

LIST OF ACTIVE ANTITRUST IMMUNIZED ALLIANCES

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Dockets can be accessed at <http://www.regulations.gov>.

	Case	Primary Docket	Related Dockets
1	American - Finnair	DOT-OST-2002-12063	Expanded in DOT-OST-2008-0252
	American - British Airways - Iberia - Finnair - Royal Jordanian	DOT-OST-2008-0252	
2	American - Japan Airlines	DOT-OST-2010-0059	
3	American - Qantas	DOT-OST-2018-0030	
4	Northwest - KLM	DOT-OST-1995-579	Expanded in DOT-OST-2007-28644
	Delta - Air France - Alitalia - Czech Airlines	DOT-OST-2001-10429	Expanded in DOT-OST-2007-28644
	Delta - Northwest - Air France - KLM - Alitalia - Czech Airlines	DOT-OST-2007-28644	Expanded in DOT-OST-2013-0068
	Delta - Virgin Atlantic - Air France - KLM - Alitalia	DOT-OST-2013-0068	
5	Delta - Korean Air - Air France - Alitalia - Czech Airlines	DOT-OST-2002-11842	
6	Delta - Aeromexico	DOT-OST-2015-0070	
7	Delta - LATAM	DOT-OST-2020-0105	
8	United - Lufthansa	DOT-OST-1996-1116	Expanded in DOT-OST-1996-1411
	United - Lufthansa - SAS	DOT-OST-1996-1411	Expanded in DOT-OST-1996-1434
	United - Air Canada	DOT-OST-1996-1434	Expanded in DOT-OST-2000-7828
	United - Austrian - Lufthansa - SAS	DOT-OST-2000-7828	Expanded in DOT-OST-2001-10575 and DOT-OST-2001-11029
	United - BMI - Austrian - Lufthansa - SAS	DOT-OST-2001-10575	Expanded in DOT-OST-2005-22922
	United - Lufthansa - Air Canada - SAS - Austrian - BMI - LOT - Swiss - TAP	DOT-OST-2005-22922	Expanded in DOT-OST-2008-0234
	United - Lufthansa - Air Canada - SAS - Austrian - BMI - LOT - Swiss - TAP	DOT-OST-2008-0234	
9	United - Air New Zealand	DOT-OST-1999-6680	
10	United - Copa	DOT-OST-2000-8577	
11	United - Asiana	DOT-OST-2003-14202	
12	United - All Nippon Airways	DOT-OST-2009-0350	Expanded in DOT-OST-2010-0059
13	SAS - Iceland Air	DOT-OST-2000-7248	

Case Details:

Case Name (File Date)	American – Finnair (April 4, 2002)
Case Docket	DOT-OST-2002-12063
Show Cause Order (Issue Date)	
Final Order (Issue Date)	Order 2002-7-39 (July 30, 2002)
Details	
Reporting	Full-itinerary Origin-Destination Survey of Airline Passenger Traffic for all passenger itineraries that include a United States point. Confidential progress report due annually.
Currently Active Docket	Case expanded in DOT-OST-2008-0252.

Case Name (File Date)	American – British Airways – Iberia – Finnair – Royal Jordanian (August 15, 2008)
Case Docket	DOT-OST-2008-0252
Show Cause Order (Issue Date)	Order 2010-2-8 (February 13, 2010)
Final Order (Issue Date)	Order 2010-7-8 (July 20, 2010) European Commission Case COMP/F-1/39.596 – BA/AA/IB
Details	The authority was granted subject to a slot remedy. For a period of 10 years from the date of approval, applicants must transfer four slot pairs at London Heathrow to competitors: 2 for Heathrow-Boston services and 2 for services between Heathrow and any U.S. city. Administered confidentially by the trustee Competition Rx on behalf of the European Commission. Slots are allocated each IATA season via confidential auction (see, e.g., notice for Summer Season 2020). Amended with Order 2020-12-20 to add Aer Lingus to the immunized Joint Venture. This order also withdrew ATI between the parties and Royal Jordanian.
Reporting	Full-itinerary Origin-Destination Survey of Airline Passenger Traffic for all passenger itineraries that include a United States point. Confidential progress report due annually.

Case Name (File Date)	American – Japan Airlines (February 12, 2010)
Case Docket	DOT-OST-2010-0059
Show Cause Order (Issue Date)	Order 2010-10-4 (October 6, 2010)
Final Order (Issue Date)	Order 2010-11-10 (November 10, 2010)
Details	
Reporting	Full-itinerary Origin-Destination Survey of Airline Passenger Traffic for all passenger itineraries that include a United States point. Confidential progress report due annually.
Other	This case was combined with the United – All Nippon Airways ATI application as a consolidated U.S. – Japan ATI case.

Case Name (File Date)	American – Qantas (February 26, 2018)
Case Docket	DOT-OST-2018-0030
Show Cause Order (Issue Date)	Order 2019-5-23 (June 3, 2019)
Final Order (Issue Date)	Order 2019-7-7 (July 19, 2019)
Details	
Reporting	Full-itinerary Origin-Destination Survey of Airline Passenger Traffic for all passenger itineraries that include a United States point. Confidential progress report due annually.
Other	Self-assessment due six years and six months from approval (January 2027).

Case Name (File Date)	Northwest – KLM (September 9, 1992)
Case Docket	DOT-OST-1995-579
Show Cause Order (Issue Date)	Order 92-11-17 (November 20, 1992)
Final Order (Issue Date)	Order 93-1-11 (January 11, 1993)
Details	
Reporting	Full-itinerary Origin-Destination Survey of Airline Passenger Traffic for all passenger itineraries that include a United States point. Confidential progress report due annually.
Other	This alliance was expanded in Dockets DOT-OST-2007-28644 and DOT-OST-2013-0068
Currently Active Docket	DOT-OST-2013-0068

Case Name (File Date)	Delta – Air France – Alitalia – Czech Airlines (August 15, 2001)
Case Docket	DOT-OST-2001-10429
Show Cause Order (Issue Date)	
Final Order (Issue Date)	Order 2002-1-6 (January 18, 2002)
Details	Carve outs for U.S. point-of-sale time sensitive passengers in the Atlanta-Paris, and Cincinnati-Paris markets (removed by Order 2008-5-32).
Reporting	Full-itinerary Origin-Destination Survey of Airline Passenger Traffic for all passenger itineraries that include a United States point. Confidential progress report due annually.
Other	This alliance was expanded in Dockets DOT-OST-2007-28644 and DOT-OST-2013-0068. The carve outs were removed by Order 2008-5-32 (May 22, 2008) in Docket DOT-OST-2007-28644.
Currently Active Docket	DOT-OST-2013-0068

Case Name (File Date)	Delta – Northwest – Air France – KLM – Alitalia – Czech Airlines (June 28, 2007)
Case Docket	DOT-OST-2007-28644
Show Cause Order (Issue Date)	Order 2008-4-17 (April 9, 2008)
Final Order (Issue Date)	Order 2008-5-32 (May 22, 2008)
Details	Order 2008-5-32 integrates the two previous grants of immunity in Dockets DOT-OST-1995-579 and DOT-OST-2001-10429. Removed carve outs from Order 2002-1-6.
Reporting	Full-itinerary Origin-Destination Survey of Airline Passenger Traffic for all passenger itineraries that include a United States point. Confidential progress report due annually.
Currently Active Docket	DOT-OST-2013-0068

Case Name (File Date)	Delta – Virgin Atlantic – Air France – KLM – Alitalia (April 8, 2013)
Case Docket	DOT-OST-2013-0068
Show Cause Order (Issue Date)	Order 2013-8-21 (August 30, 2013)
Final Order (Issue Date)	Order 2013-9-14 (September 23, 2013)
Details	Amended by Order 2019-11-14 to remove the gaps between Delta's two existing parallel joint ventures with Virgin Atlantic and Air France – KLM. This order also withdrew ATI between the parties and Alitalia and CSA Czech Airlines.
Reporting	Full-itinerary Origin-Destination Survey of Airline Passenger Traffic for all passenger itineraries that include a United States point. Confidential progress report due annually.

Case Name (File Date)	Delta – Korean Air – Air France – Alitalia – Czech Airlines (March 13, 2002)
Case Docket	DOT-OST-2002-11842
Show Cause Order (Issue Date)	
Final Order (Issue Date)	Order 2002-6-18 (June 27, 2002)
Details	
Reporting	Full-itinerary Origin-Destination Survey of Airline Passenger Traffic for all passenger itineraries that include a United States point. Confidential progress report due annually (see Docket DOT-OST-2007-28644).
Other	Joint Venture exclusively between Delta and Korean Air approved by letter, DOT-OST-2002-11842-0021 (November 20, 2017). Order 2017-11-8 (November 17, 2017) denied motion of JetBlue to institute a de novo proceeding.

Case Name (File Date)	Delta – Aeromexico (March 31, 2015)
Case Docket	DOT-OST-2015-0070
Show Cause Order (Issue Date)	Order 2016-11-2 (November 4, 2016)
Final Order (Issue Date)	Order 2016-12-13 (December 14, 2016)
Details	Phase I: Order 2017-4-6 (April 10, 2017) See Notice DOT-OST-2017-0071 (May 5, 2017). Phase II: Order 2018-7-2 (July 3, 2018)
Reporting	Full-itinerary Origin-Destination Survey of Airline Passenger Traffic for all passenger itineraries that include a United States point. Confidential progress report due annually.
Other	Reapplication required no later than March 31, 2022.

Case Name (File Date)	Delta – LATAM (September 30, 2022)
Case Docket	DOT-OST-2020-0105
Show Cause Order (Issue Date)	Order 2022-6-15 (June 23, 2022)
Final Order (Issue Date)	Order 2022-9-20 (September 30, 2022)
Details	Agreement covering codeshare, revenue share, joint marketing, scheduling for all flights between North America and Brazil, Chile, Colombia, Paraguay, Peru, and Uruguay for Delta and LATAM (and subsidiaries).
Reporting	Full-itinerary Origin-Destination Survey of Airline Passenger traffic for all passenger itineraries that include a United States point. Confidential progress report due annually.
Other	ATI granted for 10 years at which point the carriers may submit a self-assessment with a motion to change the expiration for DOT's consideration if they seek to extend the immunity.

Case Name (File Date)	United – Lufthansa (February 29, 1996)
Case Docket	DOT-OST-1996-1116
Show Cause Order (Issue Date)	Order 96-5-12 (May 9, 1996)
Final Order (Issue Date)	Order 96-5-27 (May 20, 1996)
Details	Carve outs imposed for U.S. point-of-sale nonstop O&D traffic in the Chicago – Frankfurt and Washington – Frankfurt markets (since withdrawn).
Reporting	Full-itinerary Origin-Destination Survey of Airline Passenger Traffic for all passenger itineraries that include a United States point. Confidential progress report due annually.
Other	Case expanded in DOT-OST-1996-1411.
Currently Active Docket	DOT-OST-2008-0234

Case Name	United – Lufthansa – SAS (May 28, 1996)
Case Docket	DOT-OST-1996-1411
Show Cause Order (Issue Date)	
Final Order (Issue Date)	Order 96-11-1 (November 1, 1996)
Details	
Reporting	Full-itinerary Origin-Destination Survey of Airline Passenger Traffic for all passenger itineraries that include a United States point. Confidential progress report due annually.
Other	Case expanded in DOT-OST-1996-1434.
Currently Active Docket	DOT-OST-2008-0234

Case Name (File Date)	United – Air Canada (June 4, 1996)
Case Docket	DOT-OST-1996-1434
Show Cause Order (Issue Date)	
Final Order (Issue Date)	Order 97-9-21 (September 19, 1997)
Details	Initial grant of ATI was for U.S. – Canada transborder markets only. The scope was expanded to global immunity by Order 2007-2-16 (February 13, 2007). Carve outs imposed for all U.S. point-of-sale traffic in the following markets: <ul style="list-style-type: none"> • Chicago – Toronto • San Francisco – Toronto
Reporting	Full-itinerary Origin-Destination Survey of Airline Passenger Traffic for all passenger itineraries that include a United States point. Confidential progress report due annually.
Other	Case expanded in DOT-OST-2000-7828.
Currently Active Docket	DOT-OST-2008-0234

Case Name (File Date)	United – Austrian – Lufthansa – SAS (August 18, 2000)
Case Docket	DOT-OST-2000-7828
Show Cause Order (Issue Date)	
Final Order (Issue Date)	Order 2001-1-19 (January 26, 2001)
Details	
Reporting	Full-itinerary Origin-Destination Survey of Airline Passenger Traffic for all passenger itineraries that include a United States point. Confidential progress report due annually.
Other	Case expanded in DOT-OST-2001-10575 and DOT-OST-2001-11029.
Currently Active Docket	DOT-OST-2008-0234

Case Name (File Date)	United – BMI – Austrian – Lufthansa – SAS (September 5, 2001)
Case Docket	DOT-OST-2001-10575
Show Cause Order (Issue Date)	Order 2002-4-4 (April 4, 2002) (subject to U.S. – UK Open Skies within 6 months of issue date) Order 2002-10-6 (October 3, 2002) (subject to achieving U.S. – UK Open Skies by December 31, 2002) Order 2002-12-22 (December 31, 2002) (subject to achieving U.S. – UK Open Skies by June 30, 2003). Order 2003-6-39 (June 30, 2003) (subject to achieving U.S. – UK open skies agreement).
Final Order (Issue Date)	Order 2007-9-12 (September 12, 2007) (authority granted effective March 30, 2008 (Docket DOT- OST-2001-11029))
Details	Case rulings were dependent on a U.S. – United Kingdom Open Skies Agreement, which came to fruition as a U.S. – EU Air Transportation Agreement that became effective March 30, 2008. Open Skies agreements fully liberalize aviation international markets and are requisite for antitrust immunity.
Reporting	Full-itinerary Origin-Destination Survey of Airline Passenger Traffic for all passenger itineraries that include a United States point. Confidential progress report due annually.
Other	Case expanded in DOT-OST-2005-22922.
Currently Active Docket	DOT-OST-2008-0234

Case Name (File Date)	United – Lufthansa – Air Canada – SAS – Austrian – BMI – LOT – Swiss – TAP (November 4, 2005)
Case Docket	DOT-OST-2005-22922
Show Cause Order (Issue Date)	Order 2006-12-17 (December 19, 2006)
Final Order (Issue Date)	Order 2007-2-16 (February 13, 2007)
Details	
Reporting	Full-itinerary Origin-Destination Survey of Airline Passenger Traffic for all passenger itineraries that include a United States point. Confidential progress report due annually.
Other	See also DOT-OST-1996-1434, Order 2007-2-16, because Air Canada is part of the immunized alliance. British carrier bmi ceased operations in 2012.
Currently Active Docket	DOT-OST-2008-0234

Case Name (File Date)	United – Lufthansa – Air Canada – SAS – Austrian – BMI – LOT – Swiss – TAP (July 23, 2008)
Case Docket	DOT-OST-2008-0234
Show Cause Order (Issue Date)	Order 2009-4-5 (April 7, 2009)
Final Order (Issue Date)	Order 2009-7-10 (July 10, 2009)
Details	Excludes all local O&D (origin – destination) U.S. point-of-sale passengers in the following markets: <ul style="list-style-type: none"> • Cleveland-Toronto • Houston-Calgary (Withdrawn as of February 9, 2020 in Notice on February 24, 2020) • Chicago-Toronto (Withdrawn as of February 9, 2020 in Notice on February 24, 2020) • New York-Lisbon (applies to non-JV carriers only) (Withdrawn as of February 11, 2020 in Notice issued February 24, 2020) • New York-Ottawa (Withdrawn as of February 9, 2020 in Notice issued February 24, 2020)
Reporting	Full-itinerary Origin-Destination Survey of Airline Passenger Traffic for all passenger itineraries that include a United States point. Confidential progress report due annually.
Other	Brussels Airlines, a Lufthansa-controlled affiliate, added as a subsidiary by Order 2011-11-16 (November 16, 2011). British carrier bmi ceased operations in 2012.

Case Name (File Date)	United – Air New Zealand (December 17, 1999)
Case Docket	DOT-OST-1999-6680
Show Cause Order (Issue Date)	Order 2001-3-4 (March 2, 2001)
Final Order (Issue Date)	Order 2001-4-2 (April 3, 2001)
Details	Excludes all local U.S. POS, time-sensitive travelers (unrestricted coach, business, or first-class fares): <ul style="list-style-type: none"> • Los Angeles-Auckland • Los Angeles-Sydney
Reporting	Full-itinerary Origin-Destination Survey of Airline Passenger Traffic for all passenger itineraries that include a United States point.

Case Name (File Date)	United – COPA (December 22, 2000)
Case Docket	DOT-OST-2000-8577
Show Cause Order (Issue Date)	
Final Order (Issue Date)	Order 2001-5-1 (May 3, 2001)
Details	
Reporting	Full-itinerary Origin-Destination Survey of Airline Passenger Traffic for all passenger itineraries that include a United States point.
Other	The case was originally filed by Continental Airlines. United, upon merging with Continental, retained the antitrust immunity with approval from DOT in a route transfer proceeding.

Case Name (File Date)	United – Asiana (January 3, 2003)
Case Docket	DOT-OST-2003-14202
Show Cause Order (Issue Date)	
Final Order (Issue Date)	Order 2003-5-18 (May 14, 2003)
Details	
Reporting	Full-itinerary Origin-Destination Survey of Airline Passenger Traffic for all passenger itineraries that include a United States point.

Case Name (File Date)	United – All Nippon Airways (December 23, 2009)
Case Docket	DOT-OST-2010-0059
Show Cause Order (Issue Date)	Order 2010-10-4 (October 6, 2010)
Final Order (Issue Date)	Order 2010-11-10 (November 10, 2010)
Details	
Reporting	Full-itinerary Origin-Destination Survey of Airline Passenger Traffic for all passenger itineraries that include a United States point. Confidential progress report due annually.
Other	Initial application filed in DOT-OST-2009-0350. The proceedings were consolidated into DOT-OST-2010-0059 by Order 2010-3-10 (March 11, 2010). This docket also includes the American – Japan Airlines request for antitrust immunity.

Case Name (File Date)	SAS – Iceland Air (April 13, 2000)
Case Docket	DOT-OST-2000-7248
Show Cause Order (Issue Date)	
Final Order (Issue Date)	Order 2000-10-13 (October 13, 2000)
Details	
Reporting	Full-itinerary Origin-Destination Survey of Airline Passenger Traffic for all passenger itineraries that include a United States point.