

**U.S. Department of Transportation (DOT)
Freedom of Information Act (FOIA)
FY 2015 Annual Report**

I. BASIC INFORMATION REGARDING REPORT

A. *Person to be contacted with questions about the report*

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B. *Electronic address for report on the World Wide Web*

www.transportation.gov/foia

C. *Person to be contacted for a copy of the report in paper form*

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II. MAKING A FOIA REQUEST

A. Agency components that receive FOIA requests

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<p>Madeline Van Nostrand, FOIA Program Manager (PHC-30) Pipeline & Hazardous Materials Safety Admin. 1200 New Jersey Avenue, SE, Room E23-306 Washington, DC 20590 Tel (202) 366-0273 Fax (202) 366-7041 Email PHMSA.FOIA@dot.gov</p>	<p>Executive Secretariat National Highway Traffic Safety Administration 1200 New Jersey Avenue, SE, Room W41-304 Washington, DC 20590 Tel (202) 366-1834 Fax (202) 493-2929 Email webmaster@nhtsa.dot.gov</p>
<p>Ian Serguson, FOIA/Privacy Act Contact Saint Lawrence Seaway Development Corporation 1200 New Jersey Avenue, SE Washington, DC 20590 Tel (202) 366-6510 Fax (202) 366-6448 Email foia.request@sls.dot.gov</p>	<p>Susan McLean, FOIA Coordinator FOIA Program Management Branch (AFN-140) Federal Aviation Administration 800 Independence Avenue, SW Washington, DC 20591 Tel (202) 267-9165 Fax (202) 267-6514 Email 7-AWA-ARC-FOIA@faa.gov</p>

Federal Aviation Administration (FAA) Field Offices:	
<p>Annjanette Cummins, FOIA Coordinator, ANM-31 FAA Northwest Mountain Region, Email 9-ANM-FOIA@faa.gov FAA Alaskan Region, Email 9-AAL-FOIA@faa.gov FAA Western Pacific Region, Email 9-AWP-FOIA@faa.gov 1601 Lind Avenue SW Renton, WA 98057 Tel (425) 227-2929 Fax (425) 227-1041</p>	<p>Stacy Thomas, FOIA Coordinator, AJV-E52 FAA Air Traffic Organization – Eastern Service Area 1701 Columbia Avenue PO Box 20636 Atlanta, GA 30337 Tel (404) 305-5549 Fax (404) 305-6626 Email 9-ATOE-ESA-FOIA@faa.gov</p>
<p>Judy A. Shipp, FOIA Coordinator, ASW-31 FAA Southwest Region (Email 9-ASW-FOIA@faa.gov) FAA Great Lakes Region (Email 9-ASW-FOIA@faa.gov) FAA Central Region (Email 9-ACE-FOIA@faa.gov) 2601 Meacham Boulevard Fort Worth, TX 76137 Tel (817) 222-5059 Fax (817) 222-5891</p>	<p>Douglas Burdette, FOIA Coordinator FAA Regulatory Support Division, AFS-600 6500 S. MacArthur PO Box 25082 Oklahoma City, OK 73125 Tel (405) 954-6501 Fax (405) 954-4655 Email 9-AMC-AFS620-FOIA@faa.gov</p>
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<p>Mark Collins, FOIA Coordinator FAA Air Traffic Organization – Western Service Area FAA ATO-WSA, Mailcode: AJV-W5 1601 Lind Avenue, SW Renton, WA 98057 Tel (425) 203-4186 Fax (425) 203-4186 Email 9-ATO-WSA-FOIA@faa.gov</p>	<p>Carrie LaFollette, FOIA Coordinator FAA Civil Aviation Registry, AFS-700 6500 S. MacArthur, PO Box 25082 Oklahoma City, OK 73125 Tel (405) 954-4331 Fax (405) 954-5759 Email 9-AMC-AFS700-FOIA@faa.gov</p>
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<p>Note: Up-to-date information can be found on each component's FOIA page via www.dot.gov/foia</p>	

II. B. *A brief description of why some requests are not granted and an overview of certain general categories of the agency's records to which the FOIA exemptions apply*

Some requested records, or portions of records, were withheld pursuant to the FOIA's exemptions. The most frequently used exemption in DOT for FY 2015 was Exemption 6, which protects against a clearly unwarranted invasion of personal privacy. Other reasons for not granting requests included: (a) DOT did not have the records sought; (b) the requester did not seek records; rather, he or she was seeking answers to questions; or (c) the information from the records sought was publicly available. For a detailed breakdown, see Section V. B of this report, Disposition of FOIA Requests.

III. AGENCY COMPONENT ABBREVIATIONS

Component Abbreviation	Component Name
FAA	Federal Aviation Administration
FHWA	Federal Highway Administration
FMCSA	Federal Motor Carrier Safety Administration
FRA	Federal Railroad Administration
FTA	Federal Transit Administration
MARAD	Maritime Administration
NHTSA	National Highway Traffic Safety Administration
OIG	Office of the Inspector General
OST	Office of the Secretary of Transportation
PHMSA	Pipeline and Hazardous Materials Safety Administration
SLSDC	Saint Lawrence Seaway Development Corporation
RITA	Research and Innovative Technology Administration

IV. EXEMPTION 3 STATUTES

Statute	Type of Information Withheld	Case Citation	Agency / Component	Number of Times Relied upon by Agency / Component	Total Number of Times Relied upon by Agency Overall
49 U.S.C. § 40119(b)	Certain information obtained or developed in ensuring transportation security if disclosure of that information would: constitute an invasion of personal privacy, reveal a trade secret or confidential commercial or financial information, or be detrimental to transportation safety	Pub. Citizen, Inc. v. FAA, 988 F.2d 186, 194 (D.C. Cir. 1993); Gordon v. FBI, 390 F. Supp. 2d 897, 900 (N.D. Cal. 2004).	FAA	13	158
			FMCSA	140	
			OIG	2	
			PHMSA	3	
Fed. R. Crim. P. 6(e), enacted by Act of July 30, 1977, Pub. L. No. 95-78, 91 Stat. 319	Certain records pertaining to grand jury proceedings	Sussman v. USMS, 494 F.3d 1106, 1113 (D.C. Cir. 2007); Fund for Constitutional Gov't v. Nat'l Archives & Records Serv., 656 F.2d 856, 867-68 (D.C. Cir. 1981); Durham v. U.S. Atty. Gen., No. 06-843, 2008 WL 620744, at *2 (E.D. Tex. Mar. 3, 2008); Cozen O'Connor v. U.S. Dep't of Treasury, 570 F. Supp. 2d 749, 776 (E.D. Pa. 2008).	FAA	1	1
49 U.S.C. § 1114(f)	Foreign aircraft accident investigation information	N/A	FAA	1	1

Statute	Type of Information Withheld	Case Citation	Agency / Component	Number of Times Relied upon by Agency / Component	Total Number of Times Relied upon by Agency Overall
49 U.S.C. § 40110(e)	Contractor bid or proposal information submitted to the FAA that are not incorporated into the contract	N/A	FAA	3	3
49 U.S.C. § 40123(a)	Aviation Safety Action Program voluntarily submitted information	N/A	FAA	24	24
41 U.S.C. § 253b(m)(1) (currently at 41 U.S.C. § 4702)	Contractor proposals that are in the possession or control of an executive agency and that have not been set forth or incorporated by reference into contracts	Sinkfield v. HUD, No. 10-885, 2012 U.S. Dist. LEXIS 35233, at *13-15 (S.D. Ohio Mar. 15, 2012); Margolin v. NASA, No. 09-CV-00421, 2011 WL 1303221, at *6 (D. Nev. Mar. 31, 2011); Hornbostel v. U.S. Dep't of the Interior, 305 F. Supp. 2d 21, 30 (D.D.C. 2003), summary affirmance granted, No. 03-5257, 2004 WL 1900562 (D.C. Cir. Aug. 25, 2004).	NHTSA	2	3
			OST	1	
41 U.S.C. § 2102(a)	Contractor bid or proposal information; source selection information	Legal & Safety Employer Research, Inc. v. U.S. Dep't of the Army, No. Civ. S001748, 2001 WL 34098652, at *3-4 (E.D. Cal. May 4, 2001) (dictum).	NHTSA	1	1
16 U.S.C. § 470w-3	National Historic Preservation information	N/A	FHWA	1	1

Statute	Type of Information Withheld	Case Citation	Agency / Component	Number of Times Relied upon by Agency / Component	Total Number of Times Relied upon by Agency Overall
49 U.S.C. § 31105(h)(1)	Names of certain motor carrier employees	N/A	FMCSA	106	106
23 U.S.C. § 403(e)	Identities of subjects of traffic accidents	N/A	FMCSA	1	1
26 U.S.C. § 6103(a)	Certain tax return information, to include Taxpayer Identification Numbers of third parties	Church of Scientology v. IRS, 484 U.S. 9, 15 (1987) (26 U.S.C. § 6103); Leonard v. U.S. Dep't of Treasury, 590 F. App'x. 141, 143-44 (3d Cir. 2014) (per curiam); Pac. Fisheries, Inc. v. IRS, 395 F. App'x. 438, 440 (9th Cir. 2010) (unpublished disposition) (26 U.S.C. §§ 6103, 6105).	OST	1	1

V.A. FOIA REQUESTS -- RECEIVED, PROCESSED AND PENDING FOIA REQUESTS

Agency / Component	Number of Requests Pending as of Start of Fiscal Year	Number of Requests Received in Fiscal Year	Number of Requests Processed in Fiscal Year	Number of Requests Pending as of End of Fiscal Year
FAA	1,245	9,636	9,088	1,793
FHWA	24	361	368	17
FMCSA	761	1,510	1,538	733
FRA	118	535	355	298
FTA	11	281	273	19
MARAD	36	105	124	17
NHTSA	77	262	249	90
OIG	30	103	119	14
OST	103	366	378	91
PHMSA	82	187	159	110
SLSDC	0	28	28	0
RITA	2	0	2	0
AGENCY OVERALL	2,489	13,374	12,681	3,182

Note: The number of requests pending at the start of the fiscal year is 32 lower for FAA, 3 lower for FHWA, 11 lower for FMCSA, and 1 lower for NHTSA, than reported at the end of last year's report. The adjustments reflect requests where all final action was taken in FY 2014, but the close-outs were not captured in the tracking system in FY 2014. For this reason, the overall agency number of requests pending at the start of the fiscal year is 47 lower than reported in last year's report.

V.B.(1). DISPOSITION OF FOIA REQUESTS -- ALL PROCESSED REQUESTS

Agency / Component	Number of Full Grants	Number of Partial Grants / Partial Denials	Number of Full Denials Based on Exemptions	Number of Full Denials Based on Reasons Other than Exemptions									TOTAL
				No Records	All Records Referred to Another Component or Agency	Request Withdrawn	Fee-Related Reason	Records not Reasonably Described	Improper FOIA Request for Other Reason	Not Agency Record	Duplicate Request	Other *Explain in Chart Below	
FAA	5,839	1,523	62	1,181	2	413	24	5	26	13	0	0	9,088
FHWA	163	81	4	54	27	17	10	1	2	2	7	0	368
FMCSA	341	792	2	129	0	218	1	13	0	13	29	0	1,538
FRA	130	57	13	101	3	31	0	6	0	5	9	0	355
FTA	99	89	7	76	0	1	0	0	0	1	0	0	273
MARAD	48	30	0	34	0	6	1	3	0	2	0	0	124
NHTSA	61	65	30	44	0	14	8	7	1	2	12	5	249
OIG	15	43	10	39	0	2	0	0	0	0	2	8	119
OST	109	106	6	71	0	8	0	0	0	2	3	73	378
PHMSA	68	27	3	38	0	7	8	0	0	1	5	2	159
SLSDC	10	1	0	17	0	0	0	0	0	0	0	0	28
RITA	0	0	0	0	0	0	0	0	0	0	0	2	2
AGENCY OVERALL	6,883	2,814	137	1,784	32	717	52	35	29	41	67	90	12,681

**V.B.(2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS
BASED ON REASONS OTHER THAN EXEMPTIONS"**

Agency / Component	Description of "Other" Reasons for Denials from Chart B(1)	Number of Times "Other" Reason Was Relied Upon	TOTAL
FAA	N/A	0	0
NHTSA	Opened in error	5	5
OIG	Opened in error	8	8
OST	Opened in error	73	73
PHMSA	Opened in error	2	2
RITA	RITA became part of OST during the FY; all RITA cases were absorbed by OST and reflected in OST's numbers	2	2
FHWA	N/A	0	0
FMCSA	N/A	0	0
FRA	N/A	0	0
FTA	N/A	0	0
MARAD	N/A	0	0
AGENCY OVERALL			90

V.B.(3). DISPOSITION OF FOIA REQUESTS -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
FAA	0	15	43	90	357	637	65	0	15	1	14	1	0	0
FHWA	0	0	1	27	42	24	1	0	2	0	0	0	0	0
FMCSA	0	1	176	26	0	786	4	0	523	139	1	0	0	0
FRA	0	0	0	11	12	63	14	0	51	0	0	0	0	0
FTA	0	0	0	14	15	64	0	0	0	0	0	0	0	0
MARAD	0	0	0	13	42	24	0	0	0	0	0	0	0	0
NHTSA	0	0	5	20	16	61	0	0	0	0	0	0	0	0
OIG	0	0	0	1	1	1	1	0	1	1	1	0	0	0
OST	0	0	2	24	29	84	1	0	0	0	0	0	0	0
PHMSA	0	0	3	1	5	16	1	0	1	0	0	15	0	0
SLSDC	0	0	0	1	0	0	0	0	0	0	0	0	0	0
RITA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	0	16	230	228	519	1,760	87	0	593	141	16	16	0	0

VIA. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS

Agency / Component	Number of Appeals Pending as of Start of Fiscal Year	Number of Appeals Received in Fiscal Year	Number of Appeals Processed in Fiscal Year	Number of Appeals Pending as of End of Fiscal Year
FAA	23	102	101	24
FHWA	2	15	17	0
FMCSA	0	1	0	1
FRA	1	7	2	6
FTA	1	6	3	4
MARAD	1	3	3	1
NHTSA	0	12	11	1
OIG	1	5	5	1
OST	0	5	5	0
PHMSA	0	8	8	0
SLSDC	0	0	0	0
RITA	0	0	0	0
AGENCY OVERALL	29	164	155	38

Note: The number of pending appeals for the agency overall at the beginning of Fiscal Year 2015 is the same as that reported as pending at the end of the Fiscal Year 2014. However, the number is 2 higher for FAA, 1 lower for FMCSA, and 1 lower for OST than reported in last year's report. In FAA, two appeals were received in FY 2014, but not logged in until FY 2015. Both FMCSA and OST completed action on an appeal in FY 2014, but did not log it out until FY 2015.

VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS -- ALL PROCESSED APPEALS

Agency / Component	Number Affirmed on Appeal	Number Partially Affirmed & Partially Reversed/Remanded on Appeal	Number Completely Reversed/Remanded on Appeal	Number of Appeals Closed for Other Reasons	TOTAL
FAA	39	14	48	0	101
FHWA	5	6	1	5	17
FMCSA	0	0	0	0	0
FRA	1	0	1	0	2
FTA	2	0	0	1	3
MARAD	0	2	0	1	3
NHTSA	7	2	0	2	11
OIG	0	1	0	4	5
OST	1	3	1	0	5
PHMSA	5	1	1	1	8
SLSDC	0	0	0	0	0
RITA	0	0	0	0	0
AGENCY OVERALL	60	29	52	14	155

VI.C.(1). REASONS FOR DENIAL ON APPEAL -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
FAA	0	2	1	4	5	14	3	0	5	0	0	0	0	0
FHWA	0	0	1	1	1	2	0	0	0	0	0	0	0	0
FMCSA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
FRA	0	0	0	0	4	5	4	0	1	0	0	0	0	0
FTA	0	0	0	0	2	0	0	0	0	0	0	0	0	0
MARAD	0	0	0	0	2	0	0	0	0	0	0	0	0	0
NHTSA	0	0	1	1	1	0	0	0	0	0	0	0	0	0
OIG	0	0	0	1	1	1	0	0	1	0	0	0	0	0
OST	0	0	0	2	3	3	0	0	0	0	0	0	0	0
PHMSA	0	0	0	1	0	0	0	0	0	0	0	1	0	0
SLSDC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RITA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	0	2	3	10	19	25	7	0	7	0	0	1	0	0

VI.C.(2). REASONS FOR DENIAL ON APPEAL -- REASONS OTHER THAN EXEMPTIONS

Agency / Component	No Records	Records Referred at Initial Request Level	Request Withdrawn	Fee-Related Reason	Records not Reasonably Described	Improper Request for Other Reasons	Not Agency Record	Duplicate Request or Appeal	Request in Litigation	Appeal Based Solely on Denial of Request for Expedited Processing	Other *Explain in chart below
FAA	19	0	6	0	0	15	1	0	0	2	0
FHWA	4	1	0	1	0	2	0	2	0	0	1
FMCSA	0	0	0	0	0	0	0	0	0	0	0
FRA	1	0	0	0	0	0	0	0	0	1	0
FTA	0	0	1	0	0	0	0	0	0	0	0
MARAD	0	0	1	0	0	0	0	0	0	0	0
NHTSA	0	0	0	1	0	0	0	0	0	0	0
OIG	0	0	0	0	0	4	0	0	0	0	0
OST	0	0	0	0	0	0	0	0	0	0	0
PHMSA	2	0	0	0	0	1	0	0	0	0	0
SLSDC	0	0	0	0	0	0	0	0	0	0	0
RITA	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	26	1	8	2	0	22	1	2	0	3	1

VI.C.(3). REASONS FOR DENIAL ON APPEAL -- "OTHER" REASONS

Agency / Component	Description of "Other" Reasons for Denial on Appeal from Chart C(2)	Number of Times "Other" Reason Was Relied Upon	TOTAL
FHWA	Moot	1	1
FAA	N/A	0	0
FMCSA	N/A	0	0
FRA	N/A	0	0
FTA	N/A	0	0
MARAD	N/A	0	0
NHTSA	N/A	0	0
OIG	N/A	0	0
OST	N/A	0	0
PHMSA	N/A	0	0
SLSDC	N/A	0	0
RITA	N/A	0	0
AGENCY OVERALL			1

VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS

Agency / Component	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
FAA	14.00	86.00	<1	748.00
FHWA	25.00	34.00	<1	134.00
FMCSA	0.00	0.00	0.00	0.00
FRA	93.00	93.00	93.00	93.00
FTA	75.00	108.00	56.00	194.00
MARAD	29.00	56.60	11.00	139.00
NHTSA	20.00	27.91	<1	60.00
OIG	11.00	223.00	3.00	932.00
OST	50.00	53.00	1.00	87.00
PHMSA	27.00	35.00	5.00	92.00
SLSDC	0.00	0.00	0.00	0.00
RITA	0.00	0.00	0.00	0.00
AGENCY OVERALL	25.00	59.20	<1	932.00

VI.C.(5). TEN OLDEST PENDING ADMINISTRATIVE APPEALS

Agency / Component		10th Oldest Appeal	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Appeal
FAA	Date of Appeal	2015-09-03	2015-09-03	2015-08-26	2015-08-10	2015-07-30	2015-07-29	2015-07-17	2015-07-17	2015-07-17	2014-04-02
	Number of Days Pending	18	18	24	36	43	44	52	52	52	377
FHWA	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
FMCSA	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2014-12-10
	Number of Days Pending	0	0	0	0	0	0	0	0	0	203
FRA	Date of Appeal	N/A	N/A	N/A	N/A	2015-09-16	2015-07-09	2015-07-09	2015-07-09	2015-07-09	2015-01-09
	Number of Days Pending	0	0	0	0	53	58	58	58	58	186
FTA	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	2015-08-17	2015-06-18	2015-06-15	2015-05-13
	Number of Days Pending	0	0	0	0	0	0	31	72	75	97
MARAD	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2015-01-15
	Number of Days Pending	0	0	0	0	0	0	0	0	0	183
NHTSA	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2014-10-16
	Number of Days Pending	0	0	0	0	0	0	0	0	0	240
OIG	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2015-05-19
	Number of Days Pending	0	0	0	0	0	0	0	0	0	93
OST	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
PHMSA	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
SLSDC	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
RITA	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	Date of Appeal	2015-07-09	2015-06-18	2015-06-15	2015-05-19	2015-05-13	2015-01-15	2015-01-09	2014-12-10	2014-10-16	2014-04-02
	Number of Days Pending	58	72	75	93	97	183	186	203	240	377

VII.A. FOIA REQUESTS -- RESPONSE TIME FOR ALL PROCESSED PERFECTED REQUESTS

Agency / Component	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
FAA	17	20	<1	953	35	50	<1	1172	19	28	5	80
FHWA	13	15	<1	76	20	33	<1	285	7	6	4	8
FMCSA	93	84	1	401	119	116	1	380	23	23	9	37
FRA	N/A	N/A	N/A	N/A	37	74	1	627	19	23.75	3	50
FTA	20	21	<1	104	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
MARAD	12.5	48.75	<1	688	98	180.48	1	680	N/A	N/A	N/A	N/A
NHTSA	18	39.79	<1	303	147	163.96	30	562	N/A	N/A	N/A	N/A
OIG	22	54	<1	221	138	154	6	303	54	54	54	54
OST	24	46	<1	338	71	157	<1	782	N/A	N/A	N/A	N/A
PHMSA	15	43	<1	325	67	252	<1	1105	525	455	238	603
SLSDC	14	12.7	2	23	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
RITA	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
AGENCY OVERALL	34	56.51	<1	953	102	115	<1	1172	37	165	3	603

VII.B. PROCESSED REQUESTS -- RESPONSE TIME FOR PERFECTED REQUESTS IN WHICH INFORMATION WAS GRANTED

Agency / Component	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
FAA	18	21	<1	768	36	51	<1	1172	18	24	5	80
FHWA	17	18	<1	76	24	43	3	285	8	8	7	8
FMCSA	95	86	1	263	119	117	4	349	23	23	9	37
FRA	N/A	N/A	N/A	N/A	48	61	1	500	12	17	3	46
FTA	20	21	1	98	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
MARAD	22	49.41	1	688	230	228	1	491	N/A	N/A	N/A	N/A
NHTSA	20	41.27	1	303	148.5	163.24	30	382	N/A	N/A	N/A	N/A
OIG	95	110	1	209	147	169	6	303	54	54	54	54
OST	56	74	<1	288	98	178	2	782	N/A	N/A	N/A	N/A
PHMSA	14	44	<1	296	205	294	12	1105	525	455	238	603
SLSDC	10	11.8	2	23	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
RITA	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
AGENCY OVERALL	41	61.91	<1	768	105	116	<1	1172	45.5	185.125	3	603

VII.C. PROCESSED SIMPLE REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
FAA	6,779	637	88	88	21	28	12	8	8	5	22	12	31	7,739
FHWA	187	46	10	5	0	0	0	0	0	0	0	0	0	248
FMCSA	83	158	106	63	217	242	104	53	16	3	5	1	1	1,052
FRA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
FTA	143	114	8	5	2	1	0	0	0	0	0	0	0	273
MARAD	39	13	9	1	2	2	0	0	0	0	0	1	3	70
NHTSA	117	38	6	6	4	2	1	3	4	5	7	1	0	194
OIG	41	15	7	7	6	0	5	5	6	3	3	0	0	98
OST	97	27	19	10	15	4	13	5	1	1	6	1	0	199
PHMSA	74	17	8	4	4	5	5	0	1	0	6	1	0	125
SLSDC	27	1	0	0	0	0	0	0	0	0	0	0	0	28
RITA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	7,587	1,066	261	189	271	284	140	74	36	17	49	17	35	10,026

VII.C. PROCESSED COMPLEX REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
FAA	266	497	259	130	59	28	15	10	11	3	18	5	6	1,307
FHWA	63	28	9	5	3	1	1	1	1	0	2	0	0	114
FMCSA	10	15	15	18	54	145	132	43	23	8	6	2	0	471
FRA	130	43	41	30	18	20	11	10	2	4	10	9	13	341
FTA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
MARAD	3	7	4	4	11	0	0	1	0	0	7	3	11	51
NHTSA	0	2	5	3	8	2	2	7	3	2	8	4	1	47
OIG	2	2	0	2	2	1	2	1	0	0	6	2	0	20
OST	35	27	21	12	7	8	7	9	3	4	8	14	24	179
PHMSA	9	3	2	2	0	2	0	0	0	0	2	4	7	31
SLSDC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RITA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	518	624	356	206	162	207	170	82	43	21	67	43	62	2,561

VII.C. PROCESSED REQUESTS GRANTED EXPEDITED PROCESSING -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
FAA	7	2	0	2	0	0	0	0	0	0	0	0	0	11
FHWA	3	0	0	0	0	0	0	0	0	0	0	0	0	3
FMCSA	1	1	0	0	0	0	0	0	0	0	0	0	0	2
FRA	5	1	2	0	0	0	0	0	0	0	0	0	0	8
FTA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
MARAD	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NHTSA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OIG	0	0	1	0	0	0	0	0	0	0	0	0	0	1
OST	0	0	0	0	0	0	0	0	0	0	0	0	0	0
PHMSA	0	0	0	0	0	0	0	0	0	0	1	0	2	3
SLSDC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RITA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	16	4	3	2	0	0	0	0	0	0	1	0	2	28

VII.D. PENDING REQUESTS -- ALL PENDING PERFECTED REQUESTS

Agency / Component	SIMPLE			COMPLEX			EXPEDITED PROCESSING		
	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days
FAA	1227	94	164	543	111	214	23	2	110
FHWA	15	5	6	2	23	23	0	N/A	N/A
FMCSA	416	63	93	316	61	99	1	265	265
FRA	0	N/A	N/A	296	99	132	0	N/A	N/A
FTA	19	6	53	0	N/A	N/A	0	N/A	N/A
MARAD	9	25	32	8	117.5	196	0	N/A	N/A
NHTSA	55	74	82	32	268.5	280	0	N/A	N/A
OIG	7	34	46	7	62	99	0	N/A	N/A
OST	6	192	240	85	139	179	0	N/A	N/A
PHMSA	71	89	143	37	336	357	2	535	535
SLSDC	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A
RITA	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A
AGENCY OVERALL	1825	63	102.45	1326	96	152.218	26	509	445

VII.E. PENDING REQUESTS -- TEN OLDEST PENDING PERFECTED REQUESTS

Agency / Component		10th Oldest Request	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Request
FAA	Date of Receipt	2011-12-15	2011-12-01	2011-11-19	2011-11-25	2011-10-12	2011-10-07	2011-09-06	2011-08-16	2011-08-08	2011-08-04
	Number of Days Pending	951	961	963	965	995	997	1020	1034	1040	1042
FHWA	Date of Receipt	2015-09-23	2015-08-21	2015-09-22	2015-09-21	2015-09-21	2015-09-21	2015-09-16	2015-09-14	2015-09-11	2015-09-02
	Number of Days Pending	0	5	6	7	7	7	10	12	13	15
FMCSA	Date of Receipt	2015-07-31	2015-07-31	2015-05-12	2015-05-12	2015-03-10	2014-07-28	2014-07-23	2014-05-22	2014-05-19	2013-05-06
	Number of Days Pending	42	42	98	98	143	273	278	318	323	604
FRA	Date of Receipt	2014-04-07	2014-03-10	2014-02-26	2013-12-12	2013-12-05	2013-12-03	2013-11-22	2013-09-12	2013-09-10	2013-09-09
	Number of Days Pending	373	392	399	447	451	453	459	509	511	512
FTA	Date of Receipt	2015-09-22	2015-09-16	2015-09-16	2015-09-15	2015-09-14	2015-09-10	2015-09-10	2015-08-19	2014-08-25	2013-05-22
	Number of Days Pending	6	10	10	11	12	14	14	29	276	592
MARAD	Date of Receipt	2015-08-14	2015-08-10	2015-08-05	2015-07-29	2015-06-04	2015-06-02	2015-02-24	2014-10-08	2013-10-17	2013-08-16
	Number of Days Pending	32	36	39	44	82	84	153	245	490	521
NHTSA	Date of Receipt	2014-06-03	2014-05-27	2014-05-27	2014-05-19	2014-04-02	2014-03-18	2014-02-26	2014-02-24	2014-01-28	2013-03-20
	Number of Days Pending	334	339	339	344	377	388	402	404	422	626
OIG	Date of Receipt	2015-07-23	2015-07-23	2015-07-22	2015-07-10	2015-07-05	2015-07-02	2015-06-22	2015-04-16	2015-02-26	2014-10-15
	Number of Days Pending	48	48	49	57	62	62	70	116	151	241
OST	Date of Receipt	2014-03-27	2014-02-14	2014-02-06	2014-01-15	2013-11-08	2013-10-08	2013-07-18	2013-05-03	2013-03-01	2012-01-13
	Number of Days Pending	380	408	414	429	473	495	552	603	649	717
PHMSA	Date of Receipt	2013-08-06	2013-08-01	2013-07-23	2013-07-08	2013-05-15	2013-04-24	2013-04-17	2013-04-17	2013-04-15	2012-09-10
	Number of Days Pending	540	543	550	561	597	599	617	617	619	767
SLSDC	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
RITA	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	Date of Receipt	2011-12-15	2011-12-01	2011-11-19	2011-11-25	2011-10-12	2011-10-07	2011-09-06	2011-08-16	2011-08-08	2011-08-04
	Number of Days Pending	951	961	963	965	995	997	1020	1034	1040	1042

VIII.A. REQUESTS FOR EXPEDITED PROCESSING

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate	Number Adjudicated Within Ten Calendar Days
FAA	27	190	1	5	171
FHWA	3	6	6	7	7
FMCSA	0	0	N/A	N/A	N/A
FRA	8	0	1	1	8
FTA	0	0	N/A	N/A	N/A
MARAD	0	0	N/A	N/A	N/A
NHTSA	0	1	28	28	0
OIG	1	1	40	40	1
OST	0	0	N/A	N/A	N/A
PHMSA	0	5	4	7	3
SLSDC	0	0	N/A	N/A	N/A
RITA	0	0	N/A	N/A	N/A
AGENCY OVERALL	39	203	5	11.388	190

VIII.B. REQUESTS FOR FEE WAIVER

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate
FAA	16	119	2	8
FHWA	31	5	1	10
FMCSA	0	0	N/A	N/A
FRA	0	0	N/A	N/A
FTA	0	0	N/A	N/A
MARAD	0	4	132.5	233.75
NHTSA	0	2	1	1
OIG	2	3	1	1
OST	0	0	N/A	N/A
PHMSA	1	0	3	2
SLSDC	0	0	N/A	N/A
RITA	0	0	N/A	N/A
AGENCY OVERALL	50	133	1	8.13

IX. FOIA PERSONNEL AND COSTS

Agency / Component	PERSONNEL			COSTS		
	Number of "Full-Time FOIA Employees"	Number of "Equivalent Full-Time FOIA Employees"	Total Number of "Full-Time FOIA Staff"	Processing Costs	Litigation-Related Costs	Total Costs
FAA	18	26.48	44.48	\$5,741,706.79	\$182,623.85	\$5,924,330.64
FHWA	1	4.69	5.69	\$702,648.52	\$30,124.26	\$732,772.78
FMCSA	2	3	5	\$567,121.00	\$0.00	\$567,121.00
FRA	1	0.92	1.92	\$220,923.00	\$1,218.00	\$222,141.00
FTA	0	1.6	1.6	\$173,312.00	\$0.00	\$173,312.00
MARAD	1	1.5	2.5	\$221,397.84	\$231,372.86	\$452,770.70
NHTSA	2	2.7	4.7	\$505,558.64	\$0.00	\$505,558.64
OIG	1	1.5	2.5	\$270,905.00	\$0.00	\$270,905.00
OST	5	1.23	6.23	\$892,722.00	\$51,128.00	\$943,850.00
PHMSA	1	1.56	2.56	\$281,249.00	\$83,910.29	\$365,159.29
SLSDC	0	0.02	0.02	\$2,158.00	\$0.00	\$2,158.00
RITA	0	0	0	\$0.00	\$0.00	\$0.00
AGENCY OVERALL	32	45.2	77.2	\$9,579,701.79	\$580,377.26	\$10,160,079.05

X. FEES COLLECTED FOR PROCESSING REQUESTS

Agency / Component	Total Amount of Fees Collected	Percentage of Total Costs
FAA	\$269,928.57	4.70%
FHWA	\$20,724.16	2.95%
FMCSA	\$13,530.00	2.39%
FRA	\$0.00	0.00%
FTA	\$0.00	0.00%
MARAD	\$3,430.50	1.55%
NHTSA	\$7,447.00	1.47%
OIG	\$0.00	0.00%
OST	\$300.00	0.03%
PHMSA	\$919.75	0.33%
SLSDC	\$0.00	0.00%
RITA	\$0.00	0.00%
AGENCY OVERALL	\$316,279.98	3.30%

XII.A. BACKLOGS OF FOIA REQUESTS AND ADMINISTRATIVE APPEALS

Agency / Component	Number of Backlogged Requests as of End of Fiscal Year	Number of Backlogged Appeals as of End of Fiscal Year
FAA	761	8
FHWA	0	0
FMCSA	584	1
FRA	240	6
FTA	3	4
MARAD	14	1
NHTSA	73	1
OIG	13	1
OST	78	0
PHMSA	99	0
SLSDC	0	0
RITA	0	0
AGENCY OVERALL	1,865	22

XII.B. CONSULTATIONS ON FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING CONSULTATIONS

Agency / Component	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>Start</u> of the Fiscal Year	Number of Consultations <u>Received</u> from Other Agencies During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Processed</u> by the Agency During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>End</u> of the Fiscal Year
FAA	4	4	7	1
FHWA	0	0	0	0
FMCSA	0	0	0	0
FRA	0	0	0	0
FTA	0	0	0	0
MARAD	0	1	1	0
NHTSA	0	1	1	0
OIG	1	4	5	0
OST	0	6	6	0
PHMSA	0	2	1	1
SLSDC	0	0	0	0
RITA	0	0	0	0
AGENCY OVERALL	5	18	21	2

XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- REQUESTS RECEIVED AND PROCESSED

Agency / Component	NUMBER OF REQUESTS <u>RECEIVED</u>		NUMBER OF REQUESTS <u>PROCESSED</u>	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
FAA	8,131	9,636	7,753	9,088
FHWA	438	361	463	368
FMCSA	1,815	1,510	1,431	1,538
FRA	412	535	396	355
FTA	252	281	265	273
MARAD	71	105	100	124
NHTSA	269	262	225	249
OIG	114	103	110	119
OST	318	366	287	378
PHMSA	165	187	154	159
SLSDC	16	28	18	28
RITA	11	0	11	2
AGENCY OVERALL	12,012	13,374	11,213	12,681

XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED REQUESTS

Agency / Component	Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report
FAA	645	761
FHWA	6	0
FMCSA	597	584
FRA	93	240
FTA	5	3
MARAD	31	14
NHTSA	58	73
OIG	21	13
OST	95	78
PHMSA	73	99
SLSDC	0	0
RITA	0	0
AGENCY OVERALL	1,624	1,865

XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- APPEALS RECEIVED AND PROCESSED

Agency / Component	NUMBER OF APPEALS RECEIVED		NUMBER OF APPEALS PROCESSED	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
FAA	49	102	60	101
FHWA	19	15	27	17
FMCSA	3	1	3	0
FRA	1	7	1	2
FTA	5	6	6	3
MARAD	3	3	2	3
NHTSA	9	12	9	11
OIG	0	5	2	5
OST	6	5	5	5
PHMSA	3	8	3	8
SLSDC	0	0	0	0
RITA	1	0	2	0
AGENCY OVERALL	99	164	120	155

XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED APPEALS

Agency / Component	Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report
FAA	21	8
FHWA	2	0
FMCSA	1	1
FRA	0	6
FTA	1	4
MARAD	1	1
NHTSA	0	1
OIG	1	1
OST	1	0
PHMSA	0	0
SLSDC	0	0
RITA	0	0
AGENCY OVERALL	28	22