

U.S. Department of Transportation (DOT)
Freedom of Information Act (FOIA)
FY 2021 Annual Report

I. Basic Information Regarding the Report

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II. Making a FOIA Request

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Note: Up-to-date information can be found on each component's FOIA page via https://www.transportation.gov/foia	

3. Agency Component Abbreviations

Component Abbreviation	Component Name
FAA	Federal Aviation Administration
FHWA	Federal Highway Administration
FMCSA	Federal Motor Carrier Safety Administration
FRA	Federal Railroad Administration
FTA	Federal Transit Administration
GLS	Great Lakes St. Lawrence Seaway Development Corporation
MARAD	Maritime Administration
NHTSA	National Highway Traffic Safety Administration
OIG	Office of Inspector General
OST	Office of the Secretary
PHMSA	Pipeline and Hazardous Materials Safety Administration

IV. Exemption 3 Statutes

Statute	Type of Information Withheld	Case Citation	Agency / Component	Number of Times Relied upon by Agency / Component	Total Number of Times Relied upon by Agency Overall
41 U.S.C. § 4702 (formerly at 41 U.S.C. § 253b(m)(1))	Contractor proposals that are in the possession or control of an executive agency and that have not been set forth or incorporated by reference into contracts.	Sinkfield v. HUD, No. 10-885, 2012 U.S. Dist. LEXIS 35233, at *13-15 (S.D. Ohio Mar. 15, 2012); Margolin v. NASA, No. 09-CV-00421, 2011 WL 1303221, at *6 (D. Nev. Mar. 31, 2011); Hornbostel v. U.S. Dep't of the Interior, 305 F. Supp. 2d 21, 30 (D.D.C. 2003), summary affirmance granted, No. 03-5257, 2004 WL 1900562 (D.C. Cir. Aug. 25, 2004).	FHWA	1	2
			MARAD	1	

49 U.S.C. § 31143(b)	Identities of motor carrier safety complainants	N/A	FMCSA	662	662
49 U.S.C. § 114(r) (formerly at 49 U.S.C. § 114(s))	Information obtained or developed in carrying out security under the authority of the Aviation and Transportation Security Act or under chapter 449 of this title.	Skurrow v. DHS, 892 F. Supp. 2d 319, 329-30 (D.D.C. 2012) Gordon v. FBI, 390 F. Supp. 2d 897, 900 (N.D. Cal. 2004).	FMCSA	254	261
			FAA	7	
49 U.S.C. § 30305(c)	National Driver Register information on certain individuals	N/A	NHTSA	3	3
5 U.S.C. app. 3 § 7(b)	Names of employees who provide information or complaints to the Inspector General	N/A	OIG	1	1
Fed. R. Crim. P. 6(e), enacted by Act of July 30, 1977, Pub. L. No. 95-78, §2, 91 Stat. 319	Certain records that would reveal some secret aspect pertaining to grand jury proceedings.	Sussman v. USMS, 494 F.3d 1106, 1113 (D.C. Cir. 2007); Sorin v. DOJ, No. 18-99, 2018 WL 6431027 (2d	OIG	2	2

		Cir. Dec. 6, 2018) (per curium); Widi v. McNeil, No. 12-CV-00188, 2016 WL 4394724, at *23 (D. Me. Aug 16, 2016); Durham v. U.S. Att'y Gen., No. 06-843, 2008 WL 620744, at *2 (E.D. Tex. Mar. 3, 2008); Cozen O'Connor v. U.S. Dep't of Treasury, 570 F. Supp. 2d			
49 U.S.C. § 40115(a)	Information harmful to US position in international aviation negotiations, or harmful to any US international air carrier.	N/A	FAA	5	5
5 U.S.C. § 7301 note § 503(e), Pub. L. 100-71	Drug test results of federal employees	N/A	FAA	2	2
49 U.S.C. § 1114(f)	Any information relating to Board participation in foreign aircraft	N/A	FAA	2	2

	accidents, where the country conducting the investigation has not issued its report or two years have not elapsed from the date of the accident.				
49 U.S.C. § 40110(e)	Contractor proposal not incorporated into the contract	N/A	FAA	3	3
49 U.S.C. § 40123(a)	Aviation Safety Action Program voluntarily submitted information	N/A	FAA	19	19
41 U.S.C. § 2102 (amending 41 U.S.C. § 423(a)(1)) (Procurement Integrity Act)	Contractor bid or proposal information; source selection information	Legal & Safety Emp. Rsch., Inc. v. U.S. Dep't of the Army, No. Civ. S001748, 2001 WL 34098652, at *3-4 (E.D. Cal. May 4, 2001) (dictum)	FAA	2	2
10 U.S.C. § 130e	DOD Critical Infrastructure Security information	N/A	FAA	27	27

V.A. FOIA REQUESTS -- RECEIVED, PROCESSED AND PENDING FOIA REQUESTS

Agency / Component	Number of Requests Pending as of Start of Fiscal Year	Number of Requests Received in Fiscal Year	Number of Requests Processed in Fiscal Year	Number of Requests Pending as of End of Fiscal Year
FAA	1902	8702	8714	1890
FHWA	76	241	270	47
FMCSA	2358	5171	4317	3212
FRA	169	269	266	172
FTA	24	224	218	30
GLS	0	2	2	0
MARAD	38	97	115	20
NHTSA	240	289	182	347
OIG	21	95	89	27
OST	666	402	382	686
PHMSA	78	248	199	127
AGENCY OVERALL	5572	15740	14754	6558

After reviewing its database, DOT adjusted the number of requests pending as of the start of the Fiscal Year for the following components: FHWA, FMCSA, FRA, MARAD, NHTSA, OIG, and OST.

V.B.(1). DISPOSITION OF FOIA REQUESTS -- ALL PROCESSED REQUESTS

Agency / Component	Number of Full Grants	Number of Partial Grants / Partial Denials	Number of Full Denials Based on Exemptions	Number of Full Denials Based on Reasons Other than Exemptions									TOTAL
				No Records	All Records Referred to Another Component or Agency	Request Withdrawn	Fee-Related Reason	Records not Reasonably Described	Improper FOIA Request for Other Reason	Not Agency Record	Duplicate Request	Other *Explain in Chart Below	
FAA	3936	1259	124	1575	125	795	574	101	185	40	0	0	8714
FHWA	90	83	4	78	2	3	5	1	2	0	2	0	270
FMCSA	354	3452	5	162	1	211	0	0	2	1	94	35	4317
FRA	129	108	13	13	0	3	0	0	0	0	0	0	266
FTA	85	40	0	88	0	4	1	0	0	0	0	0	218
GLS	0	1	0	1	0	0	0	0	0	0	0	0	2
MARAD	38	44	0	31	0	2	0	0	0	0	0	0	115
NHTSA	41	23	3	51	31	28	0	1	0	0	4	0	182
OIG	4	41	14	29	1	0	0	0	0	0	0	0	89
OST	75	65	9	146	2	49	1	2	0	31	1	1	382
PHMSA	81	20	7	29	4	13	22	3	0	7	4	9	199
AGENCY OVERALL	4833	5136	179	2203	166	1108	603	108	189	79	105	45	14754

V.B.(2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS BASED ON REASONS OTHER THAN EXEMPTIONS"

Agency / Component	Description of "Other" Reasons for Denials from Chart B(1)	Number of Times "Other" Reason Was Relied Upon	TOTAL
FAA	N/A	0	0
FHWA	N/A	0	0
FMCSA	Unable to Locate or Contact Requester	35	35
FRA	N/A	0	0
FTA	N/A	0	0
GLS	N/A	0	0
MARAD	N/A	0	0
OIG	N/A	0	0
OST	Unable to Locate or Contact Requester	1	1
PHMSA	Directed Requester to Publicly Available Information	9	9
AGENCY OVERALL			45

V.B.(3). DISPOSITION OF FOIA REQUESTS -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
FAA	0	21	67	113	432	1042	79	2	51	4	12	4	0	0
FHWA	0	0	6	34	54	58	0	0	1	0	0	0	0	0
FMCSA	0	0	764	78	3	3431	2	0	1867	654	1	0	0	0
FRA	0	0	0	16	23	82	0	0	55	0	0	0	0	0
FTA	0	0	2	5	4	32	0	0	0	0	0	0	0	0
GLS	0	1	0	0	0	1	0	0	0	0	0	0	0	0
MARAD	0	0	1	23	45	32	0	1	0	0	0	0	0	0
NHTSA	0	0	3	3	3	22	1	0	3	0	0	0	0	0
OIG	0	0	3	4	21	40	4	0	33	3	11	0	0	0
OST	0	1	1	16	41	47	6	0	4	0	2	0	0	0
PHMSA	0	0	0	4	5	15	6	0	5	0	0	5	0	0
AGENCY OVERALL	0	23	847	296	631	4802	98	3	2019	661	26	9	0	0

VI.A. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS

Agency / Component	Number of Appeals Pending as of Start of Fiscal Year	Number of Appeals Received in Fiscal Year	Number of Appeals Processed in Fiscal Year	Number of Appeals Pending as of End of Fiscal Year
FAA	110	58	22	146
FHWA	1	7	8	0
FMCSA	5	2	3	4
FRA	0	1	1	0
FTA	0	0	0	0
GLS	0	0	0	0
MARAD	1	4	3	2
NHTSA	6	10	12	4
OIG	0	7	4	3
OST	3	3	6	0
PHMSA	0	8	5	3
AGENCY OVERALL	126	100	64	162

After reviewing its database, DOT updated the number of appeals pending at the start of the Fiscal Year for the following components: FAA, FMCSA, FRA and FTA.

VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS -- ALL PROCESSED APPEALS

Agency / Component	Number Affirmed on Appeal	Number Partially Affirmed & Partially Reversed/Remanded on Appeal	Number Completely Reversed/Remanded on Appeal	Number of Appeals Closed for Other Reasons	TOTAL
FAA	4	16	0	2	22
FHWA	1	2	5	0	8
FMCSA	2	0	0	1	3
FRA	1	0	0	0	1
FTA	0	0	0	0	0
GLS	0	0	0	0	0
MARAD	0	2	0	1	3
NHTSA	5	0	6	1	12
OIG	4	0	0	0	4
OST	2	1	0	3	6
PHMSA	0	2	0	3	5
AGENCY OVERALL	19	23	11	11	64

VI.C.(1). REASONS FOR DENIAL ON APPEAL -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
FAA	0	0	0	1	4	6	4	0	0	0	0	0	0	0
FHWA	0	0	1	1	0	0	0	0	0	0	0	0	0	0
FMCSA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
FRA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
FTA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
GLS	0	0	0	0	0	0	0	0	0	0	0	0	0	0
MARAD	0	0	0	1	2	2	0	0	0	0	0	0	0	0
NHTSA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OIG	0	0	0	0	0	1	0	0	1	0	0	0	0	0
OST	0	0	0	0	1	0	0	0	0	0	0	0	0	0
PHMSA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	0	0	1	3	7	9	4	0	1	0	0	0	0	0

VI.C.(2). REASONS FOR DENIAL ON APPEAL -- REASONS OTHER THAN EXEMPTIONS

Agency / Component	No Records	Records Referred at Initial Request Level	Request Withdrawn	Fee- Related Reason	Records not Reasonably Described	Improper Request for Other Reasons	Not Agency Record	Duplicate Request or Appeal	Request in Litigation	Appeal Based Solely on Denial of Request for Expedited Processing	Other *Explain in chart below
FAA	0	0	2	1	0	0	0	0	0	0	2
FHWA	0	0	0	0	0	0	0	0	0	0	0
FMCSA	0	0	0	0	0	0	0	0	0	0	0
FRA	0	0	0	0	0	0	0	0	0	0	0
FTA	0	0	0	0	0	0	0	0	0	0	0
GLS	0	0	0	0	0	0	0	0	0	0	0
MARAD	0	0	1	0	0	0	0	0	0	0	0
NHTSA	1	0	0	0	0	0	0	0	0	0	0
OIG	4	0	0	0	0	0	0	0	0	0	0
OST	0	0	1	0	0	0	0	0	0	0	0
PHMSA	1	0	0	0	0	0	0	1	0	0	0
AGENCY OVERALL	6	0	4	1	0	0	0	1	0	0	2

VI.C.(3). REASONS FOR DENIAL ON APPEAL -- "OTHER" REASONS

Agency / Component	Description of "Other" Reasons for Denial on Appeal from Chart C(2)	Number of Times "Other" Reason Was Relied Upon	TOTAL
FAA	Litigation.	2	2
FHWA	N/A	0	0
FMCSA	N/A	0	0
FRA	N/A	0	0
FTA	N/A	0	0
GLS	N/A	0	0
MARAD	N/A	0	0
NHTSA	N/A	0	0
OIG	N/A	0	0
OST	N/A	0	0
PHMSA	N/A	0	0
AGENCY OVERALL			2

VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS

Agency / Component	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
FAA	5	33	<1	282
FHWA	<1	8.78	<1	40
FMCSA	<1	93.89	<1	295
FRA	<1	28.67	<1	86
FTA	<1	<1	<1	<1
GLS	<1	<1	<1	<1
MARAD	86.00	63.00	<1	126
NHTSA	<1	46.97	<1	548
OIG	47	44.2	33	50
OST	71.00	103.67	<1	738
PHMSA	<1	4.40	<1	23
AGENCY OVERALL	33.50	75.17	<1	738

VI.C.(5). TEN OLDEST PENDING ADMINISTRATIVE APPEALS

[illegible]

PHMSA	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2021-07-01	2020-12-30	2020-11-13
	Number of Days Pending	0	0	0	0	0	0	0	64	191	222
AGENCY OVERALL	Date of Appeal	2021-06-16	2021-03-22	2021-02-26	2020-12-30	2020-11-18	2020-11-13	2020-08-19	2020-05-27	2019-03-20	2018-03-14
	Number of Days Pending	75	136	152	191	219	222	281	340	639	894

VII.A. FOIA REQUESTS -- RESPONSE TIME FOR ALL PROCESSED PERFECTED REQUESTS

Agency / Component	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
FAA	15	34	<1	2103	43	151	<1	2132	13	43	10	136
FHWA	17.00	43.19	1	528	166.0	173.9	7	528	20.00	34.00	3	122
FMCSA	99.00	97.87	<1	442	152.00	208.10	<1	823	N/A	N/A	N/A	N/A
FRA	223.00	250.29	<1	836	60	115.53	<1	1297	N/A	N/A	N/A	N/A
FTA	22.00	32.08	<1	354	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
GLS	N/A	N/A	N/A	N/A	7	7	2	12	N/A	N/A	N/A	N/A
MARAD	8.00	26.12	<1	378	117.00	246.70	21	712	N/A	N/A	N/A	N/A
NHTSA	55.00	113.79	<1	731	60.00	187.27	31	564	N/A	N/A	N/A	N/A
OIG	17	39.72	1	368	141	191.31	29	392	2	2	2	2
OST	1.50	19.57	<1	789	80.00	271.99	<1	1132	N/A	N/A	N/A	N/A
PHMSA	11.00	16.55	<1	95	276.00	330.11	10	999	N/A	N/A	N/A	N/A
AGENCY OVERALL	18	67.65	<1	2103	124.00	209.43	<1	2132	20.00	34.00	2	136

VII.B. PROCESSED REQUESTS -- RESPONSE TIME FOR PERFECTED REQUESTS IN WHICH INFORMATION WAS GRANTED

Agency / Component	SIMPLE				COMPLEX				EXPEDITED PROCESSING			
	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
FAA	16	33	<1	2065	42	142	2	2132	12	12	10	13
FHWA	20.50	56.34	1	528	202	208.03	14	528	30.00	49.5	10	122
FMCSA	105.00	102.26	<1	442	109.00	176.20	<1	823	N/A	N/A	N/A	N/A
FRA	268.00	313.84	11	836	93.5	148.33	5	1297	N/A	N/A	N/A	N/A
FTA	24.00	36.3	<1	354	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
GLS	N/A	N/A	N/A	N/A	12	12	12	12	N/A	N/A	N/A	N/A
MARAD	8.50	36.43	<1	378	135.50	256.79	21	712	N/A	N/A	N/A	N/A
NHTSA	77.50	136.48	1	731	277.50	234.00	60	321	N/A	N/A	N/A	N/A
OIG	44	68.74	4	368	175.5	212.1	38	392	N/A	N/A	N/A	N/A
OST	7.00	62.28	<1	789	76.50	208.04	<1	978	N/A	N/A	N/A	N/A
PHMSA	12.00	19.11	<1	95	191.00	202.50	12	501	N/A	N/A	N/A	N/A
AGENCY OVERALL	19	69.19	<1	2065	109	181	<1	2132	30.00	40.20	10	122

VII.C. PROCESSED SIMPLE REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1- 20 Days	21- 40 Days	41- 60 Days	61- 80 Days	81- 100 Days	101- 120 Days	121- 140 Days	141- 160 Days	161- 180 Days	181- 200 Days	201- 300 Days	301- 400 Days	401+ Days	TOTAL
FAA	7100	561	165	75	42	40	29	30	22	16	52	41	107	8280
FHWA	125	24	17	9	6	5	7	5	5	6	3	0	1	213
FMCSA	868	305	184	144	244	267	322	264	329	240	258	21	7	3453
FRA	16	6	5	5	4	0	0	2	2	2	16	11	18	87
FTA	100	65	25	12	2	2	2	3	0	0	2	1	0	214
GLS	0	0	0	0	0	0	0	0	0	0	0	0	0	0
MARAD	61	1	0	0	1	0	0	1	0	0	1	2	0	67
NHTSA	46	19	26	14	8	7	3	5	3	1	14	5	14	165
OIG	1	37	14	9	5	2	0	1	0	0	2	0	0	71
OST	118	5	0	1	0	0	0	0	0	0	0	0	3	127
PHMSA	132	23	15	5	3	0	0	0	0	0	0	0	0	178
AGENCY OVERALL	8567	1046	451	274	315	323	363	311	361	265	348	81	150	12855

VII.C. PROCESSED COMPLEX REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1- 20 Days	21- 40 Days	41- 60 Days	61- 80 Days	81- 100 Days	101- 120 Days	121- 140 Days	141- 160 Days	161- 180 Days	181- 200 Days	201- 300 Days	301- 400 Days	401+ Days	TOTAL
FAA	101	55	26	12	6	5	4	3	3	2	10	8	17	252
FHWA	5	3	2	4	0	2	2	1	3	1	12	2	3	40
FMCSA	169	59	38	26	27	25	25	34	24	24	71	74	180	776
FRA	45	25	18	7	15	11	3	4	7	9	19	7	5	175
FTA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
GLS	2	0	0	0	0	0	0	0	0	0	0	0	0	2
MARAD	0	10	2	4	4	3	0	3	1	1	2	0	14	44
NHTSA	0	1	5	0	0	0	0	0	0	0	1	3	1	11
OIG	0	2	2	0	0	0	2	1	0	0	2	4	0	13
OST	70	30	15	10	4	5	5	4	7	4	16	9	69	248
PHMSA	2	0	0	2	0	0	1	1	1	0	4	1	6	18
AGENCY OVERALL	394	185	108	65	56	51	42	51	46	41	137	108	295	1579

VII.C. PROCESSED REQUESTS GRANTED EXPEDITED PROCESSING -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1- 20 Days	21- 40 Days	41- 60 Days	61- 80 Days	81- 100 Days	101- 120 Days	121- 140 Days	141- 160 Days	161- 180 Days	181- 200 Days	201- 300 Days	301- 400 Days	401+ Days	TOTAL
FAA	4	0	0	0	0	0	1	0	0	0	0	0	0	5
FHWA	3	2	0	0	0	0	1	0	0	0	0	0	0	6
FMCSA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
FRA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
FTA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
GLS	0	0	0	0	0	0	0	0	0	0	0	0	0	0
MARAD	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NHTSA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OIG	1	0	0	0	0	0	0	0	0	0	0	0	0	1
OST	0	0	0	0	0	0	0	0	0	0	0	0	0	0
PHMSA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	8	2	0	0	0	0	2	0	0	0	0	0	0	12

VII.D. PENDING REQUESTS -- ALL PENDING PERFECTED REQUESTS

Agency / Component	SIMPLE			COMPLEX			EXPEDITED PROCESSING		
	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days
FAA	1355	141	285	535	165	295	0	N/A	N/A
FHWA	30	42.5	68	13	122	145	4	335	319
FMCSA	1787	93	103	1423	232	253	0	N/A	N/A
FRA	20	272.5	253	148	115.5	177	0	N/A	N/A
FTA	30	87	296	0	N/A	N/A	0	N/A	N/A
GLS	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A
MARAD	13	116	161	7	408	339	0	N/A	N/A
NHTSA	214	167.5	245	123	416	499	0	N/A	N/A
OIG	21	74	109	6	117	168	0	N/A	N/A
OST	23	146	298	639	585	577	21	185	276
PHMSA	57	63	122	70	310	405	0	N/A	N/A
AGENCY OVERALL	3550	102	245.68	2964	298	351.28	25	196	283

VII.E. PENDING REQUESTS -- TEN OLDEST PENDING PERFECTED REQUESTS

Agency / Component		10th Oldest Request	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Request
FAA	Date of Receipt	2014-08-08	2014-07-10	2014-07-01	2014-06-19	2014-05-23	2014-03-27	2014-03-24	2014-02-06	2014-01-27	2014-01-09
	Number of Days Pending	1817	1838	1842	1852	1868	1909	1926	1933	1951	1962
FHWA	Date of Receipt	2020-12-21	2020-12-07	2020-12-02	2020-11-10	2020-11-06	2020-08-14	2020-07-01	2019-11-26	2019-11-12	2019-10-09
	Number of Days Pending	197	207	210	224	226	284	315	465	475	497
FMCSA	Date of Receipt	2018-11-26	2018-11-07	2018-10-25	2018-10-16	2018-10-15	2018-09-10	2018-08-10	2018-04-03	2017-04-12	2016-11-14
	Number of Days Pending	717	728	737	744	745	769	789	880	1124	1226
FRA	Date of Receipt	2019-11-20	2019-10-29	2019-05-06	2019-03-26	2019-02-21	2018-09-24	2018-09-19	2018-03-15	2017-06-29	2017-06-29
	Number of Days Pending	469	484	606	635	658	759	762	893	1069	1069
FTA	Date of Receipt	2020-02-24	2020-02-24	2019-04-16	2018-10-03	2018-05-30	2018-02-07	2018-01-30	2018-01-30	2018-01-30	2017-09-14

	Number of Days Pending	406	406	620	752	840	918	924	924	924	1016
GLS	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
MARAD	Date of Receipt	2021-04-13	2021-04-13	2021-03-04	2020-05-18	2020-03-24	2020-02-19	2019-11-01	2019-05-10	2019-04-11	2018-11-28
	Number of Days Pending	120	120	148	346	385	409	481	602	623	715
NHTSA	Date of Receipt	2017-08-17	2017-07-19	2017-05-25	2017-04-25	2016-07-19	2015-12-01	2015-01-30	2014-12-06	2014-07-22	2014-05-27
	Number of Days Pending	977	1056	1093	1115	1307	1466	1676	1712	1807	1846
OIG	Date of Receipt	2021-03-31	2021-03-24	2021-03-04	2021-01-26	2021-01-25	2021-01-25	2020-08-24	2020-06-17	2020-06-17	2019-12-10
	Number of Days Pending	128	133	147	173	174	174	277	324	324	455
OST	Date of Receipt	2017-03-27	2017-03-27	2017-03-24	2017-02-23	2017-02-21	2017-02-17	2017-02-06	2017-02-06	2017-02-03	2017-02-01
	Number of Days Pending	1136	1136	1137	1158	1160	1161	1170	1170	1171	1173

PHMSA	Date of Receipt	2018-06-21	2018-06-06	2018-04-09	2018-04-03	2018-03-09	2018-02-16	2018-02-14	2017-09-25	2017-09-12	2017-03-13
	Number of Days Pending	824	835	876	880	897	911	913	1009	1018	1146
AGENCY OVERALL	Date of Receipt	2017-02-06	2017-02-03	2017-02-01	2016-11-14	2016-07-19	2015-12-01	2015-01-30	2014-12-06	2014-07-22	2014-05-27
	Number of Days Pending	1170	1171	1173	1226	1307	1466	1676	1712	1807	1846

VIII.A. REQUESTS FOR EXPEDITED PROCESSING

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate	Number Adjudicated Within Ten Calendar Days
FAA	5	175	<1	4	170
FHWA	6	2	0.5	2.75	7
FMCSA	0	0	0	0	0
FRA	0	0	0	0	0
FTA	0	0	0	0	0
GLS	0	0	0	0	0
MARAD	0	1	9	9	1
NHTSA	0	1	11	11	0
OIG	1	4	1	1	5
OST	1	0	3	3	1
PHMSA	0	7	8	19.71	4
AGENCY OVERALL	13	190	<1	6.59	188

VIII.B. Requests for Fee Waiver

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate
FAA	21	53	<1	15
FHWA	34	2	<1	2.39
FMCSA	0	0	N/A	N/A
FRA	18	0	<1	<1
FTA	0	0	N/A	N/A
GLS	0	0	N/A	N/A
MARAD	1	0	<1	<1
NHTSA	0	0	N/A	N/A
OIG	13	4	1	1
OST	0	0	N/A	N/A
PHMSA	0	2	0.5	0.5
AGENCY OVERALL	87	61	<1	7.82

IX. FOIA Personnel and Costs

Agency / Component	PERSONNEL			COSTS		
	Number of "Full-Time FOIA Employees"	Number of "Equivalent Full-Time FOIA Employees"	Total Number of "Full- Time FOIA Staff"	Processing Costs	Litigation- Related Costs	Total Costs
FAA	24	31.08	55.08	8288636.19	50441.00	8339077.19
FHWA	2	4.28	6.28	1070999.89	6878.00	1077877.89
FMCSA	16	0.00	16.00	1813333.40	5000.00	1818333.40
FRA	3	1.80	4.80	1715015.18	402.00	1715417.18
FTA	0	1.60	1.60	240077.83	0.00	240077.83
GLS	0	0.02	0.02	26133.97	0.00	26133.97
MARAD	2	0.50	2.50	357524.64	0.00	357524.64
NHTSA	2	5.04	7.04	1044954.30	20635.00	1065589.30
OIG	2	1.40	3.40	438220.97	4585.56	442806.53
OST	5	0.16	5.16	820660.07	22240.00	842900.07
PHMSA	2	1.93	3.93	472268.24	10284.44	482552.68
AGENCY OVERALL	58	47.81	105.81	16287824.68	120466.00	16408290.68

X. Fees Collected for Processing Requests

Agency / Component	Total Amount of Fees Collected	Percentage of Total Costs
FAA	176,140.77	2.1300
FHWA	8622.00	0.8100
FMCSA	0.00	0.0000
FRA	0.00	0.0000
FTA	0.00	0.0000
GLS	0.00	0.0000
MARAD	4,858.00	1.3600
NHTSA	0.00	0.0000
OIG	0.00	0.0000
OST	0.00	0.0000
PHMSA	344.00	0.0700
AGENCY OVERALL	189,964.77	1.1700

XI.A. Number of Times Subsection (C) Used

Agency / Component	Number of Times Subsection Used
FAA	0
FHWA	0
FMCSA	0
FRA	0
FTA	0
GLS	0
MARAD	0
NHTSA	0
OIG	0
OST	0
PHMSA	0
AGENCY OVERALL	0

XI.B. Number of Subsection (A)(2) Postings

Agency / Component	Number of Records Posted by the FOIA Office	Number of Records Posted by Program Offices
FAA	0	0
FHWA	1	0
FMCSA	876	0
FRA	1	0
FTA	0	0
GLS	0	0
MARAD	0	0
NHTSA	146	0
OIG	0	210
OST	16	0
PHMSA	0	0
AGENCY OVERALL	1040	210

XII.A. Backlogs of FOIA Requests and Administrative Appeals

Agency / Component	Number of Backlogged Requests as of End of Fiscal Year	Number of Backlogged Appeals as of End of Fiscal Year
FAA	688	146
FHWA	31	0
FMCSA	2784	4
FRA	156	0
FTA	20	0
GLS	0	0
MARAD	16	2
NHTSA	316	4
OIG	26	2
OST	666	0
PHMSA	108	3
AGENCY OVERALL	4811	161

XII.B. CONSULTATIONS ON FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING CONSULTATIONS

Agency / Component	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>Start</u> of the Fiscal Year	Number of Consultations <u>Received</u> from Other Agencies During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Processed</u> by the Agency During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>End</u> of the Fiscal Year
FAA	30	5	2	33
FHWA	0	0	0	0
FMCSA	0	0	0	0
FRA	0	0	0	0
FTA	0	0	0	0
GLS	0	0	0	0
MARAD	0	4	4	0
NHTSA	3	0	0	3
OIG	1	5	5	1
OST	0	8	6	2
PHMSA	0	0	0	0
AGENCY OVERALL	34	22	17	39

MARAD	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
NHTSA	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2020-05-12	2020-04-30	2020-04-14
	Number of Days	0	0	0	0	0	0	0	350	358	370
OIG	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2021-08-11
	Number of Days	0	0	0	0	0	0	0	0	0	35
OST	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2021-09-21	2021-08-25
	Number of Days	0	0	0	0	0	0	0	0	8	26
PHMSA	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	Date	N/A	N/A	N/A	N/A	N/A	2021-09-21	2021-08-25	2020-05-12	2020-04-30	2020-04-14
	Number of Days	0	0	0	0	0	8	26	350	358	370

XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- REQUESTS RECEIVED AND PROCESSED

Agency / Component	NUMBER OF REQUESTS <u>RECEIVED</u>		NUMBER OF REQUESTS <u>PROCESSED</u>	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
FAA	8486	8702	10056	8714
FHWA	302	241	277	270
FMCSA	4174	5171	3513	4317
FRA	278	269	330	266
FTA	264	224	274	218
GLS	5	2	5	2
MARAD	90	97	87	115
NHTSA	286	289	214	182
OIG	132	95	121	89
OST	338	402	263	382
PHMSA	277	248	293	199
AGENCY OVERALL	14632	15740	15433	14754

XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED REQUESTS

Agency / Component	Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report
FAA	1027	688
FHWA	61	31
FMCSA	2033	2784
FRA	163	156
FTA	15	20
GLS	0	0
MARAD	36	16
NHTSA	216	316
OIG	14	26
OST	649	666
PHMSA	58	108
AGENCY OVERALL	4272	4811

XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- APPEALS RECEIVED AND PROCESSED

Agency / Component	NUMBER OF APPEALS <u>RECEIVED</u>		NUMBER OF APPEALS <u>PROCESSED</u>	
	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
FAA	68	58	41	22
FHWA	5	7	4	8
FMCSA	6	2	1	3
FRA	2	1	2	1
FTA	5	0	4	0
GLS	0	0	0	0
MARAD	6	4	6	3
NHTSA	14	10	14	12
OIG	4	7	5	4
OST	8	3	7	6
PHMSA	1	8	1	5
AGENCY OVERALL	119	100	85	64

XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED APPEALS

Agency / Component	Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report
FHWA	0	0
FMCSA	5	4
FRA	3	0
FTA	3	0
GLS	0	0
MARAD	1	2
NHTSA	5	4
OIG	0	2
OST	3	0
PHMSA	0	3
FAA	106	146
AGENCY OVERALL	126	161