

U.S. Department of Transportation (DOT)

Freedom of Information Act (FOIA)

FY 2022 Annual Report

I. Basic Information Regarding the Report

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B. Electronic address for report on the World Wide Web

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C. Person to be contacted for a copy of the report in paper form:

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II. Making a FOIA Request

A. Agency components that receive FOIA requests

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***Note:** Up-to-date information can be found on each component's FOIA page via*
<https://www.transportation.gov/foia>

III. Agency Component Abbreviations

Component Abbreviation	Component Name
FAA	Federal Aviation Administration
FHWA	Federal Highway Administration
FMCSA	Federal Motor Carrier Safety Administration
FRA	Federal Railroad Administration
FTA	Federal Transit Administration
GLS	Great Lakes St. Lawrence Seaway Development Corporation
MARAD	Maritime Administration
NHTSA	National Highway Traffic Safety Administration
OIG	Office of Inspector General
OST	Office of the Secretary
PHMSA	Pipeline and Hazardous Materials Safety Administration

IV. Exemption 3 Statutes

Statute	Type of Information Withheld	Case Citation	Agency / Component	Number of Times Relied upon by Agency / Component	Total Number of Times Relied upon by Agency Overall
41 U.S.C. § 4702 (formerly at 41 U.S.C. § 253b(m)(1))	Contractor proposals that are in the possession or control of an executive agency and that have not been set forth or incorporated by reference into contracts	Sinkfield v. HUD, No. 10-885, 2012 U.S. Dist. LEXIS 35233, at *13-15 (S.D. Ohio Mar. 15, 2012); Margolin v. NASA, No. 09-CV-00421, 2011 WL 1303221, at *6 (D. Nev. Mar. 31, 2011); Hornbostel v. U.S. Dep't of the Interior, 305 F. Supp. 2d 21, 30 (D.D.C. 2003), summary affirmance granted, No. 03-5257, 2004 WL 1900562	FMCSA	1	3
			FRA	1	
			NHTSA	1	

		(D.C. Cir. Aug. 25, 2004).			
49 U.S.C. § 31143(b)	Identities of motor carrier safety complaints	N/A	FMCSA	717	717
49 U.S.C. § 114(r), (formerly at 49 U.S.C. § 114(s))	Information obtained or developed in carrying out security under the authority of the Aviation and Transportation Security Act or under chapter 449 of this title	Magassa v. TSA, No. 19-01953, 2022 WL 971207, at *5 (D.D.C Mar. 31, 2022); Skurow v. DHS, 892 F. Supp. 2d 319, 329-30 (D.D.C. 2012); Gordon v. FBI, 390 F. Supp. 2d 897, 900 (N.D. Cal. 2004).	FMCSA	198	203
			FAA	5	
49 U.S.C. § 30305(c)	National Driver Registration information on certain individuals		NHTSA	10	10
49 U.S.C. § 60138	Certain information contained in pipeline facility response plans		PHMSA	1	1
49 U.S.C. § 40123(a)	Aviation Safety Action Program voluntarily	N/A	FAA	23	23

	submitted information				
49 U.S.C. § 30172(f)	Information that could identify a whistleblower	N/A	FAA	1	1
49 U.S.C. § 46102(c)	Recordings and proceedings before the Secretary, Administrator of the Transportation Security Administration, and Administrator of the Federal Aviation Administration requiring secrecy because of national defense		FAA	1	1
51 U.S.C. § 50916 (formerly at 49 U.S.C. § 70114)	Commercial space launch activity information exempted under 5 U.S.C. § 552(b)(4) or designated as confidential by the submitter or head of the executive agency	N/A	FAA	3	3

	providing the information				
49 U.S.C § 44912(d)(2)(A)	Information relating to transportation security and research and development activities	N/A	FAA	1	1
10 U.S.C. § 130e	DOD Critical Infrastructure security information	N/A	FAA	13	13
49 U.S.C. § 44735	Certain report, data, or other safety information submitted to the FAA	N/A	FAA	4	4

V.A. FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING FOIA REQUESTS

Agency / Component	Number of Requests Pending as of Start of Fiscal Year	Number of Requests Received in Fiscal Year	Number of Requests Processed in Fiscal Year	Number of Requests Pending as of End of Fiscal Year
FAA	1869	11702	11402	2169
FHWA	46	198	171	73
FMCSA	3210	5325	5827	2708
FRA	172	260	281	151
FTA	30	284	222	92
GLS	0	11	8	3
MARAD	20	124	104	40
NHTSA	348	334	190	492
OIG	27	110	109	28
OST	688	558	452	794
PHMSA	127	184	192	119
AGENCY OVERALL	6537	19090	18958	6669

After reviewing its database, DOT adjusted the number of requests pending as of the start of the Fiscal Year for the following components: FAA, FHWA, FMCSA, NHSTA

V.B.(1). DISPOSITION OF FOIA REQUESTS -- ALL PROCESSED REQUESTS

Agency / Component	Number of Full Grants	Number of Partial Grants / Partial Denials	Number of Full Denials Based on Exemptions	Number of Full Denials Based on Reasons Other than Exemptions									TOTAL
				No Records	All Records Referred to Another Component or Agency	Request Withdrawn	Fee-Related Reason	Records not Reasonably Described	Improper FOIA Request for Other Reason	Not Agency Record	Duplicate Request	Other *Explain in Chart Below	
FAA	7965	1032	123	1713	17	210	35	38	34	55	172	8	11402
FHWA	74	37	8	38	4	8	2	0	0	0	0	0	171
FMCSA	321	4694	10	116	3	499	0	1	0	2	181	0	5827
FRA	112	82	3	67	1	9	0	2	0	2	3	0	281
FTA	110	46	0	64	1	0	0	0	0	1	0	0	222
GLS	3	0	0	5	0	0	0	0	0	0	0	0	8
MARAD	30	48	0	24	0	0	0	0	2	0	0	0	104
NHTSA	64	19	10	54	22	20	0	0	0	0	1	0	190
OIG	4	32	11	44	14	1	0	1	2	0	0	0	109
OST	58	73	2	235	15	36	0	3	1	27	2	0	452
PHMSA	87	23	0	37	0	31	8	0	1	2	3	0	192
AGENCY OVERALL	8828	6086	167	2397	77	814	45	45	40	89	362	8	18958

V.B.(2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS BASED ON REASONS OTHER THAN EXEMPTIONS"

Agency / Component	Description of "Other" Reasons for Denials from Chart B(1)	Number of Times "Other" Reason Was Relied Upon	TOTAL
FAA	Directed Requester to Publicly Available Information	6	8
	Request Opened in Error	2	
FHWA	N/A	0	0
FMCSA	N/A	0	0
FTA	N/A	0	0
GLS	N/A	0	0
MARAD	N/A	0	0
OIG	N/A	0	0
PHMSA	N/A	0	0
AGENCY OVERALL			8

V.B.(3). DISPOSITION OF FOIA REQUESTS -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
FAA	0	11	49	65	300	742	56	1	41	1	15	0	0	0
FHWA	0	1	0	13	23	22	0	0	0	0	0	0	0	0
FMCSA	0	0	799	147	2	4675	1	1	2688	714	1	0	0	0
FRA	0	1	1	23	17	76	1	0	42	0	0	0	0	0
FTA	0	0	0	3	4	37	0	0	0	0	0	0	0	0
GLS	0	0	0	0	0	0	0	0	0	0	0	0	0	0
MARAD	1	0	0	18	3	37	0	0	1	0	0	0	0	0
NHTSA	0	0	11	0	4	16	0	0	3	0	0	0	0	0
OIG	0	0	0	2	10	31	11	0	23	0	1	0	0	0
OST	0	0	0	11	34	61	4	0	3	0	0	6	0	0
PHMSA	0	0	1	5	5	16	0	0	4	0	0	6	0	0
AGENCY OVERALL	1	13	861	287	402	5713	73	2	2805	715	17	12	0	0

VI.A. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS

Agency / Component	Number of Appeals Pending as of Start of Fiscal Year	Number of Appeals Received in Fiscal Year	Number of Appeals Processed in Fiscal Year	Number of Appeals Pending as of End of Fiscal Year
FAA	167	42	11	198
FHWA	0	4	4	0
FMCSA	4	18	15	7
FRA	1	2	2	1
FTA	0	0	0	0
GLS	0	0	0	0
MARAD	2	8	6	4
NHTSA	3	19	1	21
OIG	2	2	1	3
OST	0	5	1	4
PHMSA	3	0	0	3
AGENCY OVERALL	182	100	41	241

After reviewing its database, DOT updated the number of appeals pending at the start of the Fiscal Year for the following components: FAA, FRA, NHTSA, OIG.

VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS -- ALL PROCESSED APPEALS

Agency / Component	Number Affirmed on Appeal	Number Partially Affirmed & Partially Reversed/Remanded on Appeal	Number Completely Reversed/Remanded on Appeal	Number of Appeals Closed for Other Reasons	TOTAL
FAA	4	4	3	0	11
FHWA	1	0	3	0	4
FMCSA	2	2	11	0	15
FRA	1	1	0	0	2
FTA	0	0	0	0	0
GLS	0	0	0	0	0
MARAD	4	2	0	0	6
NHTSA	0	0	1	0	1
OIG	0	1	0	0	1
OST	0	0	1	0	1
PHMSA	0	0	0	0	0
AGENCY OVERALL	12	10	19	0	41

VI.C.(1). REASONS FOR DENIAL ON APPEAL -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
FAA	0	0	0	0	1	6	0	0	1	0	0	0	0	0
FHWA	0	0	0	0	1	0	1	0	0	0	0	0	0	0
FMCSA	0	0	0	0	0	4	0	0	1	0	0	0	0	0
FRA	0	0	0	1	0	1	0	0	0	0	0	0	0	0
FTA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
GLS	0	0	0	0	0	0	0	0	0	0	0	0	0	0
MARAD	0	0	0	0	1	2	0	0	0	0	0	0	0	0
NHTSA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OIG	0	0	0	0	1	1	0	0	1	0	0	0	0	0
OST	0	0	0	0	0	0	0	0	0	0	0	0	0	0
PHMSA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	0	0	0	1	4	14	1	0	3	0	0	0	0	0

VI.C.(2). REASONS FOR DENIAL ON APPEAL -- REASONS OTHER THAN EXEMPTIONS

Agency / Component	No Records	Records Referred at Initial Request Level	Request Withdrawn	Fee-Related Reason	Records not Reasonably Described	Improper Request for Other Reasons	Not Agency Record	Duplicate Request or Appeal	Request in Litigation	Appeal Based Solely on Denial of Request for Expedited Processing	Other *Explain in chart below
FAA	0	0	2	2	0	0	0	0	2	0	0
FHWA	0	0	0	0	0	0	0	0	0	0	0
FMCSA	1	0	0	0	0	0	1	0	0	0	0
FRA	0	0	0	0	0	0	0	0	0	0	0
FTA	0	0	0	0	0	0	0	0	0	0	0
GLS	0	0	0	0	0	0	0	0	0	0	0
MARAD	0	0	1	0	0	0	0	1	0	0	0
NHTSA	0	0	0	0	0	0	0	0	0	0	0
OIG	0	0	0	0	0	0	0	0	0	0	0
OST	0	0	1	0	0	0	0	0	0	0	0
PHMSA	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	1	0	4	2	0	0	1	1	2	0	0

VI.C.(3). REASONS FOR DENIAL ON APPEAL -- "OTHER" REASONS

Agency / Component	Description of "Other" Reasons for Denial on Appeal from Chart C(2)	Number of Times "Other" Reason Was Relied Upon	TOTAL
FAA	N/A	0	0
FHWA	N/A	0	0
FRA	N/A	0	0
FTA	N/A	0	0
GLS	N/A	0	0
MARAD	N/A	0	0
NHTSA	N/A	0	0
OIG	N/A	0	0
OST	N/A	0	0
PHMSA	N/A	0	0
AGENCY OVERALL			0

VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS

Agency / Component	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
FAA	187	234	15	624
FHWA	<1	6.67	<1	20
FMCSA	24.00	74.23	<1	523
FRA	<1	35.00	<1	198
FTA	<1	<1	<1	<1
GLS	<1	<1	<1	<1
MARAD	20.00	22.86	<1	76
NHTSA	<1	5.33	<1	16
OIG	<1	17.67	<1	53
OST	<1	29.17	<1	174
PHMSA	<1	<1	<1	<1
AGENCY OVERALL	42.00	91.80	<1	624

	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
GLS	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
MARAD	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2021-06-17	2020-11-18
	Number of Days Pending	0	0	0	0	0	0	0	0	324	469
NHTSA	Date of Appeal	2022-08-10	2022-08-09	2022-08-09	2022-08-03	2022-08-03	2022-08-03	2022-08-03	2021-06-16	2021-02-26	2018-03-14
	Number of Days Pending	37	38	38	42	42	42	42	324	401	1139
OIG	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2022-09-23	2021-06-16	2021-03-24
	Number of Days Pending	0	0	0	0	0	0	0	5	326	385
OST	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2022-08-25	2022-08-12	2022-08-04
	Number of Days Pending	0	0	0	0	0	0	0	25	34	40

PHMSA	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2021-07-01	2020-12-30	2020-11-13
	Number of Days Pending	0	0	0	0	0	0	0	314	441	472
AGENCY OVERALL	Date of Appeal	2016-08-23	2016-08-23	2016-08-23	2016-08-23	2016-08-17	2015-11-24	2015-11-19	2015-11-19	2015-09-18	2015-07-17
	Number of Days Pending	1532	1532	1532	1532	1536	1720	1723	1723	1765	1809

VII.A. FOIA REQUESTS -- RESPONSE TIME FOR ALL PROCESSED PERFECTED REQUESTS

Agency / Component	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
FAA	17	31.6	<1	2056	37	199.62	<1	1848	193	448.29	15	1844
FHWA	18.00	50.21	2	532	143.00	177.18	4	712	378.00	378.00	10	746
FMCSA	74.00	98.57	<1	856	300.00	290.56	<1	1004	<1	<1	<1	<1
FRA	181.00	182.17	<1	581	232.00	243.32	<1	1219	5.00	5.00	5	5
FTA	21.00	43.61	<1	571	<1	<1	<1	<1	<1	<1	<1	<1
GLS	10.00	10.29	<1	28	<1	<1	<1	<1	<1	<1	<1	<1
MARAD	7.00	13.98	<1	110	87.00	143.84	4	718	<1	<1	<1	<1
NHTSA	35.00	72.52	2	555	239.00	319.12	<1	1116	<1	<1	<1	<1
OIG	12.00	37.45	<1	273	87.00	157.64	10	541	N/A	N/A	N/A	N/A
OST	1.00	7.31	<1	327	99.00	309.08	<1	1380	63.00	211.67	43	529
PHMSA	12.00	45.14	<1	801	505.00	526.15	<1	1259	15.00	15.00	15	15
AGENCY OVERALL	18.00	51.41	<1	2056	238.00	285.19	<1	1848	163.00	437.18	<1	1844

VII.B. PROCESSED REQUESTS -- RESPONSE TIME FOR PERFECTED REQUESTS IN WHICH INFORMATION WAS GRANTED

Agency / Component	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
FAA	17	21.47	<1	1881	46.5	189.19	13	1055	55	144.95	15	651
FHWA	20.00	60.00	3	532	178.00	210.50	11	712	378.00	378.00	10	746
FMCSA	75.00	100.03	<1	856	128.00	205.78	<1	1004	<1	<1	<1	<1
FRA	195.00	194.85	<1	581	240.50	271.68	<1	1219	5.00	5.00	5	5
FTA	26.50	50.33	<1	571	<1	<1	<1	<1	<1	<1	<1	<1
GLS	16.00	19.00	13	28	<1	<1	<1	<1	<1	<1	<1	<1
MARAD	6.00	12.93	<1	110	81.00	128.04	4	718	<1	<1	<1	<1
NHTSA	60.00	113.37	13	555	239.00	320.50	36	1116	<1	<1	<1	<1
OIG	68.00	90.28	<1	273	121.00	201.86	30	541	N/A	N/A	N/A	N/A
OST	3.50	31.44	<1	327	97.00	223.21	1	1189	53.00	53.00	43	63
PHMSA	20.00	55.48	<1	646	351.00	534.96	29	1259	15.00	15.00	15	15
AGENCY OVERALL	18.00	48.31	<1	1881	129.00	217.52	<1	1259	52.00	145.41	<1	746

VII.C. PROCESSED SIMPLE REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
FAA	8852	1558	317	129	53	47	30	19	17	5	35	30	149	11241
FHWA	86	23	10	4	3	7	2	2	0	0	4	4	2	147
FMCSA	1424	287	221	457	555	249	166	113	87	156	558	139	69	4481
FRA	33	12	5	2	3	1	1	3	9	9	32	10	19	139
FTA	109	45	24	12	10	4	3	3	1	5	4	0	2	222
GLS	6	1	0	0	0	0	0	0	0	0	0	0	0	7
MARAD	41	2	2	0	1	1	0	0	0	0	0	0	0	47
NHTSA	52	44	19	13	7	13	4	2	2	0	7	3	6	172
OIG	58	14	5	2	1	4	2	5	2	2	1	0	0	96
OST	191	8	2	2	0	1	0	0	0	0	1	1	0	206
PHMSA	98	20	9	6	5	1	4	3	0	3	2	3	2	156
AGENCY OVERALL	10950	2014	614	627	638	328	212	150	118	180	644	190	249	16914

VII.C. PROCESSED COMPLEX REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
FAA	5	16	4	2	2	1	0	1	0	0	0	1	7	39
FHWA	3	4	2	1	0	1	0	0	2	0	5	1	3	22
FMCSA	244	69	43	33	36	39	21	26	23	25	114	150	521	1344
FRA	14	11	12	3	6	3	1	3	2	2	38	24	20	139
FTA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
GLS	0	0	0	0	0	0	0	0	0	0	0	0	0	0
MARAD	2	13	8	3	6	4	3	2	3	2	2	1	6	55
NHTSA	1	1	1	0	2	0	0	0	0	0	5	2	4	16
OIG	2	2	0	0	2	0	1	0	0	0	2	1	1	11
OST	71	21	17	5	6	9	6	5	4	1	19	4	71	239
PHMSA	1	2	2	0	2	0	0	0	0	0	5	3	18	33
AGENCY OVERALL	343	139	89	47	62	57	32	37	34	30	190	187	651	1898

VII.C. PROCESSED REQUESTS GRANTED EXPEDITED PROCESSING -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
FAA	4	6	4	2	1	3	1	2	2	0	4	1	20	50
FHWA	1	0	0	0	0	0	0	0	0	0	0	0	1	2
FMCSA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
FRA	1	0	0	0	0	0	0	0	0	0	0	0	0	1
FTA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
GLS	1	0	0	0	0	0	0	0	0	0	0	0	0	1
MARAD	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NHTSA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OIG	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OST	0	0	1	1	0	0	0	0	0	0	0	0	1	3
PHMSA	1	0	0	0	0	0	0	0	0	0	0	0	0	1
AGENCY OVERALL	8	6	5	3	1	3	1	2	2	0	4	1	22	58

VII.D. PENDING REQUESTS -- ALL PENDING PERFECTED REQUESTS

Agency / Component	SIMPLE			COMPLEX			EXPEDITED PROCESSING		
	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days
FAA	1968	73	374	56	41	46	121	753	844
FHWA	55	73	111	14	108	169	1	725	725
FMCSA	1766	96	133	941	282	317	0	N/A	N/A
FRA	69	119	120	80	66	129	0	N/A	N/A
FTA	92	71.5	186	0	N/A	N/A	0	N/A	N/A
GLS	1	3	3	0	N/A	N/A	0	N/A	N/A
MARAD	34	142.5	148	6	81.5	102	0	N/A	N/A
NHTSA	334	255.5	309	151	618	634	0	N/A	N/A
OIG	17	80	142	11	335	330	0	N/A	N/A
OST	40	246.5	347	730	717.5	648	24	422	459
PHMSA	73	92	183	45	519	563	0	N/A	N/A
AGENCY OVERALL	4449	98.00	259.56	2034	356.50	448.14	146	717.00	766.84

VII.E. PENDING REQUESTS -- TEN OLDEST PENDING PERFECTED REQUESTS

Agency / Component		10th Oldest Request	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Request
FAA	Date of Receipt	2014-11-17	2014-11-12	2014-11-03	2014-09-29	2014-09-11	2014-09-04	2014-07-10	2014-03-27	2014-02-06	2014-01-09
	Number of Days Pending	1970	1973	1979	2003	2015	2021	2059	2132	2166	2185
FHWA	Date of Receipt	2021-09-20	2021-08-09	2021-07-09	2021-07-01	2021-06-14	2021-04-08	2021-01-27	2020-12-02	2020-07-01	2019-11-12
	Number of Days Pending	259	288	309	314	327	373	423	460	552	725
FMCSA	Date of Receipt	2019-02-11	2018-12-17	2018-12-10	2018-12-10	2018-10-25	2018-10-16	2018-08-10	2018-04-03	2017-04-12	2016-11-14
	Number of Days Pending	915	952	957	957	987	994	1039	1130	1374	1476
FRA	Date of Receipt	2021-10-12	2021-06-14	2021-06-08	2021-05-04	2021-04-12	2021-01-05	2020-12-07	2020-12-04	2020-06-25	2019-05-06
	Number of Days Pending	244	327	331	355	371	438	457	458	569	856
FTA	Date of Receipt	2021-06-14	2020-05-27	2019-04-16	2018-10-03	2018-05-30	2018-02-07	2018-01-30	2018-01-30	2018-01-30	2017-09-14

	Number of Days Pending	327	590	870	1002	1090	1168	1174	1174	1174	1266
GLS	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2022-09-27
	Number of Days Pending	0	0	0	0	0	0	0	0	0	4
MARAD	Date of Receipt	2021-11-03	2021-11-03	2021-11-03	2021-11-03	2021-10-19	2021-10-19	2021-10-19	2021-10-07	2021-09-21	2019-04-11
	Number of Days Pending	228	228	228	228	239	239	239	246	258	873
NHTSA	Date of Receipt	2017-11-01	2017-08-17	2017-07-19	2017-05-25	2016-07-19	2015-12-01	2015-01-30	2014-12-06	2014-07-22	2014-05-27
	Number of Days Pending	1228	1280	1301	1338	1551	1710	1920	1956	2051	2090
OIG	Date of Receipt	2021-06-11	2021-06-03	2021-05-27	2021-05-25	2021-04-22	2021-03-31	2021-03-04	2020-08-24	2020-06-17	2020-06-17
	Number of Days Pending	329	335	339	341	364	380	399	529	576	576
OST	Date of Receipt	2017-04-04	2017-03-27	2017-03-27	2017-03-24	2017-02-23	2017-02-21	2017-02-06	2017-02-06	2017-02-03	2017-02-01
	Number of Days Pending	1380	1386	1386	1387	1408	1410	1420	1420	1421	1423

PHMSA	Date of Receipt	2019-06-28	2019-04-15	2019-03-06	2019-02-12	2018-11-05	2018-09-24	2018-09-20	2018-07-10	2018-03-09	2018-02-16
	Number of Days Pending	818	871	899	914	980	1009	1011	1062	1147	1161
AGENCY OVERALL	Date of Receipt	2014-11-03	2014-09-29	2014-09-11	2014-09-04	2014-07-22	2014-07-10	2014-05-27	2014-03-27	2014-02-06	2014-01-09
	Number of Days Pending	1979	2003	2015	2021	2051	2059	2090	2132	2166	2185

VIII.A. REQUESTS FOR EXPEDITED PROCESSING

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate	Number Adjudicated Within Ten Calendar Days
FAA	5	143	1	33.57	140
FHWA	1	2	10	8.33	2
FMCSA	0	0	N/A	N/A	0
FRA	1	2	6	4.33	3
FTA	0	0	N/A	N/A	0
GLS	0	0	N/A	N/A	0
MARAD	0	3	14	14	0
NHTSA	1	2	8	175	2
OIG	0	7	1	7.57	6
OST	5	19	3.5	11.08	18
PHMSA	0	7	12	12.14	1
AGENCY OVERALL	13	185	1	31.72	172

VIII.B. Requests for Fee Waiver

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate
FAA	30	50	1	23.4
FHWA	16	1	10	43.59
FMCSA	0	0	N/A	N/A
FRA	6	1	1	1
FTA	0	0	N/A	N/A
GLS	1	0	1	1
MARAD	7	0	12	56.71
NHTSA	0	0	N/A	N/A
OIG	10	0	1	13.1
OST	0	0	N/A	N/A
PHMSA	0	3	251	379
AGENCY OVERALL	70	55	1	34.31

IX. FOIA Personnel and Costs

Agency / Component	PERSONNEL			COSTS		
	Number of "Full-Time FOIA Employees"	Number of "Equivalent Full-Time FOIA Employees"	Total Number of "Full-Time FOIA Staff"	Processing Costs	Litigation-Related Costs	Total Costs
FAA	24	36.96	60.96	8816465.00	149991.00	8966456.00
FHWA	2	4.63	6.63	314327.00	0.00	314327.00
FMCSA	9	1.55	10.55	1900606.00	0.00	1900606.00
FRA	3	0.00	3.00	584590.00	0.00	584590.00
FTA	0	1.90	1.90	288180.00	0.00	288180.00
GLS	0	0.02	0.02	2642.00	0.00	2642.00
MARAD	2	0.70	2.70	402261.00	0.00	402261.00
NHTSA	2	6.78	8.78	1188517.00	16049.00	1204566.00
OIG	1	1.50	2.50	324070.00	0.00	324070.00
OST	4	0.51	4.51	707784.00	22240.00	730024.00
PHMSA	2	1.86	3.86	440544.00	0.00	440544.00
AGENCY OVERALL	49	56.41	105.41	14969986.00	188280.00	15158266.00

X. Fees Collected for Processing Requests

Agency / Component	Total Amount of Fees Collected	Percentage of Total Costs
FAA	217155.82	2.4600
FHWA	9398.18	2.9900
FMCSA	0.00	0.00
FRA	0.00	0.00
FTA	0.00	0.00
GLS	0.00	0.00
MARAD	0.00	0.00
NHTSA	1585.55	0.1300
OIG	0.00	0.00
OST	300.00	0.0400
PHMSA	1437.00	0.3300
AGENCY OVERALL	229876.55	1.5400

XI.A. Number of Times Subsection (C) Used

Agency / Component	Number of Times Subsection Used
FAA	0
FHWA	0
FMCSA	0
FRA	0
FTA	0
GLS	0
MARAD	0
NHTSA	0
OIG	0
OST	0
PHMSA	0
AGENCY OVERALL	0

XI.B. Number of Subsection (A)(2) Postings

Agency / Component	Number of Records Posted by the FOIA Office	Number of Records Posted by Program Offices
FAA	12	0
FHWA	0	0
FMCSA	5	1104
FRA	1	251
FTA	4	0
GLS	29	0
MARAD	0	1221
NHTSA	87	0
OIG	0	188
OST	56	30
PHMSA	6	240
AGENCY OVERALL	200	3034

XII.A. Backlogs of FOIA Requests and Administrative Appeals

Agency / Component	Number of Backlogged Requests as of End of Fiscal Year	Number of Backlogged Appeals as of End of Fiscal Year
FAA	1327	190
FHWA	54	0
FMCSA	2397	2
FRA	137	1
FTA	62	0
GLS	0	0
MARAD	31	2
NHTSA	474	19
OIG	22	2
OST	759	3
PHMSA	99	3
AGENCY OVERALL	5362	222

XII.B. CONSULTATIONS ON FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING CONSULTATIONS

Agency / Component	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>Start</u> of the Fiscal Year	Number of Consultations <u>Received</u> from Other Agencies During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Processed</u> by the Agency During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>End</u> of the Fiscal Year
FAA	31	22	26	27
FHWA	0	0	0	0
FMCSA	0	3	0	3
FRA	0	0	0	0
FTA	0	0	0	0
GLS	0	0	0	0
MARAD	0	5	5	0
NHTSA	3	0	0	3
OIG	0	5	3	2
OST	2	40	36	6
PHMSA	0	0	0	0
AGENCY OVERALL	36	75	70	41

After reviewing its database, DOT adjusted the number of consultations pending as of the start of Fiscal Year 2022 for the following components: FAA and OIG

MARAD	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
NHTSA	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2020-05-12	2020-04-30	2020-04-14
	Number of Days	0	0	0	0	0	0	0	600	608	620
OIG	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2022-09-30	2022-06-02
	Number of Days	0	0	0	0	0	0	0	0	0	84
OST	Date	N/A	N/A	N/A	N/A	2022-09-12	2022-07-26	2022-07-21	2022-07-14	2022-05-31	2022-05-12
	Number of Days	0	0	0	0	14	47	50	55	86	98
PHMSA	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	Date	2019-10-09	2019-03-28	2017-06-30	2016-12-07	2016-09-15	2016-06-28	2016-06-28	2015-09-28	2015-07-22	2014-09-08
	Number of Days	743	879	1313	1454	1510	1565	1565	1753	1800	2018

XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- REQUESTS RECEIVED AND PROCESSED

Agency / Component	NUMBER OF REQUESTS <u>RECEIVED</u>		NUMBER OF REQUESTS <u>PROCESSED</u>	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
FAA	8702	11702	8714	11402
FHWA	241	198	270	171
FMCSA	5171	5325	4317	5827
FRA	269	260	266	281
FTA	224	284	218	222
GLS	2	11	2	8
MARAD	97	124	115	104
NHTSA	289	334	182	190
OIG	95	110	89	109
OST	402	558	382	452
PHMSA	248	184	199	192
AGENCY OVERALL	15740	19090	14754	18958

XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED REQUESTS

Agency / Component	Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report
FAA	688	1327
FHWA	31	54
FMCSA	2784	2397
FRA	156	137
FTA	20	62
GLS	0	0
MARAD	16	31
NHTSA	316	474
OIG	26	22
OST	666	759
PHMSA	108	99
AGENCY OVERALL	4811	5362

XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- APPEALS RECEIVED AND PROCESSED

Agency / Component	NUMBER OF APPEALS RECEIVED		NUMBER OF APPEALS PROCESSED	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
FAA	58	42	22	11
FHWA	7	4	8	4
FMCSA	2	18	3	15
FRA	1	2	1	2
FTA	0	0	0	0
GLS	0	0	0	0
MARAD	4	8	3	6
NHTSA	10	19	12	1
OIG	7	2	4	1
OST	3	5	6	1
PHMSA	8	0	5	0
AGENCY OVERALL	100	100	64	41

XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED APPEALS

Agency / Component	Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report
FHWA	0	0
FMCSA	4	2
FRA	1	1
FTA	0	0
GLS	0	0
MARAD	2	2
NHTSA	3	19
OST	0	3
PHMSA	3	3
FAA	146	190
OIG	2	2
AGENCY OVERALL	161	222