

**DEPARTMENT OF TRANSPORTATION**

**Office of the Secretary of Transportation**

**Notice of Funding Opportunity for the Department of Transportation’s National Infrastructure Investments (i.e., the Rebuilding American Infrastructure with Sustainability and Equity (RAISE) Grant Program) under the Infrastructure Investment and Jobs Act (“Bipartisan Infrastructure Law”)**

**AGENCY:** Office of the Secretary of Transportation, DOT

**ACTION:** Notice of Funding Opportunity

**SUMMARY:** The purpose of this notice is to solicit applications for Rebuilding American Infrastructure with Sustainability and Equity (RAISE) grants. A total of \$1.5 billion is available for the FY 2023 RAISE grant program. These funds will be awarded on a competitive basis, per statute, for surface transportation infrastructure projects that will improve: safety; environmental sustainability; quality of life; mobility and community connectivity; economic competitiveness and opportunity including tourism; state of good repair; partnership and collaboration; and innovation.<sup>1</sup> The Department intends to update this Notice of Funding Opportunity on or before December 14, 2022 with complete program requirements and application information.

**DATES:** Applications must be submitted by 11:59:59 PM Eastern on February 28, 2023.

**ADDRESSES:** Applications must be submitted through [Grants.gov](https://www.grants.gov).

**FOR FURTHER INFORMATION CONTACT:** For further information concerning this notice, please contact the RAISE grant program staff via e-mail at [RAISEgrants@dot.gov](mailto:RAISEgrants@dot.gov), or call Howard Hill at 202-366-0301. A TDD is available for individuals who are deaf or hard of hearing at 202-366-

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<sup>1</sup> 49 U.S.C.6702(d)(3)

3993. Until the final NOFO is published on or before December 14, 2022, the Department may provide limited responses to applicant inquiries.

## **SUPPLEMENTARY INFORMATION:**

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### **A. Program Description**

#### **1. Overview**

The Infrastructure Investment and Jobs Act (Pub. L. 117-58, November 15, 2021, “Bipartisan Infrastructure Law,” or “BIL”) authorized and appropriated \$1.5 billion to be awarded by the Department of Transportation (“DOT”) for FY 2023 for Local and Regional Project Assistance Program Grants under National Infrastructure Investments. The program is codified at 49 U.S.C. 6702. The BIL requires the DOT to publish a Notice of Funding Opportunity (NOFO) no later than 60 days after enactment, which is November 30, 2022. In order to comply with this requirement, this (NOFO) solicits applications for projects to be funded under the Local and Regional Project

Assistance Program, known as the RAISE Grants program, and may include any additional funding appropriated for the RAISE Grants program under the FY 2023 Appropriations Act. If the FY 2023 Appropriations Act significantly alters requirements for the RAISE Grant program, the Department will amend this Notice with guidance on additional requirements.

## **2. Additional Information**

The RAISE grant program is described in the Federal Assistance Listings under the assistance listing program title “National Infrastructure Investments” and assistance listing number 20.933.

## **B. Federal Award Information**

### **1. Amount Available**

The BIL authorized and appropriated \$1.5 billion to be awarded by DOT for RAISE grants under the Local and Regional Project Assistance Program for FY 2023. The Department intends to amend this Notice to provide more details on or before December 14, 2022.

### **2. Award Size**

BIL specifies that the minimum RAISE grant award is \$5 million, except that for projects located in rural areas, the minimum award size is \$1 million. Grants may not be greater than \$25 million.

### **3. Restrictions on Funding**

The BIL also directs that not more than 50 percent of the funds provided for RAISE grants (or \$750 million) shall be awarded to rural projects and directs that not more than 50 percent of the funds provided for RAISE grants (or \$750 million) shall be awarded to urban projects. Further, DOT must consider geographical and modal diversity. The Department intends to amend this Notice to provide more details on or before December 14, 2022.

#### **4. Availability of Funds**

The Department intends to amend this Notice to provide more details on or before December 14, 2022.

#### **C. Eligibility Information**

To be selected for a RAISE grant, an applicant must be an Eligible Applicant and the project must be an Eligible Project.

##### **1. Eligible Applicants**

Eligible Applicants for RAISE grants are: States and the District of Columbia; any territory or possession of the United States; a unit of local government; a public agency or publicly chartered authority established by 1 or more States; a special purpose district or public authority with a transportation function, including a port authority; a federally recognized Indian Tribe or a consortium of such Indian Tribes; a transit agency; and a multi-State or multijurisdictional group of entities.

Multiple States or jurisdictions may submit a joint application and should identify a lead applicant as the primary point of contact and also identify the primary recipient of the award. Joint applications should include a description of the roles and responsibilities of each applicant.

DOT expects that the eligible applicant that submits the application will administer and deliver the project. If the applicant seeks a transfer of the award to another agency, a letter of support from the designated entity must be included in the application.

##### **2. Cost Sharing or Matching**

The Federal share of the costs of an eligible project carried out using a grant provided under the RAISE grant program shall not exceed 80 percent unless the project is located in a rural area, a historically disadvantaged community (HDC), or an area of persistent poverty (APP).

Applicants should use the following equation when determining the cost share for their project:

$$\frac{(RAISE\ Grant\ Request\ +\ Other\ Federal\ Funds)}{Total\ Project\ Cost} = Federal\ Cost\ Share$$

Federal cost share may not exceed 80% for urban projects that are not located in an APP or HDC.

For the RAISE Program, Total Project Cost means the sum of future eligible Federal and Non-Federal funds costs that have not yet been incurred any expenses. This cannot include any previously incurred costs.

DOT does not use an applicant's cost share when evaluating applications on merit. The Department is prohibited from considering cost share as a selection criterion or a competitiveness factor. The Department considers an applicant's cost share during the evaluation and selection process only to confirm eligibility for urban projects that are not located in an APP or HDC. Non-Federal sources include State funds originating from programs funded by State revenue, local funds originating from State or local revenue-funded programs, or private funds. The BIL also allows for the following Federal funds to be considered "non-Federal" for the purpose of the RAISE program: (A) tribal transportation program funds under section 202 of title 23; (B) Federal lands transportation program funds under section 203 of title 23; (C) TIFIA program funds (as defined in section 601(a) of title 23); and (D) Railroad Rehabilitation and Improvement Financing Program under chapter 224. Toll credits under 23 U.S.C. 120(i) are considered a Federal source under the RAISE program and, therefore, cannot be used to satisfy the statutory cost sharing requirement of a RAISE award. Unless otherwise authorized by statute, non-Federal cost-share may not be counted as the non-Federal share for both the RAISE grant and another Federal grant program. DOT will not consider previously incurred costs or previously expended or encumbered funds towards the matching requirement for any project. Matching funds are subject to the same Federal requirements

as awarded funds. If repaid from non-Federal sources, Federal credit assistance is considered non-Federal share.

#### **D. Application and Submission Information**

##### **1. Address to Request Application Package**

Instructions for submitting applications will be found at [www.transportation.gov/RAISEgrants](http://www.transportation.gov/RAISEgrants) along with specific instructions for the forms and attachments required for submission, on or before December 14, 2022.

##### **2. Content and Form of Application Submission**

The Department intends to amend this Notice to provide more details on or before December 14, 2022.

##### **3. Unique Entity Identifier and System for Award Management (SAM)**

Each applicant must: 1) be registered in SAM before submitting its application; 2) provide a valid unique entity identifier in its application; and 3) continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency. DOT may not make a RAISE grant to an applicant until the applicant has complied with all applicable unique entity identifier and SAM requirements and, if an applicant has not fully complied with the requirements by the time DOT is ready to make a RAISE grant, DOT may determine that the applicant is not qualified to receive a RAISE grant and use that determination as a basis for making a RAISE grant to another applicant.

##### **4. Submission Dates and Times**

Applications must be submitted by 11:59:59 PM Eastern on February 28, 2023. Grants.gov attaches a time stamp to each application at the time that submission is complete. Applications

with a time stamp after the deadline will not be considered. The Department does not accept applications via mailed paper, fax machine, email, or other means.

To submit an application through Grants.gov, applicants must:

- (1) Obtain a Unique Entity Identifier<sup>1</sup> (UEI) number;<sup>2</sup>
- (2) Register with the System for Award Management (SAM) at [www.SAM.gov](http://www.SAM.gov);
- (3) Create a Grants.gov username and password; and
- (4) The E-Business Point of Contact (POC) at the applicant's organization must respond to the registration email from Grants.gov and login at Grants.gov to authorize the applicant as the Authorized Organization Representative (AOR). Please note that there can be more than one AOR for an organization.

Please note that the Grants.gov registration process takes at least 2 weeks to complete. Failure to register for SAM or comply with Grants.gov applicant requirements in a timely manner will not be considered for exceptions to the submission requirements and deadline. The Department is not able to assist with technical issues related to Grants.gov or SAM registration. For information and instruction on each of these processes, please see instructions at <http://www.grants.gov/web/grants/applicants/applicant-faqs.html>. If applicants experience difficulties at any point during the registration or application process, please call the Grants.gov Customer Service Support Hotline at 1-800-518-4726.

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<sup>2</sup> On April 4, 2022 the Federal government stopped using the Data Universal Numbering System (DUNS) number to uniquely identify entities. Entities doing business with the Federal government will use a Unique Entity Identifier (UEI) created in SAM.gov. If your entity is currently registered in SAM.gov, your UEI has already been assigned and is viewable in SAM.gov. This includes inactive registrations.

**5. Other Submission Requirements** The Department intends to amend this Notice to provide more details on or before December 14, 2022.

## **E. Application Review Information**

### **1. Criteria**

The BIL requires the Department to evaluate projects based on safety, environmental sustainability, quality of life, mobility and community connectivity, economic competitiveness and opportunity to include tourism opportunity, state of good repair, partnership and collaboration, and innovation. Additional considerations include demonstrated project readiness and cost effectiveness.

### **2. Review and Selection Process**

The Department intends to amend this Notice to provide more details on or before December 14, 2022.

## **F. Federal Award Administration Information**

### **1. Federal Award Notice**

The Department intends to amend this Notice to provide more details on or before December 14, 2022.

### **2. Administrative and National Policy Requirements**

The Department intends to amend this Notice to provide more details on or before December 14, 2022.

### **3. Reporting**

The Department intends to amend this Notice to provide more details on or before December 14, 2022.

## **G. Federal Awarding Agency Contacts**

For further information concerning this notice please contact the RAISE grant program staff via e-mail at [RAISEgrants@dot.gov](mailto:RAISEgrants@dot.gov), or call Howard Hill at 202-366-0301. A TDD is available for



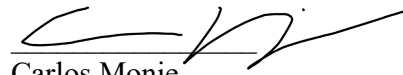
individuals who are deaf or hard of hearing at 202-366-3993. In addition, DOT will post answers to questions and requests for clarifications on DOT's website at [www.transportation.gov/RAISEgrants](http://www.transportation.gov/RAISEgrants).

Until the final NOFO is published on or before December 14, 2022, the Department may provide limited responses to applicant inquiries.

#### **H. Other information**

The Department intends to amend this Notice to provide more details on or before December 14, 2022.

Issued in Washington D.C. on November 30, 2022:

A handwritten signature in black ink, appearing to read 'C. Monje', is written over a horizontal line.

Carlos Monje  
Under Secretary for Transportation Policy