U.S. DEPARTMENT OF TRANSPORTATION

GRANT AGREEMENT UNDER THE
FISCAL YEAR 2023 RAISE PROGRAM

MARAD FY 2023 RAISE Grant No. [insert #]

[insert accounting code] — [insert award amount]

This agreement is between the United States Department of Transportation (the “**USDOT**”) and the [insert full name of recipient] (the “**Recipient**”).

This agreement reflects the selection of the Recipient to receive a RAISE Grant for the [insert project name from USDOT award letter].

The parties therefore agree to the following:

1. General Terms and Conditions.

General Terms and Conditions.

(a) In this agreement, “**General Terms and Conditions**” means the content of the document titled “General Terms and Conditions Under the Fiscal Year 2023 RAISE Program: MARAD Projects,” dated October 23, 2023, which is available at <https://www.transportation.gov/policy-initiatives/raise/raise-grant-agreements>. The General Terms and Conditions reference the information contained in the schedules to this agreement. The General Terms and Conditions are part of this agreement.

(b) The Recipient states that it has knowledge of the General Terms and Conditions.

(c) The Recipient acknowledges that the General Terms and Conditions impose obligations on the Recipient and that the Recipient’s non-compliance with the General Terms and Conditions may result in remedial action, terminating of the RAISE Grant, disallowing costs incurred for the Project, requiring the Recipient to refund to the USDOT the RAISE Grant, and reporting the non-compliance in the Federal-government-wide integrity and performance system.

1. Special Terms and Conditions.

[Choose the appropriate one of these two alternatives.]

[**Alternative #1:** If there are no special terms and conditions, then use the following:]

There are no special terms for this award.

[**Alternative #2:** If there are special terms and conditions, repeat and modify the following as needed:]

[Special Term Title].

[special term text]

1. Schedule A
Administrative Information
	1. Application.

Application Title: [insert the full descriptive title from box 15 of the SF-424]

Application Date: [insert the date in box 3 of the SF-424]

* 1. Recipient’s Unique Entity Identifier.

Recipient’s Unique Entity Identifier: [insert UEI].

* 1. Recipient Contact(s).

Name

Title

Agency

Mailing Address

Phone Number

Email Address

* 1. Recipient Key Personnel.

None.

* 1. USDOT Project Contact(s).

Name

Title

Agency

Mailing Address

Phone Number

Email Address

* 1. Payment System.

USDOT Payment System: Delphi eInvoicing System

* 1. Office for Subaward and Contract Authorization.

USDOT Office for Subaward and Contract Authorization: None

* 1. Federal Award Identification Number.

Federal Award Identification Number: [insert FAIN]

1. Schedule B
Project Activities
	1. General Project Description.

[Insert text from the USDOT award letter.]

* 1. Statement of Work.

[Insert statement of work.]

* 1. Documents Describing Mitigation Activities.

[Choose the appropriate one of these two alternatives.]

[**Alternative #1:** If there are mitigation requirements in the NEPA document or the SHPO MOA/other correspondence:]

| Document Description | Date |
| --- | --- |
| [insert environmental documentation type] | [insert date of decision] |
| [insert applicable MOA/other correspondence with SHPO] | [insert date of MOA/other correspondence] |

[**Alternative #2:**]

None.

1. Schedule C
Award Dates and Project Schedule
	1. Award Dates.

Budget Period End Date: [insert date]

Period of Performance End Date: [insert date]

* 1. Estimated Project Schedule.

| Milestone | Schedule Date |
| --- | --- |
| Planned Construction Substantial Completion Date: | [insert date] |

* 1. Special Milestone Deadlines.

[Choose the appropriate one of these two alternatives.]

[**Alternative #1:** If the only critical dates are completion dates, then use the following:]

None.

[**Alternative #2:** If there are additional critical dates, use this table and insert a row for each date. The milestone must be described in enough detail that there is no ambiguity about when it is met. Each of these milestones is intended to establish a clear trigger for USDOT to terminate the award or amend the terms of this agreement.

If additional activities are required under schedules H, I, or J, milestones are required here for those activities.]

| Milestone | Deadline Date |
| --- | --- |
| [Insert milestone] | [insert date] |

1. Schedule D
Award and Project Financial Information
	1. Award Amount.

RAISE Grant Amount: [$XXX]

* 1. Federal Obligation Information.

Federal Obligation Type: Single

* 1. Approved Project Budget.

Eligible Project Costs

|  | [Component 1] | [Component 2] | Total |
| --- | --- | --- | --- |
| RAISE Funds: | [$XXX] | [$XXX] | [$XXX] |
| Other Federal Funds: | [$XXX] | [$XXX] | [$XXX] |
| Non-Federal Funds: | [$XXX] | [$XXX] | [$XXX] |
| Total: | [$XXX] | [$XXX] | [$XXX] |

* 1. Cost Classification Table.

**[**If no costs are anticipated in a category, remove the row from the table.]

| Cost Classification | Total Costs | Non-RAISE Previously Incurred Costs | Eligible Costs |
| --- | --- | --- | --- |
| Administrative and legal expenses |  |  |  |
| Land, structures, rights-of-way, appraisals, etc. |  |  |  |
| Relocation expenses and payments |  |  |  |
| Architectural and engineering fees |  |  |  |
| Other architectural and engineering fees |  |  |  |
| Project inspection fees |  |  |  |
| Site work |  |  |  |
| Demolition and removal |  |  |  |
| Construction |  |  |  |
| Equipment |  |  |  |
| Miscellaneous |  |  |  |
| Contingency |  |  |  |
| Project Total |  |  |  |

* 1. Approved Pre-award Costs

[Choose the appropriate oneof these two alternatives.]

[**Alternative #1:** If pre-award authority has not been approved by OST:]

**None.** The USDOT has not approved under this award any pre-award costs under 2 C.F.R. 200.458. Because unapproved costs incurred before the date of this agreement are not allowable costs under this award, the USDOT will neither reimburse those costs under this award nor consider them as a non-Federal cost sharing contribution to this award. Costs incurred before the date of this agreement are allowable costs under this award only if approved in writing by USDOT before being included in the Project costs and documented in this section 5. See section 18.3(b) of the General Terms and Conditions.

[**Alternative #2:** If pre-award authority has been approved by OST:]

On [insert date], the USDOT approved [Recipient’s] request to allow the following pre-award costs.

[To the extent possible, this attachment should identify the scope and cost of the approved pre-award activities.]

1. Schedule E
Changes from Application

**Scope**:

[ If the activities described in schedule B materially differ from the scope presented in the application, describe the changes here and explain the need for those changes. If there are no changes, state that there are no changes. ]

**Schedule**:

[ If any dates listed in sections 2–3 of schedule C differ from the estimated schedule presented in the application by more than six months, describe the changes here and provide an explanation of the cause of those changes. If there are no changes, state that there are no changes and remove the milestone table below. ]

The table below compares the Project milestone dates.

|  |  |  |
| --- | --- | --- |
| Milestone | Application | Agreement |
| Planned Construction Substantial Completion Date: | [insert date] | [insert date] |

**Budget**:

[ If any amounts listed in sections 3–4 of schedule D differ from the budget presented in the application, describe the changes here and provide an explanation of the cause of those changes. If there are no changes, state that there are no changes and remove the budget table below. ]

The table below provides a summary comparison of the Project budget.

| **Fund Source** | **Application** | **Schedule D** |
| --- | --- | --- |
| $ | % | $ | % |
| **Previously Incurred Costs** |  |  |  |  |
| Federal Funds |  |  |  |  |
| Non-Federal Funds |  |  |  |  |
| Total Previously Incurred Costs |  |  |  |  |
| **Future Eligible Project Costs** |  |  |  |  |
| RAISE Funds |  |  |  |  |
| Other Federal Funds |  |  |  |  |
| Non-Federal Funds |  |  |  |  |
| Total Future Eligible Project Costs |  |  |  |  |
| Total Project Costs |  |  |  |  |

**Other:**

[ If there are notable changes in aspects of the Project other than scope, schedule, and budget (*e.g.,* recipient changes), then describe those changes here. If there are not, then state that there are no other notable changes from the application. ]

1. Schedule F
RAISE Program Designations
	1. Urban or Rural Designation.

Urban-Rural Designation: [Urban] [Rural]

* 1. Capital or Planning Designation.

Capital-Planning Designation: Capital

* 1. Historically Disadvantaged Community or Area of Persistent Poverty Designation.

HDC or APP Designation: [Yes] [No]

* 1. Funding Act.

Funding Act: [IIJA] [FY2023]

* 1. Security Risk Designation.

Security Risk Designation: [Low] [Elevated]

1. Schedule G
RAISE Performance Measurement Information

**Study Area:** [Insert description of area to be studied]

**Baseline Measurement Date:** [insert date]

**Baseline Report Date:** [insert date]

Table 1: Performance Measure Table

| Measure | Category and Description | Measurement Frequency |
| --- | --- | --- |
| [Insert Performance Measure] | [Performance Measure Category][Performance Measure Description] | [quarterly *or*annual] |
| [Insert Performance Measure] | [Performance Measure Category][Performance Measure Description] | [quarterly *or*annual] |

1. Schedule H
Climate Change and Environmental Justice Impacts
	1. Consideration of Climate Change and Environmental Justice Impacts.

The Recipient states that rows marked with “X” in the following table are accurate:

|  |  |
| --- | --- |
|  | The Project significantly reduces transportation-related pollution, like air pollution and greenhouse gas emissions. *(Describe the expected reductions and how they are achieved in the supporting narrative below.)* |
|  | The Project aligns with an applicable State, regional, or local carbon-reduction plan. *(Identify the plan in the supporting narrative below.)* |
|  | The Project addresses the disproportionate negative environmental impacts of transportation, such as exposure to elevated levels of air, water, and noise pollution. *(Describe how in the supporting narrative below.)* |
|  | The Project implements transportation-efficient land use and design, such as drawing on the features of historic towns and villages that had a mix of land uses, compact and walkable development patterns, accessible green space, and neighborhood centers. *(Describe how in the supporting narrative below.)* |
|  | The Project shifts freight to lower-carbon travel modes to reduce emissions. *(Describe how in the supporting narrative below.)* |
|  | The Project improves the resiliency of at-risk infrastructure to withstand extreme weather events and natural disasters caused by climate change, such as by using best-available climate data sets, information resources, and decision-support tools, and incorporating best practices identified by the USDOT. *(Identify the at-risk infrastructure and describe how the project improves its resiliency in the supporting narrative below.)* |
|  | The Project incorporates energy efficient investments, such as electrification or zero emission vehicle infrastructure. *(Describe the energy efficient investments in the supporting narrative below.)* |
|  | The Project redevelops brownfield sites. *(Identify the brownfield sites and describe the redevelopment in the supporting narrative below.)* |
|  | The Project removes, replaces, or restores culverts to improve passage of aquatic species. *(Identify the affected culverts and describe how the changes will improve the passage of aquatic species in the supporting narrative below.)* |
|  | The Project avoids adverse impacts to air or water quality, wetlands, and endangered species. *(Describe how in the supporting narrative below.)* |
|  | The Project includes floodplain upgrades consistent with the Federal Flood Risk Management Standard in Executive Orders 14030 and 13690. *(Describe those floodplain upgrades in the supporting narrative below.)* |
|  | The Recipient has taken other actions to consider climate change and environmental justice impacts of the Project. *(Describe those actions in the supporting narrative below.)* |
|  | The Recipient has not yet taken actions to consider climate change and environmental justice impacts of the Project but, before beginning construction of the Project, will take relevant actions described in schedule B. *(Identify the relevant actions from schedule B in the supporting narrative below.)*   |
|  | The Recipient has not taken actions to consider climate change and environmental justice impacts of the Project and will not take those actions under this award. |

* 1. Supporting Narrative.

[ Insert supporting text, as described in the table above. ]

1. Schedule I
Racial Equity and Barriers to Opportunity
	1. Efforts to Improve Racial Equity and Reduce Barriers to Opportunity.

The Recipient states that rows marked with “X” in the following table are accurate:

|  |  |
| --- | --- |
|  | The Project increases affordable transportation choices. (*Describe how in the supporting narrative below.*) |
|  | The Project expands active transportation usage. (*Describe how in the supporting narrative below.*) |
|  | The Project significantly reduces vehicle dependence. (*Describe how in the supporting narrative below.*) |
|  | The Project reduces transportation and housing cost burdens by integrating mixed use development and a diversity of housing types (including affordable housing) with multimodal transportation infrastructure. (*Describe how in the supporting narrative below.*) |
|  | The Project coordinates and integrates land use, affordable housing, and transportation planning to create more livable communities and expand travel choices. (*Describe how in the supporting narrative below.*) |
|  | The Project reduces vehicle dependence and improves access to daily destinations, such as jobs, healthcare, grocery stores, schools, places of worship, recreation, or parks, such as by adding new facilities that promote walking or biking. (*Describe how in the supporting narrative below.*) |
|  | The Project implements transit-oriented development that benefits existing residents and businesses. (*Describe how in the supporting narrative below, including a specific description of the benefits to existing residents and businesses.*) |
|  | The Project mitigates urban heat islands to protect the health of at-risk residents, outdoor workers, and others. (*Describe how in the supporting narrative below.*) |
|  | The Project proactively addresses racial equity. (*Describe how in the supporting narrative below.*) |
|  | The Recipient has taken other actions related to the Project to improve racial equity and reduce barriers to opportunity. *(Describe those actions in the supporting narrative below.)* |
|  | The Recipient has not yet taken actions related to the Project to improve racial equity and reduce barriers to opportunity but, before beginning construction of the Project, will take relevant actions described in schedule B. *(Identify the relevant actions from schedule B in the supporting narrative below.)*   |
|  | The Recipient has not taken actions related to the Project to improve racial equity and reduce barriers to opportunity and will not take those actions under this award. |

* 1. Supporting Narrative.

[ Insert supporting text, as described in the table above. ]

1. Schedule J
Labor and Work
	1. Efforts to Support Good-Paying Jobs and Strong Labor Standards

The Recipient states that rows marked with “X” in the following table are accurate:

|  |  |
| --- | --- |
|  | The Recipient or a project partner promotes robust job creation by supporting good-paying jobs directly related to the project with free and fair choice to join a union. *(Describe robust job creation and identify the good-paying jobs in the supporting narrative below.)* |
|  | The Recipient or a project partner will invest in high-quality workforce training programs such as registered apprenticeship programs to recruit, train, and retain skilled workers, and implement policies such as targeted hiring preferences. *(Describe the training programs in the supporting narrative below.)* |
|  | The Recipient or a project partner implements targeted hiring preferences that will promote the entry and retention of underrepresented populations into those jobs including women, people of color, and people with convictions. *(Describe the use of targeted hiring preferences that will promote the entry and retention of underrepresented populations in jobs in the supporting narrative below.)* |
|  | The Recipient or a project partner will partner with high-quality workforce development programs with supportive services to help train, place, and retain underrepresented communities in good-paying jobs or registered apprenticeships including through the use of local and economic hiring preferences, linkage agreements with workforce programs that serve underrepresented groups, and proactive plans to prevent harassment. *(Describe the supportive services provided to trainees and employees, preferences, and policies in the supporting narrative below.)* |
|  | The Recipient or a project partner will partner and engage with local unions or other worker-based organizations in the development and lifecycle of the project, including through evidence of project labor agreements and/or community benefit agreements. *(Describe the partnership or engagement with unions and/or other worker-based organizations and agreements in the supporting narrative below.)* |
|  | The Recipient or a project partner will partner with communities or community groups representative of historically underrepresented groups to develop workforce strategies. *(Describe the partnership and workforce strategies in the supporting narrative below.)* |
|  | The Recipient has taken other actions related to the Project to create good-paying jobs with the free and fair choice to join a union and incorporate strong labor standards. *(Describe those actions in the supporting narrative below.)* |
|  | The Recipient has not yet taken actions related to the Project to create good-paying jobs with the free and fair choice to join a union and incorporate strong labor standards but, before beginning construction of the Project, will take relevant actions described in schedule B. *(Identify the relevant actions from schedule B in the supporting narrative below.)*   |
|  | The Recipient has not taken actions related to the Project to improve good-paying jobs and strong labor standards and will not take those actions under this award. |

* 1. Supporting Narrative.

[ Insert supporting text, as described in the table above. ]

1. Schedule K
Civil Rights and Title VI
	1. Recipient Type Designation.

[Choose the appropriate oneof these two alternatives.]

[**Alternative #1**, if either

 (1) the Recipient has an award with same operating administration that makes the Recipient subject to the operating administration’s Title VI requirements; or

 (2) the Recipient had an award with same operating administration as a result of which the Recipient is already in compliance with the operating administration’s Title VI requirements:]

Recipient Type Designation: Existing

Existing Award Program: [ Assistance Listing Number or Name for grant program ]

[**Alternative #2**:]

Recipient Type Designation: New

* 1. Title VI Assessment Information.

[Choose the appropriate oneof these two alternatives.]

[**Alternative #1**, if the Recipient Type Designation is Existing:]

This section is not applicable because the Recipient Type Designation is “Existing.”

[**Alternative #2**, if the Recipient Type Designation is New:]

Title VI Assessment Completion Date: [ insert date ]

Recipient Signature Page

The Recipient, intending to be legally bound, is signing this agreement on the date stated opposite that party’s signature.

|  |  |
| --- | --- |
|  | [insert full name of Recipient’s organization] |
| Date | By: | Signature of Recipient’s Authorized Representative[insert name]Name[insert title]Title |

USDOT Signature Page

The USDOT, intending to be legally bound, is signing this agreement on the date stated opposite that party’s signature.

|  |  |
| --- | --- |
|  | UNITED STATES DEPARTMENT OF TRANSPORTATION |
| Date | By: | Signature of USDOT’s Authorized Representative[insert name]Name[insert title]Title |