

U.S. Department of Transportation

Amendment 1 to Notice of Funding Opportunity Number DOT-SMART-FY22-01

“Strengthening Mobility and Revolutionizing Transportation Grant Program”

Amendment 1 issued on October 11, 2022

The purpose of this amendment is to edit the Notice of Funding Opportunity (NOFO) to make technical and substantive modifications. Accordingly, the NOFO is hereby amended. Except as provided herein, all terms and conditions remain unchanged and are in full force and effect. If a section is not listed below, no text changes apply to that section or paragraph as a result of this amendment.

The application due date remains unchanged as November 18, 2022 at 5:00 pm ET via Valid Eval, an online submission proposal system used by USDOT, at [https://usg.valideval.com/teams/USDOT\\_SMART\\_2022/signup](https://usg.valideval.com/teams/USDOT_SMART_2022/signup).

This amendment revises the text of the NOFO in the sections identified below.

- Deleted text is shown in ~~strikethrough~~.
- Added text is both **underlined and bolded**.

For applications received prior to the amendment, DOT will directly contact the person(s) listed on the Application for Federal Assistance Standard Form 424 to address any of the amendments that affect their submission.

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#### Section A.4 Program Description, SMART Grants Program Priorities

Successful projects will seek to build sustainable partnerships across sectors and levels of government as well as collaborate with industry, academia, nonprofits, and other traditional and non-traditional partners. **Successful projects will also support the creation of good-paying jobs with the free and fair choice to join a union.**

#### Section D.2.ii Application and Submission Information, Content and Form of Application Submission, Key Information Questions

16. Is the project located (entirely or partially) in an Historically	Indicate yes or no, and which <del>one</del> of the following <del>tools</del> <del>two</del> <b><u>designation methods</u></b> you are using:
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Disadvantaged Community?	<p><b>1) DOT’s Historically Disadvantaged Community (HDC) Status Tool via <a href="https://usdot.maps.arcgis.com/apps/dashboards/d6f90dfcc8b44525b04c7ce748a3674a">https://usdot.maps.arcgis.com/apps/dashboards/d6f90dfcc8b44525b04c7ce748a3674a</a>. Provide a screenshot of the location of the proposed project using the HDC Status Tool.<sup>18</sup></b></p> <p>2) Federally designated community development zones (for example: Opportunity Zones, Empowerment Zones, Promise Zones, Choice Neighborhoods, or Rural Partners Network-designated Community Networks).</p> <p><b>3) The Climate and Economic Justice Screening Tool (CEJST) via <a href="https://screeningtool.geoplatform.gov">screeningtool.geoplatform.gov</a>. Provide a screenshot of the location of the proposed project using CEJST.</b></p>
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<sup>18</sup>For technical assistance using the tool, please contact [GMO@dot.gov](mailto:GMO@dot.gov).

#### Section E.1.ii Application Review Information, Criteria, Project Readiness Selection Criteria

- Project Readiness Criterion #1: Feasibility of Workplan
  - The application clearly describes a thorough and realistic workplan and timeline. The application should also demonstrate the ability to complete the project in the proposed period of performance.
  - The application identifies and understands the legal, policy, and regulatory requirements and identifies and accounts for any relevant exemptions, waivers, permits, or special permissions required to conduct the proposed project.
  - The application identifies ways to measure and validate the project’s expected benefits and community impacts, as well as performance improvements and cost savings.
  - ~~The application identifies a practical approach to developing internal workforce capacity regarding data and technology projects, including a plan for an appropriately skilled and trained workforce to carry out the project.~~
  - **The application describes how the project will use training and education programs and activities to meet workforce capacity needs and promote inclusion in the workforce.**
  - **The application identifies the necessary planning and engagement activities that, as projects are fully implemented during Stage 2, will ensure high-quality job creation by supporting good-paying jobs with a free and fair choice to join a union, incorporating strong labor standards (e.g., wages and benefits at or above prevailing, use of project labor agreements, registered apprenticeship programs, pre-apprenticeships tied to registered apprenticeships, etc.), and/or providing workforce opportunities for historically underrepresented groups (e.g., workforce development program, etc.).**

#### F.3.iii Federal Award Administration Information, Reporting, Implementation Report

During Stage 1, grant recipients may uncover previously unknown institutional barriers or technical limitations. In the implementation report, grantees will describe the requirements for successful deployment and assess the feasibility of an at-scale implementation. The assessment will include identified strategies or demonstrated progress in addressing the following implementation feasibility and readiness factors by the end of the Stage 2 Grant.

- a. Legal, Policy, and Regulatory Requirements (e.g., environmental permits and reviews; public outreach; State and local approvals; equity and accessibility requirements)
- b. Procurement and Budget (e.g., availability of suppliers and equipment; an analysis of the cost differential to comply with Build America Buy America; reliability of cost estimates; critical property acquisition)
- c. Partnerships (e.g., MOUs for stakeholder coordination; private sector and user adoption and acceptance)
- d. Technology Suitability (e.g., systems engineering including Concept of Operations [ConOps] and Detailed Design; reliability and maturity of technology; compatibility with existing infrastructure, procurement processes)
- e. Data Governance (e.g., storage capability; database analytic capability; integration requirements; sharing agreements; cybersecurity and privacy protocols)
- f. Workforce Capacity (e.g., availability of ~~workforce~~ **workers for from** development, ~~and~~ installation, ~~to~~ operations and maintenance; availability of workforce training; agency capacity for deployment, operation, and evaluation; **an assessment of how full implementation at the conclusion of Stage 2, if funded, would harness beneficial impacts and mitigate negative impacts of new technologies on the availability of good-paying jobs with a free and fair choice to join a union**)
- g. Sustainability (e.g., agency/institutional capacity for continued operations following the grant funded period; revenue needs for continued operations)
- h. Community Impact (e.g., distribution of benefits and negative impacts across the community, including Historically Disadvantaged Communities; meaningful community engagement efforts, including strategies to provide access to persons with disabilities and limited English proficient individuals)

#### H.1. Other Information, Definitions

Term	Definition
<b>Historically Disadvantaged Community</b>	<p>For the purposes of the SMART NOFO, applicants may demonstrate the “historical disadvantage” of the project area according to ONE of the following tools:</p> <p><b>1) DOT’s Historically Disadvantaged Community (HDC) Status Tool via <a href="https://usdot.maps.arcgis.com/apps/dashboards/d6f90dfcc8b44525b04c7ce748a3674a">https://usdot.maps.arcgis.com/apps/dashboards/d6f90dfcc8b44525b04c7ce748a3674a</a>.</b></p> <p><b>21)</b> Federally designated community development zones (for example: Opportunity Zones, Empowerment Zones, Promise Zones, Choice Neighborhoods, or Rural Partners Network-designated Community Networks).</p> <p><b>32)</b> The Climate and Economic Justice Screening Tool (CEJST) via <a href="https://screeningtool.geoplatform.gov">screeningtool.geoplatform.gov</a>.</p>

[end of Amendment]