# MEMORANDUM OF UNDERSTANDING BETWEEN THE U.S. DEPARTMENT OF TRANSPORTATION, THE WISCONSIN DEPARTMENT OF JUSTICE, AND THE WISCONSIN DEPARTMENT OF AGRICULTURE, TRADE AND CONSUMER PROTECTION

#### BACKGROUND

Air travel is fundamental to the lives of many Americans and the American economy. More than 939 million passengers traveled by air in the United States this past year. Customers booking airline tickets deserve to be treated fairly, to know exactly what they are buying, and to get what they pay for under the law. At a time when complaints concerning matters such as flight disruptions, lack of refunds, and lost or delayed baggage are at an all-time high, we must work together to protect the rights of the traveling public.

The U.S. Department of Transportation (DOT or the Department) vigorously uses its authority to prohibit unfair or deceptive practice in air transportation and in the sale of air transportation by U.S. air carriers, foreign air carriers, and ticket agents to protect consumers. DOT ensures that the rights of aviation consumers are protected by, among other things, reviewing and responding to consumer complaints about air travel as well as monitoring compliance, conducting investigations, and taking enforcement action for violations of aviation consumer protection requirements.

The Wisconsin Department of Justice (DOJ) and Wisconsin Department of Agriculture, Trade and Consumer Protection (DATCP) are the chief consumer protection enforcers at the state level and enforce the State's unfair and deceptive practices statute against ticket agents.

DOT, DOJ, and DATCP have shared interests regarding passengers traveling by air. Establishing this Memorandum of Understanding (MOU) between DOT, DOJ, and DATCP (each a "Party" and collectively the "Parties") will solidify the commitment of all Parties to review and resolve consumer complaints against airlines and ticket agents related to air travel service, to promote compliance with aviation consumer protection requirements, and to hold airlines and ticket agents accountable when they treat consumers unfairly or deceptively. The Parties agree that sharing information and collaborating are effective ways to achieve these goals.

## **AUTHORITY**

DOT enters this MOU under the legal authority of 49 U.S.C. § 322. DOJ enters this MOU under the legal authority of Wis. Stat. §§ 100.18(11)(d) and 165.25(4)(ar). DATCP enters this MOU under the legal authority of Wis. Stat. §§ 100.18(11)(d), 93.14, 93.15, and 93.16.

#### ARTICLES OF RESPONSIBILITY

**ARTICLE I: DOJ and DATCP Responsibilities** 

Designation

DOJ and DATCP will be responsible for the DOJ and DATCP Articles of Responsibilities in this MOU.

# Handling of Consumer Complaints

DOJ and DATCP receive consumer complaints about the actions of carriers and ticket agents. DOJ and DATCP, subject to their discretion, may investigate these complaints in an effort to assist the consumer in resolving the matter with an airline or ticket agent. In addition, DOJ and/or DATCP may make a preliminary determination of whether the facts of the complaint indicate a potential violation of Federal aviation consumer protection requirements. To make this preliminary determination, DOJ and/or DATCP may contact and request a response from carriers or ticket agents. DOJ and/or DATCP will notify the DOT of any meritorious complaints on a quarterly basis (March 31, June 30, September 30 and December 31). No notification will be provided if DOJ and DATCP did not deem any complaint meritorious. However, DOJ and DATCP may submit reports more frequently, if determined to be in the public interest.

## Referrals for DOT Action

If a carrier or ticket agent is not responsive to DOJ's or DATCP's request for information or the facts indicate a potential violation of Federal aviation consumer protection requirements, DOJ and/or DATCP may refer the matter to DOT for action. In referring a potential violation to DOT, DOJ and/or DATCP will provide a written summary which identifies the regulated entity and the regulatory or statutory violation, describes the key facts, and states the number of consumer complaints regarding the specific potential violations identified. Generally, referrals should be submitted to DOT in conjunction with the Quarterly Report submissions. However, DOJ and DATCP may submit referrals more frequently, if determined to be in the public interest.

### **Information Access**

Consistent with State records laws, DOJ and DATCP agree to provide DOT with the underlying consumer complaints filed with DOJ and DATCP should DOT need that information to investigate.

## Personal Information Protection

DOJ and DATCP will protect personally identifiable information (PII) obtained through work covered by this MOU in accordance with all applicable State records statutes, regulations, or other directives.

# Release Restrictions

DOJ and DATCP agree that information provided by the Department will only be disseminated to third parties pursuant to State open records laws and with 10-day prior notification to DOT. If DOJ and/or DATCP determine public statements regarding its activities covered under this MOU are warranted, DOJ and/or DATCP will coordinate such statements prior to release with DOT in accordance with Article 5: Publicity and Coordination.

## **ARTICLE 2: DOT Responsibilities**

## Designation

DOT's Office of Aviation Consumer Protection (OACP) will be responsible for the DOT Articles of Responsibilities in this MOU.

# Responding to DOJ and DATCP Referrals

OACP will prioritize the review and investigation of alleged violations referred by DOJ and DATCP and subsequent enforcement when there is evidence of an unfair and deceptive practice. If DOJ or DATCP submit a referral that identifies more than five similar consumer complaints against a single regulated entity indicating potential violations of a statute or regulation enforced by OACP, OACP will send a letter of inquiry to that entity and copy the referring Party in that letter. OACP will also send an inquiry and copy DOJ and DATCP when it receives a referral identifying five or fewer similar complaints if OACP determines, after consultation with the referring Party, that it is in the public interest to do so. If OACP determines that the entity has violated an aviation consumer protection statute or regulation, OACP will consult with the referring Party before determining next steps. Nothing in the MOU will affect OACP's authority to exercise its discretion to determine which investigations or enforcement actions to pursue.

## **Technical Assistance and Training**

OACP will provide technical assistance and training remotely, in Washington, D.C., or at another agreed upon location to the employees of DOJ and DATCP involved in implementing this MOU. Technical assistance and training will address Federal consumer protection requirements that apply to carriers and ticket agents.

## **Database Access**

OACP agrees to provide access to its new, modernized consumer complaint system to DOJ and DATCP employees involved in implementing this MOU when OACP determines that enforcement may be warranted based on information submitted by DOJ and/or DATCP. DOJ and DATCP employees will need to comply with security requirements for its use and agree to protect personally identifiable information (PII) contained in the system in accordance with all applicable statutes, including but not limited to the Privacy Act of 1974 (5 USC 552a), regulations, directives, orders and policies. OACP's new, modernized consumer complaint system is expected to be operational in 2024.

# **ARTICLE 3. Expenses of Each Party**

This MOU does not obligate funds or authorize the exchange of funds. Each Party to the MOU will bear its own expenses and costs of participation regarding this MOU, and neither Party shall be liable to the other Party for such expenses.

# **ARTICLE 4. Meetings**

The Parties agree to meet at least annually to assess ongoing efforts and whether additional actions could be undertaken to protect consumers and hold airlines and ticket agents who treat consumers unfairly or deceptively accountable. At this meeting, the DOT will provide an update

to DOJ and DATCP on actions taken in response to the complaints and referrals submitted to the DOT by DOJ and DATCP. If DOT has determined that no action is required on complaints or referrals, it will provide an explanation to DOJ and DATCP.

# ARTICLE 5. Publicity and Coordination

The Parties agree to coordinate in advance all public statements, press releases, publicity, or other public messaging regarding this MOU and actions taken pursuant to this MOU.

# ARTICLE 6. Resolution of Statutory Inconsistencies

This MOU is not intended to conflict with current or future laws or regulations of the United States of America or the States or the directives of DOT, DOJ, or DATCP. If any term of this MOU is inconsistent with such authorities, then the term shall be invalid, but the remaining terms and conditions of this MOU shall remain in full force and effect.

#### ARTICLE 7. Amendments

Any amendment to the MOU must be documented in writing and signed by the same (or otherwise authorized) Party representative.

#### ARTICLE 8. Termination

This MOU shall terminate two years from the effective date, unless at least 14 days prior to the termination of the MOU the Parties agree to extend the term of the MOU for another two years. If the MOU is extended, it is extended for increments of two years. However, any party, at any time, can terminate the MOU with 90 days' prior written notice to the other party.

#### **ARTICLE 9. Effective Date**

All Articles of this MOU will become effective upon the signature of the authorized representatives of the Parties. This MOU will remain in effect unless terminated by written notice by the authorized representatives of the Parties, as set forth in Article 8 above.

#### **ARTICLE 10. Points of Contact**

The designated program points of contact for the coordination and management of this MOU are:

WISCONSIN DEPARTMENT OF JUSTICE AND DEPARTMENT OF AGRICUTURE, TRADE AND CONSUMER PROTECTION	DEPARTMENT OF TRANSPORTATION
Gregory A. Myszkowski Assistant Attorney General Department of Justice Public Protection Unit myszkowskiga@doj.state.wi.us	Blane A. Workie Assistant General Counsel Office of Aviation Consumer Protection Email: Blane.Workie@dot.gov

Michael Domke		
Bureau Director		
Department of Agriculture, Trade and Consumer		
Protection		
Michael.Domkel@wisconsin.gov		
Parties will notify each other should the point of contact change.  The signatories below represent that they have the authority to make such commitments on behalf of their respective organization:		
For the U.S. Department of Transportation	For the Wisconsin Department of Justice Joshua L. Kaul	
By Juny	Attorney General State of Wisconsin	
Pete Buttiging	by: Øregory A. Myszkowski	
Secretary	Assistant Attorney General	
Date: April 14, 2024	Date: Aptil 10, 2024	
For the Wisconsin Department of Agriculture, Trade and Consumer Protection		

Deputy Secretary Date: April 11, 2024