

DEPARTMENT OF TRANSPORTATION

Plans for Operations During a Lapse in Annual Appropriations

By Operating Administration



January 29, 2026

Office of the Chief Financial Officer and Assistant Secretary for Budget and Programs

Department of Transportation
FY 2026 Shutdown Plan Summary Overview

	<u>FAA</u>	<u>FHWA</u>	<u>FMCSA</u>	<u>NHTSA</u>	<u>FTA</u>	<u>FRA</u>	<u>PHMSA</u>	<u>MARAD</u>	<u>GLS</u>	<u>OIG</u>	<u>OST</u>	<u>Total</u>
Estimated Time (to nearest half day) Required to Complete Shutdown Activities:	0.5	N/A	N/A	N/A	N/A	0.5	0.5	0.5	-	0.5	0.5	-
Employees at Work:	34,073	2,063	1,033	554	512	755	399	593	119	102	1,223	41,426
Compensation is financed by a resource other than annual appropriations:	3,238	2,063	1,033	554	512	211	311	306	119	-	1,106	9,453
Necessary to perform activities expressly authorized by law:	1	-	-	-	-	1	2	4	-	-	3	11
Necessary to perform activities necessarily implied by law:	5,168	-	-	-	-	14	33	24	-	7	100	5,346
Necessary to the discharge of the President's constitutional duties and powers:	-	-	-	-	-	-	-	229	-	-	-	229
Necessary to protect life and property:	25,666	-	-	-	-	529	53	30	-	95	14	26,387
Furloughed Employees:	10,552	-	-	-	-	229	164	150	-	225	312	11,632
Total Agency Employees On Board Before Implementation of the Plan:	44,625	2,063	1,033	554	512	984	563	743	119	327	1,535	53,058

FEDERAL AVIATION ADMINISTRATION

Appropriation Lapse Plan Summary Overview

Estimated time (to nearest half day) required to complete shutdown activities:	0.5
Total number of agency employees expected to be on board before implementation of the plan:	44,625
Total number of agency employees expected to be furloughed under the plan (unduplicated count):	10,552

Total number of employees to be retained under the plan for each of the following categories (may include duplicated counts):

Compensation is financed by a resource other than annual appropriations:	3,238
Necessary to perform activities expressly authorized by law:	1
Necessary to perform activities necessarily implied by law:	5,168
Necessary to the discharge of the President's constitutional duties and powers:	0
Necessary to protect life and property:	25,666

Brief summary of significant agency activities that will continue during a lapse:

The following operations will continue as excepted activities during a lapse in annual appropriations:

- Air traffic control services (13,835 air traffic controllers excepted without pay);
- Air traffic controller hiring;
- Training of air traffic controllers;
- Maintenance and operation of navigational aids and other facilities, including support to reimbursable Department of Defense and Department of Homeland Security activities;
- Flight Standards field inspections;

- Airworthiness Directives;
- Airmen medical certifications;
- Certain certification activities, examples include:
 - Supporting the certification, system oversight, and continued operational safety functions of commercial airplanes and engines;
 - Issuance of waivers for UAS and in support of other safety and security operations;
 - Approval of exemptions for unmanned aircraft systems operations;
 - Air Traffic Control Specialist (ATCS) medical clearances, supporting safety critical mission and ensuring medically cleared controllers are available to continue providing separation service;
- Facility security inspections;
- Hazardous materials safety inspections (safety inspectors will be recalled as necessary over time to maintain the safety of the system and/or respond to incidents);
- Development, evaluation, testing, and deployment of air traffic control modernization technologies, equipment, and systems that sustain or improve safety;
- Air traffic safety oversight (limited), examples include:
 - ATO Voluntary Safety Reporting Program Event Review Committee;
 - Control Tower Operator (CTO) certification and credentialing;
- On-call accident investigations;
- Commercial space launch oversight, and licensing;
- Command, control, communications, and intelligence (i.e., Regions and HQ Operations Centers, Intelligence Threat Watch, and emergency communication support);
- Foreign relations on aviation safety-related matters;
- FAA's aircraft and airman registry;
- Congressional liaison services, to the extent that they are necessarily implied from the authorized continuation of legislative activity;
- Support functions necessary to provide timely payments to contractors and grantees.

In addition, certain activities that will not be affected by a lapse in annual appropriations include:

- Activities funded through the Working Families Tax Cut Act.
- Activities and personnel funded through Division J of the Infrastructure and Investment Jobs Act (IIJA, PL 117-58)

- National aviation research, engineering, and development (RE&D) funded by multi-year appropriations
- Airport inspections;
- Existing airport development grants;
- Passenger facility charge approvals; and
- Airport planning and environmental services funded by Airport Improvement Plan (AIP) contract authority
- Training at the Academy for new air traffic controllers will continue and be funded by prior year funds, and if those prior year funds are exhausted, training will continue as an excepted activity.

Further, certain personnel are required to provide support services to safety personnel and to provide for the orderly shutdown of FAA activities. In general, it will take approximately four hours to shut down most of the agency's non-excepted activities, with the possible exception of activities necessary to issue stop work orders on contracts and employee furlough notices. FAA expects that the orderly shutdown work of contracting officers will be done within one day. Other activities may take two to three days to complete and will involve human resources and budget/finance personnel. Individuals providing support services to safety positions will report to work to provide employees excepted for the purpose directly protecting life and property with necessary legal, information technology, labor relation, and contract support services.

Brief summary of significant agency activities that will cease during a lapse:

- Aviation rulemaking;
- Random drug testing of the non-safety workforce;
- Routine personnel security background investigations and PIV card services;
- Continuity of Operations Planning;
- Air traffic performance analysis;
- Capital planning and implementation for FAA facilities and equipment programs that are not funded by IIJA;
- Investment planning and financial analysis;
- Dispute resolution;
- Audit and evaluation;
- Law enforcement assistance support;
- Most administrative support functions not required for support of excepted positions; and
- Delivery of routine public affairs services, website updates, and social media activities.

Additional information:

Assessment of Liquidating Cash

- FAA has sufficient liquidating cash to continue operations during a lapse in appropriations.

Shutdown Checklist

- Shutdown plans are up-to-date and will be approved by the Administrator and senior staff prior to implementation.
- The Lines of Businesses/Staff Offices have identified each position subject to a furlough, and preparations are in place for the Office of Human Resource Management to notify affected staff.
- Logistics are in place to provide for an orderly recall of employees and a return to normal operations, once annual appropriations are restored.
- FAA employees will be advised to listen to public broadcasts for information that congressional action has been taken to fund the government, and they will be expected to return to work on their next regular duty day. In addition, FAA will place return to duty information on the FAA employee website and FAA's toll-free number. FAA managers can also call employees to ensure staff members are aware they have been recalled to duty.
- CFO/Budget Officer will take measures to ensure that all funding that is subject to current-year appropriation actions is made unavailable for obligation and outlay in the financial system.

FEDERAL HIGHWAY ADMINISTRATION (FHWA)

Appropriations Lapse Plan Summary Overview

Estimated time (to nearest half day) required to complete shutdown activities:	N/A
Total number of agency employees expected to be on board before implementation of the plan:	2,063
Total number of agency employees expected to be furloughed under the plan (unduplicated count):	0

Total number of employees to be retained under the plan for each of the following categories (may include duplicated counts):

Compensation is financed by a resource other than annual appropriations:	2,063
Necessary to perform activities expressly authorized by law:	0
Necessary to perform activities necessarily implied by law:	0
Necessary to the discharge of the President's constitutional duties and powers:	0
Necessary to protect life and property:	0

Brief summary of significant agency activities that will continue during a lapse:

All operations continue as normal during a lapse in annual appropriations. This includes activities and personnel funded from the supplemental appropriations portion of the Infrastructure Investment and Jobs Act (IIJA, Division J).

Brief summary of significant agency activities that will cease during a lapse:

- N/A

Additional information:

Assessment of Liquidating Cash

- FHWA has sufficient liquidating cash to support several months of reimbursements.

Shutdown Checklist

- Plans are up-to-date and approved by the Administrator or designee.
- CFO/Budget Officer will take measures to ensure that any funding that is affected by a lapse in appropriation is made unavailable for obligation and outlay in the financial system.
- Payroll records will be updated to ensure timely and accurate payroll be performed for staff being shifted to alternate available sources of salary funds (e.g., Federal Lands employees funded through IAAs if there are not sufficient advance payment balances).

FEDERAL MOTOR CARRIER SAFETY ADMINISTRATION

Appropriation Lapse Plan Summary Overview

Estimated time (to nearest half day) required to complete shutdown activities:	0
Total number of agency employees expected to be on board before implementation of the plan:	1,033
Total number of agency employees expected to be furloughed under the plan (unduplicated count):	0

Total number of employees to be retained under the plan for each of the following categories (may include duplicated counts):

Compensation is financed by a resource other than annual appropriations:	1,033
Necessary to perform activities expressly authorized by law:	0
Necessary to perform activities necessarily implied by law:	0
Necessary to the discharge of the President's constitutional duties and powers:	0
Necessary to protect life and property:	0

Brief summary of significant agency activities that will continue during an appropriation lapse:

All operations continue as normal with a lapse in annual appropriations, subject to the amount of liquidating cash the Agency has available.

- FMCSA positions are primarily funded by authorized contract authority and paid out of the Highway Trust Fund and liquidated with cash appropriated by annual appropriations.
- Although FMCSA positions are mostly funded from the Highway Trust Fund, FMCSA collects fees under its Licensing and Insurance (L&I) function and Drug and Alcohol Clearinghouse (DACH), which are made available to support the programs, including its L&I and DACH positions.

- During a lapse in annual appropriations, the overall limitation on obligations for FMCSA is determined per the IIJA. Once an Appropriations Act or Continuing Resolution (CR) is enacted, FMCSA is subject to the terms and conditions of that legislation. The obligation limitation levels will be adjusted so they are consistent with the enacted appropriations act or CR.

Brief summary of significant agency activities that will cease during an appropriation lapse:

None. Activities are funded with contract authority, offsetting collections, and advance appropriations.

Additional information:

Assessment of Liquidating Cash

FMCSA has sufficient balances of liquidating cash to operate during a short-term lapse of annual appropriations.

Shutdown Checklist

Shutdown plans are up-to-date and approved by the Deputy Administrator.

NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION

Appropriation Lapse Plan Summary Overview

Estimated time (to nearest half day) required to complete shutdown activities:	N/A
Total number of agency employees expected to be on board before implementation of the plan:	554
Total number of agency employees expected to be furloughed under the plan (unduplicated count):	0

Total number of employees to be retained under the plan for each of the following categories (may include duplicated counts):

Compensation is financed by a resource other than annual appropriations:	554
Necessary to perform activities expressly authorized by law:	0
Necessary to perform activities necessarily implied by law:	0
Necessary to the discharge of the President's constitutional duties and powers:	0
Necessary to protect life and property:	0

Brief summary of significant agency activities that will continue during a lapse:

- General Fund appropriated activities would continue with available multi-year funding from prior-year appropriations and supplemental appropriations. Additionally, all activities and personnel funded through the Highway Trust Fund or the supplemental appropriations portion of the Infrastructure Investment and Jobs Act (IIJA, Division J) would continue during a lapse in annual appropriations.

Brief summary of significant agency activities that will cease during a lapse:

- N/A

Additional information:

Assessment of Liquidating Cash

- NHTSA has sufficient balances of liquidating cash on hand to liquidate obligations.

Shutdown Checklist

While all NHTSA employees can be compensated with resources other than annual appropriations, the agency will take the following actions during the period of a lapse in annual appropriation:

- Payroll records will be updated to ensure timely and accurate payroll be performed for staff being shifted to alternate available sources of salary funds (e.g., carryover, supplemental).
- The CFO will take measures to ensure that all funding that is subject to current year appropriation actions is made unavailable for obligation and outlay in the financial system.

FEDERAL TRANSIT ADMINISTRATION

Appropriation Lapse Plan Summary Overview

Estimated time (to nearest half day) required to complete shutdown activities:	N/A
Total number of agency employees expected to be on board before implementation of the plan:	512
Total number of agency employees expected to be furloughed under the plan (unduplicated count):	0

Total number of employees to be retained under the plan for each of the following categories (may include duplicated counts):

Compensation is financed by a resource other than annual appropriations:	512
Necessary to perform activities expressly authorized by law:	0
Necessary to perform activities necessarily implied by law:	0
Necessary to the discharge of the President's constitutional duties and powers:	0
Necessary to protect life and property:	0

Summary of significant agency activities that will continue during a lapse:

- All operations continue as normal during a lapse in appropriations.
- Additionally, activities and personnel funded through Division J of the IIJA would remain available during a lapse in annual appropriations.

Brief summary of significant agency activities that will cease during a lapse:

None. Activities are funded by sources not impacted by a lapse in annual appropriations.

Additional information

Assessment of Liquidating Cash

- FTA has sufficient liquidating cash to support several months of reimbursements.

Shutdown Checklist

- Shutdown plans are up-to-date and will be approved by the Administrator and senior staff prior to implementation.
- The CFO will take measures to ensure that all funding that is affected by a lapse in appropriation is made unavailable for obligation and outlay in the financial system.

FEDERAL RAILROAD ADMINISTRATION (FRA)

Appropriation Lapse Plan Summary Overview

Estimated time (to nearest half day) required to complete shutdown activities:	0.5
Total number of agency employees expected to be on board before implementation of the plan:	984
Total number of agency employees expected to be furloughed under the plan (unduplicated count):	229

Total number of employees to be retained under the plan for each of the following categories (may include duplicated counts):

Compensation is financed by a resource other than annual appropriations:	211
Necessary to perform activities expressly authorized by law:	1
Necessary to perform activities necessarily implied by law:	14
Necessary to the discharge of the President's constitutional duties and powers:	0
Necessary to protect life and property:	529

Brief summary of significant agency activities that will continue during a lapse:

Office of Railroad Safety:

- FRA staff from the Office of Railroad Safety that manage rail accident/incident investigations across the country would continue working. These investigations become the basis for the agency issuing Emergency Orders, Safety Advisories, and other measures to promote and ensure safety throughout the rail industry.
- The continuous inspector presence on railroad property allows for the proactive review and identification of unsafe operations. Having FRA inspectors on railroad property makes a difference in achieving industry compliance with Federal safety laws and regulations. Data shows that when inspectors are not visible, compliance with railroad safety laws and regulations is less likely

to occur, which may lead to higher accident/incident rates. When safety hazards are identified, FRA railroad safety personnel ensure uniform and consistent feedback is provided to railroads on noncompliance violations of Federal safety laws and regulations. These inspections and other safety-critical audits, assessments and enforcement activities would continue during a lapse in appropriation, thereby providing continuous and uninterrupted safety oversight to the nation's rail system.

Office of Railroad Development:

- All employees from the Office of Railroad Development are split-funded from FRA's general Safety & Operations account and Financial Assistance Oversight and Technical Assistance account (Oversight account, which would not currently be affected by a lapse in appropriations). All such employees would continue working during a lapse on eligible activities funded under FRA's Oversight **account related to the award, administration, oversight, and technical assistance of FRA's financial assistance programs**. As described below, a limited number of staff from other FRA offices are also partially funded from FRA's Oversight account and would continue working solely on eligible activities funded under the Oversight account.

Other Offices: Certain predetermined individuals from the following offices will be kept onboard to support FRA's critical safety mission or activities funded under FRA's Oversight account, including:

- Administrator – The Administrator and Deputy Administrator provide executive direction over FRA's headquarters and field operations. The Administrator is directly accountable to the DOT Secretary and Deputy Secretary to ensure FRA's excepted safety activities and other functions funded under FRA's Oversight account continue without interruption. FRA will coordinate legislative efforts with congressional staff to the extent it is necessarily implied by the authorized continuation of legislative activity.
- Chief Counsel – The Chief Counsel and Deputy Chief Counsel are critical to the continuation of FRA's safety mission by providing legal advice regarding FRA's safety laws and regulations. Additionally, attorney-advisors from the Office of Development Law that are funded from the Oversight account would remain to support eligible activities associated with FRA's financial assistance programs.
- Administration - The Associate Administrator for Administration, Director of Acquisition, Director of Human Resources (HR) and a HR specialist, and Director of Information Technology (IT) and an IT specialist would support the orderly shutdown and other excepted/exempt activities, including maintenance of selected contracts and information technology services in supporting FRA's critical safety activities.

- Chief Financial Officer – The Chief Financial Officer, Budget Officer, and a travel analyst would provide oversight of the financial systems, policies, and support programs to affect an orderly agency shutdown, while maintaining critical safety activities. Additionally, accountants, budget analysts, fund administrators, and grants management specialists that are funded from the Oversight account would remain to support eligible activities associated with FRA’s financial assistance programs.
- Research, Data, and Innovation – Economists that review the benefit-cost analyses required to be submitted by applicants seeking funding under FRA’s competitive grant programs are funded from the Oversight account and would continue conducting eligible activities during a lapse in appropriations, where applicable. A program analyst that helps to administer the Railroad Safety Information System (RSIS)—FRA’s collection of data management systems that receive, organize, process, visualize, and publish information on railroad accidents and incidents, safety inspections and violations, and attributes from the U.S. DOT Highway-Rail Crossing Inventory—may be recalled as necessary to support the Office of Railroad Safety’s accident/incident investigations and inspections.

Brief summary of significant agency activities that will cease during a lapse:

- FRA would not continue any of its unfunded core agency functions. No contracts, purchase orders, travel authorizations^{1/}, or other documents obligating funds subject to a lapse in appropriation would be executed.
- FRA would not obligate funds for research and development projects. However, outlays may occur for prior year obligations if they do not require FRA staff oversight.
- FRA would not advance any rulemakings, including those related to railroad safety, unless such regulatory activity was related to the award, administration, oversight, and technical assistance of FRA’s financial assistance programs and funded from FRA’s Oversight account.

1/ Exceptions will be made for travel related to critical railroad safety inspections and rail incidents, or activities funded from FRA’s Financial Assistance Oversight and Technical Assistance account.

- FRA would suspend responding to routine media inquiries but would respond to media inquiries related to emergency matters addressing imminent hazards to safety of human life and the protection of property. Furloughed staff would be recalled to address emergency media inquiries on a case-by-case basis.

Additional information:

Lapse Checklist

- Lapse plans are up-to-date and will be approved by the Administrator and senior staff prior to implementation.
- The Office of Human Resources has identified each position subject to a furlough, and preparations are in place to notify affected staff.
- Logistics are in place to provide for an orderly recall of employees and a return to normal operations once annual appropriations are restored.
- CFO/Budget Officer will take measures to ensure that all funding that is subject to an appropriations lapse is made unavailable for obligation and outlay in the financial system.

Pipeline and Hazardous Materials Safety Administration (PHMSA)

Appropriation Lapse Plan Summary Overview

Estimated time (to nearest half day) required to complete shutdown activities:	0.5
Total number of agency employees expected to be on board before implementation of the plan:	563
Total number of agency employees expected to be furloughed under the plan (unduplicated count):	164

Total number of employees to be retained under the plan for each of the following categories (may include duplicated counts):

Compensation is financed by a resource other than annual appropriations:	311
Necessary to perform activities expressly authorized by law:	2
Necessary to perform activities necessarily implied by law:	33
Necessary to the discharge of the President's constitutional duties and powers:	0
Necessary to protect life and property:	53

Brief summary of significant agency activities that will continue during a lapse:

- Pipeline Safety activities funded by other than annual appropriations.
- Investigations of hazardous materials accidents to determine the causes and circumstances of failure, the need for corrective action, and any non-compliance that might have contributed to the accident.
- Inspections of hazardous materials shippers, carriers, testing facilities and cylinder reconditioning facilities to detect and remediate safety concerns and determine compliance with the hazardous materials safety regulations.
- Enforcement of the hazardous materials safety regulations through the issuance of enforcement actions or development of evidence for civil and criminal penalty actions and other authorized enforcement activities, to the extent necessary to address imminent hazards to the safety of human life and the protection of property.

- Hazardous Materials approvals and permits only for emergencies that imminently threaten the safety of human life and the protection of property.
- Administrative support for the agency's shutdown plan and procedures, coordination with other DOT elements and OMB, ensuring the physical security of nonexpendable property, and supporting ongoing Field operations necessary for the protection of life and property.
- Support for public affairs to respond to media inquiries regarding emergency matters addressing imminent hazards to the safety of human life and the protection of property.
- Support for congressional affairs as necessarily implied from the authorized continuation of legislative activity.
- Support for information technology systems necessary for continuation of excepted safety functions and for cybersecurity purposes to protect property.
- Intermittent support for financial operations to make timely payments to contractors and grantees and support for travel needs for life and safety excepted staff.
- Intermittent Acquisition Services and program office administration support to make timely payments to contractors and grantees.
- Payments of Emergency Preparedness Grants.
- Activities and personnel funded from the supplemental appropriations portion of the Infrastructure Investment and Jobs Act (IIJA, Division J). This includes an added position from the Operational Expenses Account (Grants Administrator)
- Certain limited legal services implied by law.

Brief summary of significant agency activities that will cease during a lapse:

- Hazardous Materials Program Development
- Hazardous Materials Standards and Rulemaking
- Hazardous Materials Engineering and Research
- Hazardous Materials Approvals and Permits (except for emergency)
- Hazardous Materials Outreach, Training and Grants (except for intermittent support to make timely payments to grantees)
- Hazardous Materials Special Investigations
- Hazardous Materials Training and Qualifications
- Except where funded by other than annual appropriations:
 - Emergency Response Planning and Drills
 - Strategic Planning and Program Evaluation

- Public Affairs, except for response to media inquiries regarding the safety of human life and the protection of property
- Civil Rights
- Legal Services and Representation except for those implied by law or expressly authorized
- Regulatory Analysis and Planning
- Freedom of Information Act and Privacy Act Processing
- Acquisition Services (except for intermittent support to make timely payments to contractors and grantees)
- Human Resources (except for those related to executing the orderly shutdown and restart of agency operations)
- Information Resources Management (except for support for information technology systems necessary for continuation of excepted safety functions and for cybersecurity purposes to protect property)
- Administrative Services
- Hazardous materials grants benefitting emergency first responders and localities that would be funded from new registration fee collections.
- Hazardous Materials registration program and registration fee collections.

MARITIME ADMINISTRATION (MARAD)

Appropriation Lapse Plan Summary Overview

Estimated time (to nearest half day) required to complete shutdown activities:	0.5
Total number of agency employees expected to be on board before implementation of the plan:	743
Total number of agency employees expected to be furloughed under the plan (unduplicated count):	150

Total number of employees to be retained under the plan for each of the following categories (may include duplicated counts):

Compensation is financed by a resource other than annual appropriations:	306
Necessary to perform activities expressly authorized by law:	4
Necessary to perform activities necessarily implied by law:	24
Necessary to the discharge of the President's constitutional duties and powers:	229
Necessary to protect life and property:	30

Brief summary of significant agency activities that will continue during a lapse:

- MARAD functions that would continue during a lapse in appropriations address imminent hazards to the safety of human life and protection of vessels of the U.S. Government.
- The United States Merchant Marine Academy (Academy) multi-year funds have been exhausted. The personnel categories above have been adjusted to reflect the impact of exhaustion of multi-year funds at the U.S. Merchant Marine Academy (USMMA). Of the 260 USMMA employees previously funded from compensation financed by resources other than annual appropriations:

- 2 USMMA staff will be retained as necessary to perform activities authorized by law. These employees are part of the victim response team.
- 6 USMMA staff will be retained as necessary to perform activities necessarily implied by law. These employees are part of the Administrative Services Office that provide mail room and delivery services for the Academy.
- 23 USMMA staff will be retained as necessary to protect life and property. These employees are in the Facilities orgs that provide maintenance to the campus buildings and infrastructure; employees in the Office of Public Safety.
- 229 USMMA Staff will be retained in support of the President's constitutional duties and powers for national defense and national security. It is statutory policy that it is necessary for the national defense that the United States have a merchant marine capable of serving as a naval and military auxiliary in time of war or national emergency operated by citizens of the United States. Consistent with this policy, the Academy was established to train United States citizens to serve as officers in the United States merchant marine and in the Armed Forces of the United States. Shutdown of the Academy would disrupt the academic calendar and significantly impact cadets' ability to complete the mariner licensing required courses in time to take the United States Coast Guard mariner licensing exam. Any disruption of the licensing and commissioning progression potentially threatens the flow of merchant mariners critically needed for national defense and national security, as well as the approximately 25% of Academy midshipmen that enter active duty in the U.S. Armed Forces upon graduation.
- Carry-forward balances have been exhausted, excepted positions including academic and athletic instructors, Commandant and training staff to supervise the midshipmen on campus and at Sea-Year, personnel required for dining, health, simulators training, other instructional and athletic support, admissions office and campus security will continue to work. Additionally, a limited number of personnel for mailroom, procurement, information technology, and financial management functions to support the instructional requirements will continue to work.
- Extracurricular midshipmen club activities funded with USMMA no-year gift funds will continue.
- When classes are not in session during academic break, the Academy will remain open. However, only a minimal cadre of personnel will be retained to cover necessary Academy functions during the break. These include positions necessary to protect life and property, those necessary to perform activities necessarily implied by law, and a limited number of personnel still required to discharge the President's constitutional duties and powers. All other personnel will be furloughed until classes resume.

- Capital Asset Management Program would continue operations utilizing carry-forward balances until funds have been exhausted. Ongoing no-year funded Capital Improvement Projects (CIP) and Facilities Maintenance Repair and Equipment (FMRE) where funding has been fully obligated will continue, with limited excepted Academy personnel for the protection of government property and provide on-site federal supervision and approve and process timely payments to contractors.
 - Emergency and disaster assistance involving the safety of human life or protection of property would continue including MARAD employees who are necessary to execute active Mission Assignments from the Department of Homeland Security's (DHS) Federal Emergency Management Agency (FEMA).
 - The no-year funded Ship Disposal Program would continue operations utilizing carryover balances as long as sufficient funding is available. This includes the ongoing work to decommission the Nuclear Ship Savannah, which is fully funded under this program.
 - The no-year funded National Security Multi-Mission Vessel (NSMV) Program would continue operations utilizing carry-forward balances. The Missile Defense Agency (MDA) vessel design/construction work will continue.
 - MARAD would continue to operate the reimbursable (no-year funded from Department of Defense) National Defense Reserve Fleet, including the Ready Reserve Fleet (RRF), using carryover balances if sufficient funding is available. Staff dedicated and required will continue to work and are funded from no-year carry-forward balances. Note that some MARAD employees are normally split-funded from RRF and annual appropriated MARAD funds. During a lapse in appropriations, these individuals will be placed on furlough status or placed in excepted (non-paid) status if required to work during the shutdown.
 - The Maritime Security Program (MSP) would continue operations utilizing carry-forward balances. A limited number of excepted personnel are needed to maintain the program and to support the continued payment of the MSP stipend with carryover funds.
 - The Cable Security Fleet Program (CSF) would continue operations utilizing carry-forward balances. A limited number of excepted personnel are needed to maintain the program and to support the continued payment of the CSF stipend with carryover funds.

- The Tanker Security Program (TSP) would continue operations utilizing carry-forward balances. A limited number of excepted personnel are needed to maintain the program and to support the continued payment of the TSP stipend with carryover funds.
- Processing vessel transfers out of U.S.-flag registry will continue utilizing a limited number of excepted personnel to ensure prompt processing of requests that support commercial business obligations.
- The Port Infrastructure Development Program (PIDP) would continue operations utilizing carry-forward balances. Staff dedicated and required for the award and oversight of the PIDP grants will continue to work and are funded from no-year carry-forward funds available for grant administrative expenses.
- The Office of Environmental Compliance would continue operations to support the PIDP grant program and other grant programs as well as the Deepwater Port licensing program in order to continue with the completion of National Environmental Policy Act reviews required to be accomplished prior to issuance of grant agreements and Deepwater Port licenses.
- The Office of Deepwater Port Licensing & Port Conveyance will continue to process Deepwater Port Licenses to ensure regulatory compliance, meet statutory timelines, and support national energy infrastructure development to meet the requirements in the Deepwater Port Act of 1974 and Executive Order 14154.
- The United States Marine Highway (USMH) Grant Program would continue operations utilizing carry-forward balances. Staff required for the award and oversight of the USMH grants will continue to work and are funded from no-year carry-forward funds available for administrative expenses.
- Under Title XI, MARAD employs private contractors or the Reserve Fleet facility in husbanding some defaulted Title XI assets. These services would continue, as a failure to maintain these services would cause an imminent hazard to the protection of U.S. Government property.
- Government personnel and contractor support required to maintain the agency's data center and cybersecurity functions will continue.
- The use of existing government excepted and exempted employees capable of approving and processing the timely payments of valid obligations to contractors, grantees and other service providers will be utilized to the maximum extent

possible. If not feasible, then it may be necessary for furloughed employees to be recalled on at least an intermittent basis to approve and process these timely payments.

- Staff required for the award and oversight of the National Infrastructure Investments (NII) grants will continue to work and are funded from the multi-year funds available for administrative expenses.
- Activities and personnel funded from the supplemental appropriations portion of the Infrastructure Investment and Jobs Act (IIJA, Division J).
- Activities of the Office of Maritime Security and will continue with staff dedicated and required to maintain mission essential intelligence as functions necessarily implied by law.

Brief summary of significant agency activities that will cease during a lapse:

- Program support from Office of Chief Counsel, Ports and Waterways, and Business and Finance Development would cease, other than those related to the Academy or other excepted or exempted/funded activities.
- Administrative support functions (Information Technology, Human Resources, Finance and Budget, etc.) would cease, other than those related to the Academy or other excepted or exempted/funded activities.
- Academy will suspend all non-essential procurements (e.g., employee training, faculty and staff travel not in direct support of essential midshipman travel, Library acquisitions not in direct support of on-going academic instruction, etc.) to preserve any carryover balances.
- The merchant marine awards program will cease.
- All activities within the Committee on Marine Transportation Systems will cease.
- All activities within the Office of Civil Rights will cease.
- All activities within the Office of Congressional and Public Affairs will cease.

- All activities within the Office of International Activities will cease.
- All activities within the Office of Policy and Plans will cease.
- All activities within the Office of Maritime Education and Training will cease.
- All activities within the Office of Safety will cease.
- All activities within the Office of Innovation will cease, with exception of excepted staff as needed to represent the Maritime Administration at applicable International Maritime Organization meetings that occur during a lapse in appropriations.
- All activities within the Office of Cargo and Commercial Sealift will cease, with the exception of excepted staff to monitor:
 - Jones Act waiver requests and if necessary to support emergency crisis management and to identify domestic shipping options that can be utilized in an emergency;
 - Determination of Non-Availability (DNA) requests for the shipment of household goods, personally owned vehicles, and foreign military sale equipment;
 - Shipping plans to determine Department of Energy and Export Import Bank project compliance with cargo preference prior to release of funds and guarantees.

Additional Information

Shutdown Checklist

- Shutdown plans are up-to-date and will be approved by the Administrator and senior staff prior to implementation.
- The Office of Human Resources has identified each position subject to a furlough, and preparations are in place to notify affected staff.

- Logistics are in place to provide for an orderly recall of employees and a return to normal operations once annual appropriations are restored.
- CFO/Budget officer will take measures to ensure that all funding that is subject to an appropriations lapse is made unavailable for obligation and outlay in the financial system.
- Payroll records will be updated to ensure timely and accurate payroll be performed for staff.

GREAT LAKES ST. LAWRENCE SEAWAY DEVELOPMENT CORPORATION

Appropriation Lapse Plan Summary Overview

Estimated time (to nearest half day) required to complete shutdown activities:	0
Total number of agency employees expected to be on board before implementation of the plan:	119
Total number of agency employees expected to be furloughed under the plan (unduplicated count):	0

Total number of employees to be retained under the plan for each of the following categories (may include duplicated counts):

Compensation is financed by a resource other than annual appropriations:	119
Necessary to perform activities expressly authorized by law:	0
Necessary to perform activities necessarily implied by law:	0
Necessary to the discharge of the President's constitutional duties and powers:	0
Necessary to protect life and property:	0

Brief summary of significant agency activities that will continue during a lapse:

- The GLS will utilize its statutory authority (33 U.S.C. 981, et seq.) to use reserve funding from its no-year revolving fund during any lapse in federal appropriations to operate and maintain the U.S. portion of the St. Lawrence Seaway without any adverse impact on staff levels or agency programs.
- The GLS will make timely payments to contractors, as necessary.
- CFO will take measures to ensure that all funding that is subject to current year appropriation actions is made unavailable for obligation and outlay in the financial system.

- Payroll records will be updated to ensure timely and accurate payroll be performed for staff being shifted from lapsed funding into alternate sources (i.e., carryover).
- As was the case during past lapses in appropriations, Seaway operations, including compensation and benefits for personnel, is funded through the GLS's no-year revolving account unobligated balance (01/01/26 balance of \$19.6 million).

Summary of Suspended Activities

- No activities will be suspended.

OFFICE OF INSPECTOR GENERAL

Appropriation Lapse Plan Summary Overview

Estimated time (to nearest half day) required to complete shutdown activities:	0.5
Total number of agency employees expected to be on board before implementation of the plan:	327
Total number of agency employees expected to be furloughed under the plan (unduplicated count):	225

Total number of employees to be retained under the plan for each of the following categories (may include duplicated counts):

Compensation is financed by a resource other than annual appropriations:	0
Necessary to perform activities expressly authorized by law:	0
Necessary to perform activities necessarily implied by law:	7
Necessary to the discharge of the President's constitutional duties and powers:	0
Necessary to protect life and property:	95

Brief summary of significant agency activities that will continue during a lapse:

- Law Enforcement activities
- Hotline activities necessary to support life and property
- IT systems support necessary for continuation of excepted law enforcement activities

Brief summary of significant agency activities that will cease during a lapse:

- Audit activities
- Most Legal and Human Resources activities
- Government and Public Affairs activities
- Administrative Services activities

Additional information:

Shutdown Checklist

- Shutdown plans are up-to-date and will be approved by the Inspector General prior to implementation.
- The Office of Human Resources has identified each position subject to a furlough, and preparations are in place to notify affected staff.
- Logistics are in place to provide for an orderly recall of employees and a return to normal operations, once annual appropriations are restored.
- CFO will take measures to ensure that all funding that is subject to current year appropriation actions is made unavailable for obligation and outlay in the financial system.

OFFICE OF THE SECRETARY

Lapse Plan Summary Overview

Estimated time (to nearest half day) required to complete shutdown activities:	0.5
Total number of agency employees expected to be on board before implementation of the plan:	1,535
Total number of agency employees expected to be furloughed under the plan (unduplicated count):	312

Total number of employees to be retained under the plan for each of the following categories:

Compensation is financed by a resource other than annual appropriations:	1,106
Necessary to perform activities expressly authorized by law:	3
Necessary to perform activities necessarily implied by law:	100
Necessary to the discharge of the President's constitutional duties and powers:	0
Necessary to protect life and property:	14

Brief summary of significant agency activities that will continue during a lapse:

- Functions necessary to address imminent hazards to the safety of human life and the protection of property, including IT systems and functions related to cybersecurity;
- Activities funded through the Working Capital Fund for which resources remain available, including the security of the Headquarters building;
- Staff functions necessarily implied from the authorized continuation of other activities across the Department;
- Congressional affairs as necessarily implied from the authorized continuation of legislative activity;

- Certain public affairs activities, including responding to media inquiries related to emergency matters addressing imminent hazards to the safety of human life and the protection or property and activities necessarily implied from the authorized continuation of other activities across the Department; and
- Activities funded by multi-year or no-year appropriations including the following:
 - Salaries and Expenses
 - Three offices within S&E have carry over funding remaining and will continue to operate. Other offices will suspend activities.
 - Transportation Planning, Research and Development
 - Essential Air Service
 - It is estimated that overflight fees can cover the program through February 15th. At that time, personnel will remain to provide operational support
 - Small Communities Air Service Development Program
 - Operational Support Account (Includes IIJA funding)
 - National Surface Transportation and Innovative Finance Bureau (Build America Bureau)
 - Small and Disadvantaged Business Utilization and Outreach
 - Working Capital Fund
 - Volpe
 - Transportation Safety Institute
- The Build America Bureau may close new TIFIA direct loans and loan guarantees during a lapse in appropriations, so long as there is sufficient contract authority and Highway Trust Fund liquidating cash available.
- Activities to support the development and preparation of the President's FY 2027 Budget. This exception applies to all parts of the Department.

Brief summary of significant agency activities that will cease during a lapse:

- Suspended activities during a lapse in annual appropriations will mainly be support from staff offices that are not associated with authorized continuation of other activities across the Department, including the following:
 - The majority of Salaries and Expenses program offices and the Office of Civil Rights would suspend activities.
- The Essential Air Service program is funded through appropriations and overflight fees. With a lapse in appropriations, overflight fees are only sufficient to cover the cost of the program through February 15th. Programmatic activities will cease when sufficient funding is no longer available.

- In general the Build America Bureau may not close new RRIF direct loans or loan guarantees during a lapse in appropriations, except using any available subsidy budget authority provided in prior appropriations acts.

Additional information:

Shutdown Checklist

- Shutdown plans are up-to-date and will be approved by the Deputy Secretary prior to implementation.
- The Office of Human Resources has identified each position subject to a furlough, and preparations are in place to notify affected staff.
- Logistics are in place to provide for an orderly recall of employees and a return to normal operations once annual appropriations are restored.