 04/01/2009

**Rulemaking Project Initiated:** 12/28/2007

**Docket Number:** NHTSA-2008-0089

**Dates for Final Rule:** 

Milestone	Originally	New	Actual
	Scheduled	Projected	Date
	Date	Date	
To OST	09/23/2008	11/03/2008	11/03/2008
To OMB	09/30/2008	11/03/2008	11/03/2008
OMB Clearance	10/30/2008	11/10/2008	11/14/2008
Publication	10/31/2008		
Date			

Explanation for any delay: Additional coordination necessary

Federal Register Citation for Final Rule: None

Office of the Secretary

## Office of the Secretary

52. Accessibility of Passenger Vessels to Individuals with Disabilities

Black

Popular Title: Accessibility-passenger vessels

RIN 2105-AB87
Stage: Final Rule

**Previous Stage:** ANPRM: Publication Date 11/29/2004; End of Comment Period 03/28/2005; Publication Date for Extension of Comment Period 03/28/2005; End of Extended Comment Period 07/28/2005. NPRM: Publication Date 1/23/2007; End of Comment Period 4/23/2007; Extension of Comment Period 4/13/2007; End of Extended Comment Period 6/22/2007; Reopening of Comment Period 4/8/2006; End of Reopened Comment Period 4/23/2008.

**Abstract:** The Department's Americans with Disabilities Act (ADA) final rule, published September 6, 1991, reserved portions of the rule concerning passenger vessels. The ADA covers passenger vessels, but issuing accessibility requirements for vessels involves complex issues unlike those affecting land transportation. This rulemaking would address these issues and propose feasible requirements to make passenger vessels accessible to, and usable by, individuals with disabilities.

### **Effects:**

EU

NAFTA

Foreign

**Prompting action:** Statute

Legal Deadline: None

**Rulemaking Project Initiated:** 07/26/1990

**Docket Number:** OST-2007-26829

**Dates for Final Rule:** 

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST			
To OMB			
OMB Clearance			
Publication Date			
End of Comment Period			

Explanation for any delay: N/

Α

Federal Register Citation for Final Rule: None

Office of the Secretary

53. **Aviation Data Requirements Review and Modernization** Black **Program** 

Popular Title: Aviation Data

#### **RIN 2105-AC71**

**Stage:** Undetermined

**Previous Stage:** ANPRM: Publication Date 07/15/1998; End of Comment Period 09/14/1998; End of Reply Comment Period 10/13/1998. NPRM: Publication Date 02/17/2005; End of Comment Period 04/18/2005; Extension of Comment Period Publication Date 07/18/2005: End of Extended Comment Period 9/18/2005.

**Abstract:** This rulemaking requested public comments from reporting carriers and aviation data users on the nature, scope, source, and means for collecting, processing, and distributing airline traffic, fare, and financial data. Specifically, it invited comments on whether existing airline traffic, fare, and financial data should be amended, supplemented, or replaced; whether selected forms and reports should be retained, modified, or eliminated; whether OST should require all aviation data to be filed electronically; and how the aviation data system should be reengineered to enhance efficiency and to reduce costs for both the Department and the airline industry.

#### **Effects:**

None

**Prompting action:** None Legal Deadline: None

**Rulemaking Project Initiated:** 04/15/1998

**Docket Number:** OST-1998-4043

**Dates for Undetermined:** 

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST			
To OMB			
OMB Clearance			
Publication Date			
End of Comment Period			

**Explanation for any delay:** N/

Federal Register Citation for Undetermined: None

Office of the Secretary

**Protection of Sensitive Security Information** 

Black

**Popular Title:** SSI-TSA

**RIN 2105-AD59** 

**Stage:** Undetermined

Previous Stage: Interim Final Rule: Publication Date 05/18/2004; End of

Comment Period 07/19/2004.

**Abstract:** This rulemaking would publish a Final Rule addressing the May 2004 Interim Final Rule and respond to any comments. As of January 31, 2007, this rulemaking is on hold pending the receipt of additional information from DHS. The schedule cannot be further updated until this information is received.

#### **Effects:**

None

**Prompting action:** None **Legal Deadline:** None

**Rulemaking Project Initiated:** 09/02/2002

**Docket Number:** 

### **Dates for Undetermined:**

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST			
To OMB			
OMB Clearance			
Publication Date			
End of Comment Period			

**Explanation for any delay:** N/

A

Federal Register Citation for Undetermined: None

Office of the Secretary

# 55. Credit Assistance for Surface Transportation Projects

Red

Popular Title: TIFIA Update

RIN 2105-AD70 Stage: NPRM

Previous Stage:None

**Abstract:** This rulemaking would amend the TIFIA rule to implement recent statutory changes and incorporate certain other changes that the DOT believes will improve the efficiency of the program and its usefulness to borrowers. This rulemaking would also extend applicability of the rule to the Maritime Administration.

#### **Effects:**

Economically Significant

Major

**Prompting action:** None **Legal Deadline:** None

**Rulemaking Project Initiated:** 04/17/2007

Docket Number: OST-2000-7401

**Dates for NPRM:** 

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	05/18/2007	07/18/2008	07/30/2008
To OMB	06/18/2007	09/10/2008	09/05/2008
OMB Clearance	09/18/2007	01/05/2009	
Publication Date	09/28/2007	01/12/2009	
End of Comment Period	11/28/2007	03/12/2009	

Explanation for any delay: Additional coordination necessary

Federal Register Citation for NPRM: None

Office of the Secretary

## 56. Enhancing Airline Passenger Protections

Red

Popular Title: Aviation Consumer

RIN 2105-AD72 Stage: NPRM

Previous Stage: ANPRM: Publication Date 11/22/2007; End of Comment Period

1/22/2008.

**Abstract:** This rulemaking would propose to enhance airline passenger protections in the following ways: (1) require carriers to adopt contingency plans for lengthy tarmac delays and to incorporate these plans in their contracts of carriage, (2) require carriers to respond to consumer problems, (3) declare the operation of flights that remain chronically delayed to be an unfair and deceptive practice and an unfair method of competition, (4) require carriers to publish delay data on their websites, and (5) require carriers to adopt customer service plans, incorporate these in their contracts of carriage, and audit their adherence to their plans.

#### **Effects:**

None

Prompting action: Secretarial/Head of Operating Administration Decision

**Legal Deadline:** None

**Rulemaking Project Initiated:** 09/04/2007

**Docket Number:** DOT-OST-2007-0022

**Dates for NPRM:** 

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	06/16/2008	07/02/2008	07/03/2008
To OMB	06/27/2008	09/18/2008	09/17/2008
OMB Clearance	09/27/2008	12/18/2008	11/14/2008
Publication Date	09/30/2008	12/22/2008	11/24/2008
End of Comment Period	11/30/2008	02/22/2009	

Explanation for any delay: Unanticipated issues requiring further analysis

Federal Register Citation for NPRM: 73 Fed.Reg.236

## **Pipeline and Hazardous Materials Safety Administration**

Pipeline and Hazardous Materials Safety Administration

57. Hazardous Materials: Miscellaneous Packaging Amendments

Red

Popular Title: Packaging Requirements

RIN 2137-AD89

Stage: Final Rule

Previous Stage: NPRM: Publication Date 08/31/2006; End of Comment Period

11/30/2006.

**Abstract:** This rulemaking would add provisions for Large Packagings and revise the specification requirements for non-bulk packagings and portable tanks. This rulemaking would address issues raised through enforcement actions and requests for clarification of the regulations by packaging manufacturers, third-part labs, and shippers. Also, this action would address packaging closures, design modifications that may or may not require recertification.

#### **Effects:**

EU NAFTA

Foreign

**Prompting action:** None

Legal Deadline: None

**Rulemaking Project Initiated:** 09/16/2003

**Docket Number:** PHMSA-2006-25736

**Dates for Final Rule:** 

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	09/19/2008	02/27/2009	
To OMB	10/24/2008	04/02/2009	
OMB Clearance	01/22/2009	07/02/2009	
Publication Date	01/29/2009	07/09/2009	

Explanation for any delay: Additional coordination necessary

Federal Register Citation for Final Rule: None

Pipeline and Hazardous Materials Safety Administration

Hazardous Materials: Requirements for Storage of Explosives
During Transportation

Red

**Popular Title**: Storage of Explosives

**RIN 2137-AE06** 

**Stage:** Undetermined **Previous Stage:** None

Abstract: This rulemaking would address the current safety and security risks associated with the storage of explosives during transportation. The HMR require shipments stored during transportation to conform to the same requirements that apply when the shipments are actually moving and for the shipping company to have security plans covering its shipments. Otherwise, the HMR do not include specific requirements for facilities at which explosives or other high-hazard materials are stored during transportation. The HMR do not establish specific standards for storage facilities nor do they limit the amount of material that may be stored in a single location. Through this rulemaking, the agency will evaluate the adequacy of existing regulatory requirements and the need for additional, more specific requirements. In particular, the agency is considering whether current industry standards applicable to the storage of explosives should be incorporated by reference into the HMR.

#### **Effects:**

None

**Prompting action:** None **Legal Deadline:** None

**Rulemaking Project Initiated:** 01/01/2005

**Docket Number:** PHMSA-2005-22987

**Dates for Undetermined:** 

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST			
To OMB			
OMB Clearance			
Publication Approved			
Publication Date			

Explanation for any delay: N/

Federal Register Citation for Undetermined: None

Pipeline and Hazardous Materials Safety Administration

59. **Hazardous Materials: Enforcement Regulations** 

Red

**Popular Title**: Package Inspection Authority

RIN 2137-AE13
Stage: Final Rule

**Previous Stage:** NPRM: Publication Date 10/02/2008;End of Comment Period 12/01/2008.

**Abstract:** Pursuant to a mandate in SAFETEA-LU (section 7118 of P.L. 109-59), this rulemaking would establish procedural regulations to implement authority provided to DOT to open packages believed to contain hazardous materials; remove such packages from transportation; gather information; order the package transported to a facility for examination and analysis; obtain assistance from qualified persons; and issue emergency restrictions, prohibitions, recalls, or out-of-service orders to abate an imminent hazard.

#### **Effects:**

EU

**NAFTA** 

Foreign

Prompting action: Statute

**Legal Deadline:** Temporary Rule : 10/11/2005

Final Rule: 08/10/2006

**Rulemaking Project Initiated:** 08/10/2005

Docket Number: PHMSA-2005-22356

**Dates for Final Rule:** 

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	12/22/2009		
To OMB	01/26/2010		
OMB Clearance	04/26/2010		
Publication Date	05/01/2010		

Explanation for any delay: Additional coordination necessary

Federal Register Citation for Final Rule: None

Pipeline and Hazardous Materials Safety Administration

Green

60. Pipeline Safety: Distribution Integrity Management

Popular Title: Distribution Integrity Management

RIN 2137-AE15
Stage: Final Rule

Previous Stage: NPRM Publication Date 06/25/2008; End of Comment Period

09/23/2008; End of Extended Comment Period 10/23/2008

**Abstract:** This rulemaking would establish integrity management program requirements appropriate for gas distribution pipeline operators. This rulemaking would require gas distribution pipeline operators to develop and implement programs to better assure the integrity of their pipeline systems.

### **Effects:**

**Economically Significant** 

Major

**Information Collection** 

Prompting action: Statute

Legal Deadline: None

**Rulemaking Project Initiated:** 03/02/2006

**Docket Number: PHMSA-04-19854** 

**Dates for Final Rule:** 

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	02/19/2009		
To OMB	03/25/2009		
OMB Clearance	06/23/2009		
Publication Date	06/30/2009		

**Explanation for any delay:** N/

A

Federal Register Citation for Final Rule: None

Pipeline and Hazardous Materials Safety Administration

61. Hazardous Materials: Revision of Requirements for Security Plans Green

Popular Title: Security Plans

RIN 2137-AE22
Stage: Final Rule

Previous Stage: NPRM: Publication Date 9/8/2008; End of Comment Period

11/10/2008.

**Abstract:** In response to two industry petitions for rulemaking, this rulemaking will reconsider and refine the list of hazardous materials for which security plans are currently required. The industry petitioners asked PHMSA to amend the security plan regulations to create a distinction between hazardous materials that present a significant security risk while in transportation and the vast majority of hazardous materials that pose no significant security risk in transportation.

#### **Effects:**

EU

**NAFTA** 

Foreign

**Prompting action:** None

Legal Deadline: None

**Rulemaking Project Initiated:** 05/01/2006

**Docket Number:** PHMSA-2006-25885

#### **Dates for Final Rule:**

Milestone	Originally Scheduled	New Projected	Actual Date
	Date	Date	
To OST	05/01/2009		
To OMB	06/04/2009		
OMB Clearance	09/04/2009		
Publication Date	09/14/2009		

Explanation for any delay: N/

Α

Federal Register Citation for Final Rule: None

Pipeline and Hazardous Materials Safety Administration

# 62. Control Room Management/Human Factors

Red

**Popular Title**: Control Room Management/Human Factors

**RIN 2137-AE28** 

**Stage:** Undetermined

Previous Stage: NPRM: Publication Approved 08/28/2008; Publication Date

09/12/2008; End of Comment Period 11/12/2008.

Abstract: This rulemaking would require operators of natural gas pipelines, hazardous liquid pipelines, and liquefied natural gas (LNG) facilities, to identify and employ specific procedures to improve control room operations, controller training, and controller qualifications. It would require operators to develop, implement, and submit to PHMSA (or the appropriate State), a human factors management plan designed to reduce risks associated with human factors. This would require operators to include in their plans a maximum limit on the hours of service for individuals employed as controllers. It would also require operators to periodically review their management of computer-based control system alarms and to verify that the control systems provide accurate and timely information to controllers. This rulemaking would further require each operator to validate the implementation of the program elements applicable to their individual control system.

**Effects:** 

None

**Prompting action:** Statute

Legal Deadline: None

**Rulemaking Project Initiated:** 08/10/2007

**Docket Number: PHMSA-2007-27954** 

**Dates for Undetermined:** 

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST			
To OMB			
OMB Clearance			
Publication Date			
End of Comment Period			

**Explanation for any delay:** N/

Α

Federal Register Citation for Undetermined: None

Pipeline and Hazardous Materials Safety Administration

63. Hazardous Materials; Combination Packages Containing Liquids Intended for Transport by Aircraft Green

Popular Title: Air Packaging

**RIN 2137-AE32** 

**Stage:** NPRM

Previous Stage: ANPRM: Publication 7/07/2008; End of Comment Period

9/5/2008.

**Abstract:** Incident data and testing conducted on behalf of DOT indicate many combination packagings authorized for the transportation of hazardous materials may not withstand conditions normally incident to air transportation. PHMSA is considering measures to reduce the incidence of package failures and to minimize the consequences of failures should they occur. This rulemaking would require additional measures to verify packaging integrity, such as performance testing, and revisions to packaging requirements, such as the addition of liners or absorbent material.

#### **Effects:**

EU

**NAFTA** 

Foreign

Prompting action: Secretarial/Head of Operating Administration Decision

Legal Deadline: None

**Rulemaking Project Initiated:** 06/04/2007

**Docket Number: PHMSA-07-29364** 

**Dates for NPRM:** 

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	07/10/2009		
To OMB	08/13/2009		
OMB Clearance	11/13/2009		
Publication Date	11/20/2009		
End of Comment Period	01/20/2010		

Explanation for any delay: N/

A

Federal Register Citation for NPRM: None

Pipeline and Hazardous Materials Safety Administration

64. Hazardous Materials: Registration and Fee Assessment Program

Black

**Popular Title**: Registration and Fee Program

#### **RIN 2137-AE35**

**Stage:** Final Rule **Previous Stage:** : .

**Abstract:** PHMSA would amend the statutorily mandated registration and fee assessment program for persons who transport or offer for transportation certain categories and quantities of hazardous materials. For those registrants not qualifying as a small business or not-for-profit organization, PHMSA would increase the fee from \$975 (plus a \$25 administrative fee) to \$2,475 (plus a \$25 administrative fee) for registration year 2009-2010 and following years. For small businesses, the registration fee would remain at its current level of \$975 (plus a \$25 administrative fee). The increase is necessary to fund the national Hazardous Material Emergency Preparedness (HMEP) grants program at its authorized level of \$28,000,000. The purpose of the HMEP grants program is to support hazardous material emergency response planning and training activities by States, local governments, and Indian tribes and related activities in accordance with U.S.C. 5108(b) and 5116.

#### **Effects:**

65.

None

Prompting action: Statute

Legal Deadline: None

**Rulemaking Project Initiated:** 01/23/2008

**Docket Number: PHMSA 2008-0010** 

**Dates for Final Rule:** 

Milestone	Originally	New	Actual
	Scheduled	Projected	Date
	Date	Date	
To OST		02/02/2009	
To OMB		03/06/2009	
OMB Clearance		06/05/2009	
Publication		06/29/2009	
Date			

Explanation for any delay:  $N\!\!\!/$ 

Α

Federal Register Citation for Final Rule: None

Pipeline and Hazardous Materials Safety Administration

Hazardous Materials: Bulk Loading and Unloading	Black
Operations	

Popular Title: Loading/Unloading

RIN 2137-AE37 Stage: ANPRM

Previous Stage: None

**Abstract:** PHMSA's review of transportation incident data and the findings of several National Transportation Safety Board (NTSB) and Chemical Safety Board (CSB) accident investigations involving bulk hazardous materials loading and unloading operations suggest there may be opportunities to enhance the safety of such operations. PHMSA is considering whether additional regulatory requirements governing bulk loading and unloading operations are necessary. We are concerned that current HMR requirements may not adequately address the safety risks. In particular, PHMSA is examining the safety benefits that would accrue from a requirement for persons conducting bulk loading and unloading operations to develop and implement operating procedures governing these operations.

## **Effects:**

Information Collection

Prompting action: Secretarial/Head of Operating Administration Decision

Legal Deadline: None

**Rulemaking Project Initiated:** 10/28/2008

Docket Number:
Dates for ANPRM:

Milestone	Originally Scheduled Date	New Projected Date	Actual Date
To OST	06/08/2009		
To OMB	07/10/2009		
OMB Clearance	07/20/2009		
Publication Date	07/28/2009		
End of Comment Period	09/30/2009		

**Explanation for any delay:** N/

Α

Federal Register Citation for ANPRM: None