



U.S. Department of Transportation

Amendment 1 to Notice of Funding Opportunity Number  
DTFH6116RA00002

*“Beyond Traffic: The Smart City Challenge – Phase 2”*

Amendment 1 issued 4/18/2016

The purpose of this amendment is to edit various sections of the Notice of Funding Opportunity. Accordingly, the Notice of Funding Opportunity is hereby amended as follows. Applicants are required to acknowledge this Amendment 1 in the application. See attached.

The application due date remains unchanged as 5/24/2016 at 3:00 pm Eastern Time by Email to [SmartCityChallenge@dot.gov](mailto:SmartCityChallenge@dot.gov).

For more information: [www.transportation.gov/smartcity](http://www.transportation.gov/smartcity)

Submit Questions to: [SmartCityChallenge@dot.gov](mailto:SmartCityChallenge@dot.gov)

*Except as provided herein, all terms and conditions remain unchanged and in full force and effect.*

*REVISE the text of the NOFO in the sections cited below. Deleted text is shown in strikethrough. Added text is underlined and bolded. If a Section or paragraph is not listed below, no text changes apply as a result of this amendment.*

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## **Summary Information (NOFO page 3)**

Eligibility: **City of San Francisco and/or** San Francisco Municipal  
Transportation Agency

### **SECTION D – APPLICATION AND SUBMISSION INFORMATION**

#### **2. FORMAT OF APPLICATION SUBMISSION**

- a) Applications must be prepared on 8½ x 11 inch paper. Foldouts must not be used.
- b) Text must be printed using a font size no less than ~~12~~ **10** point font.
- c) Tables are permitted and text in tables and captions ~~may be double spaced and~~ may be 10 point font.
- d) Page margins must be a minimum of 1 inch top, bottom and each side. Labeling information and page numbers may be located within the 1 inch margins.
- e) A Header or Footer identifying the Applicant Name may be located within the 1 inch margins.
- f) ~~Applications shall be double spaced.~~

#### **3. CONTENT OF APPLICATION SUBMISSION**

Applicants shall submit an application consisting of the following:

- 1. VOLUME 1 – TECHNICAL APPLICATION (up to 5 files, total combined page limit of 75 pages)
  - a. Technical Approach
  - b. Data Management Approach
  - c. Management Approach
  - d. Staffing Approach
  - e. Capacity and Capability
- 2. VOLUME 2 – BUDGET APPLICATION (up to 4 files, no page limit)
  - a. Application Standard Forms (SFs)
  - b. Cost/Budget Information
  - c. Organizational Information
  - d. Letters of Commitment from Partners/Team Members

**Note:** An Applicant may include, at their option, to facilitate displaying the organization of their application, a one-page cover page, and a second page to include both a Table of Contents and/or a Listing of Tables/Figures. These pages are for orienting evaluators to the contents of the application package and will not be evaluated and are not included in the page limitation.

**Note:** Volume 1, Staffing Approach, requires brief tailored resumes of key personnel. Such resumes ~~ARE~~ **ARE NOT** included within the 75 page limit for Volume 1. Any letters of commitment from partners/team members shall be included in Volume 2. Volume 2 has no page count.

#### **4. Oral Presentations**

In addition to the written application, the Government ~~may request~~s an Oral Presentation to the USDOT. The Government reserves the right to videotape or audio tape any and all such Oral Presentation(s). **The Government reserves the right to open these presentations up to public participation, or release the recordings for public purposes.**

The Selection Official may take into account information provided during the Oral Presentations in the award decision.

##### **Schedule and Location for Oral Presentations**

~~If Oral Presentations will be conducted, the~~ **The** USDOT will contact the Applicants to schedule the anticipated Oral Presentations and provide further details. Oral Presentations will likely be held in Washington, DC, at the USDOT Headquarters, ~~but may occur at the Applicant location~~ **or other designated location in the Washington, DC area.** Oral Presentations~~s~~ shall comply with these instructions and any additional instructions that the Government may provide.

## Format

Each Oral Presentation will **consist of Part 1 and Part 2, and shall** occur as follows:

- **Oral Presentation Part 1 (Technical Team):** The Applicant will have a maximum of ~~60~~ **45** minutes to present their proposed Smart City Demonstration approach. ~~The Government reserves the right to open these presentations up to public participation, or release the recordings for public purposes.~~
- **Oral Presentation Part 2 (Mayor's Presentation): The Applicant's Mayor will have a maximum of 10 minutes to present their proposed Smart City Demonstration approach to the Secretary of Transportation. The 10-minute Mayor's presentation shall include a video (up to three minutes). The video shall provide a summary of the proposed Smart City Challenge Demonstration and include 3D depictions.**
  - **Note: The three minute video is intended to be the same video due on 6/7/2016 as a deliverable under each Finalist's Smart City Challenge Cooperative Agreement \$100,000 award.**
  - **Note: The USDOT-identified partner Autodesk intends to provide resources to support the Applicant's 3D depictions requirement for the Oral Presentation video. Applicants may contact Autodesk directly should they choose to utilize the partner resources.**
- The Government reserves the right to hold a question-and-answer session immediately after the Oral Presentation. The question-and-answer session does not count against the Oral Presentation time limits.

## Participation and Attendance

An Applicant's Oral Presentation **Part 1 presenting** team shall include the proposed Program Manager and up to five others. ~~The Applicant may send a maximum of 6 representatives to the Oral Presentation. Representatives may be from the prime Applicant, proposed subcontractors/consultants/partners, or a combination thereof as deemed appropriately representative by the Applicant. The Government reserves the right to open these presentations up to public participation, or release the recordings for public purposes.~~ **Note: There is no overall limit on the number of Applicant representatives attending the Oral Presentations. However, the Part 1 presenting team is limited to the proposed Program Manager and up to five others, for a total of up to six presenters in Part 1.**

**The Oral Presentation Part 2 presenting team shall consist of the Applicant's Mayor and up to two others, for a total of up to three presenters in Part 2.**

## Topics

During the Oral Presentation **Part 1**, the Applicant shall, at a minimum, address the following topics:

1. Introduction of presenting personnel with their title/position and role in this project.
2. Executive Summary of proposed Smart City Challenge Demonstration, including your city's challenges and how the demonstration will address those challenges.
3. Describe your technical, management and staffing approach to performing the demonstration.
4. Describe proposed key subcontracting, consulting or partnership/teaming arrangements to implement the program.
5. Describe key measures and outcomes.
6. Describe your capacity and capability to perform the proposed demonstration.
7. Describe your approach for interacting and engaging with current and anticipated USDOT partners including Paul Allen's Vulcan, Inc., Mobileye, Autodesk, Amazon Web Services, NXP, Alphabet's Sidewalk Labs, the U.S. Department of Energy (DOE), and any other partners who are identified by the Applicant. Note: Partnerships with identified USDOT partners are not viewed more competitively than partnerships with comparable entities making comparable commitments, but the USDOT will consider the firm commitments of any comparable partner described in the application, including a specific description of the level financial, technical support, services, or other resource contributions. Partnerships are considered beneficial to the extent that the partner is making a firm commitment to helping the Applicant realize its vision and the partnership demonstrates a furtherance of the goals of the Smart City Challenge.
8. Address each of the Technical Merit evaluation criteria set forth in this NOFO.
9. Any additional topics provide by the USDOT prior to the Oral Presentation.

**During the Oral Presentation Part 2, the Applicant's Mayor shall present the proposed Smart City Demonstration approach to the Secretary of Transportation.**

### Presentation Media

Applicants shall prepare all presentations using electronic presentation tools when making its Oral Presentation. The Applicants shall provide, at the time of the Oral Presentation, 25 hard copies of the presentation materials to the Government, and an electronic version of the presentation materials. ~~The Oral Presentation is not due until requested by the USDOT.~~

**The USDOT anticipates the Oral Presentations to occur in Washington, DC on June 7 and 8, 2016, as follows:**

- **Oral Presentations Part 1 (Technical Team): June 7 and 8, 2016**
- **Oral Presentations Part 2 (Mayor's Presentation): June 8, 2016**

**The USDOT will provide final schedule and details directly to Applicants as soon as possible.**