

Department of Transportation American Rescue Plan (ARP) Act Enacted March 11, 2021, Financial Summary through Q3 FY 2021 <i>(in thousands)</i>								
Operating Administration	Appropriation	Budget Authority	Made Available	Obligations	Outlays	Unobligated Balance	% Obligated	% Outlaid
Federal Aviation Administration		<u>\$8,009,000</u>	<u>\$8,000,000</u>	<u>\$87,008</u>	<u>\$5,426</u>	<u>\$7,921,992</u>	<u>1%</u>	<u>0%</u>
	Airport Improvement Program (M)	\$8,000,000	\$8,000,000	\$87,008	\$5,426	\$7,912,992	1%	0%
	Employee Leave Fund (M)	\$9,000	\$0	\$0	\$0	\$9,000	0%	0%
Federal Transit Administration		<u>\$30,461,356</u>	<u>\$28,223,794</u>	<u>\$353,132</u>	<u>\$4,315</u>	<u>\$30,108,224</u>	<u>1%</u>	<u>0%</u>
	Transit Infrastructure Grants (M)	\$30,461,356	\$28,223,794	\$353,132	\$4,315	\$30,108,224	1%	0%
Federal Railroad Administration		<u>\$1,700,000</u>	<u>\$1,698,000</u>	<u>\$1,698,000</u>	<u>\$1,698,000</u>	<u>\$2,000</u>	<u>100%</u>	<u>100%</u>
	NE Corridor Grants to Amtrak (M)	\$970,388	\$969,388	\$969,388	\$969,388	\$1,000	100%	100%
	National Network Grants to Amtrak (M)	\$729,612	\$728,612	\$728,612	\$728,612	\$1,000	100%	100%
Office of the Secretary of Transportation		<u>\$3,000,000</u>	<u>\$3,000,000</u>	<u>\$7,735</u>	<u>\$0</u>	<u>\$2,992,265</u>	<u>0%</u>	<u>0%</u>
	Aviation Manufacturing Jobs Protec. (M)	\$3,000,000	\$3,000,000	\$7,735	\$0	\$2,992,265	0%	0%
Department of Transportation Total		\$43,170,356	\$40,921,794	\$2,145,875	\$1,707,741	\$41,024,481	5%	4%

Federal Aviation Administration: ARP includes \$8 billion in funding for relief to airports and rent relief to eligible airport concessions and \$9 million to support FAA employees COVID-19 related leave.

FY 2021 Q3 Accomplishments:

- On June 22, FAA announced Airport Rescue Grants allocations, which provide roughly \$8 billion in funding for relief to 3,047 airports, including \$800 million for rent relief to eligible airport concessions.
- FAA has started obligating ARPA funds to make 2021 AIP and Supplemental Discretionary grants at a 100% Federal share. To date, FAA has obligated \$87 million and outlaid \$5 million for this purpose.

FY 2021 Q4 Outlook:

- FAA will process applications as they are received and make grant offers for nearly \$7.4 billion in grants. FAA will reimburse airport sponsors' operational expenses, debt service payments, and rent relief provided to eligible airport concessions. FAA will continue obligating roughly \$600 million in ARPA funds for 100% Federal share on FY 2021 AIP and Supplemental Discretionary grants.

Federal Transit Administration: The \$30.5 billion received by FTA through ARP supports existing programs such as the urbanized and rural transit formula programs to offset lost revenue. ARP funds also support intercity bus operators and include \$2.2 billion for urban and rural areas that require additional assistance.

FY 2021 Q3 Accomplishments:

- FTA has allocated \$28 billion across 4 programs to provide relief for transit agencies impacted by COVID-19.
- FTA has supported the obligation of \$377 million in a total of 36 grants across the country. For example, FTA awarded \$20.8 million to the Greater Dayton Regional Transit Authority to fund critical continued public transit operations operating expenses with ARP funds.
- FTA has disbursed over \$4 million to recipients to support expenses incurred in operating continued transit service to meet the needs of essential employees and underserved communities reliant on transit.

FY 2021 Q4 Outlook:

- In the next three months, FTA expects to obligate over \$1 billion in additional ARP funding.
- By September, FTA will make \$2.2 billion in ARP additional assistance funds available.

Federal Railroad Administration: Similar to previous COVID-19 supplementals, the \$1.7 billion received by FRA through ARP is intended to offset lost revenue and support continued operations for Amtrak.

FY 2021 Q3 Accomplishments:

- FRA amended existing FY 2021 Amtrak grant agreements and obligated the \$1.7 billion on April 13. The \$1.7 billion was formally disbursed to Amtrak on April 19.
- Through May, Amtrak had expended \$292 million in ARP funds. These expenditures have primarily covered operating losses on Amtrak's Long Distance and State-Supported routes.
- ARP funds have enabled Amtrak to restore daily service on the 12 Long Distance routes that were reduced in 2020. The service restorations began on May 24 and all 12 routes were restored as of June 7.
- ARP funds have also allowed Amtrak to recall the 1,222 employees who were furloughed in 2020. The remaining furloughed employees were recalled on June 3.

FY 2021 Q4 Outlook:

- Amtrak ridership continues to recover. April 2021 marked Amtrak's highest ridership since the pandemic began (over 861,000 riders) and preliminary May and June data shows further improvement, with Amtrak averaging over 240,000 customer trips per week in May and 320,000 per week during the first two weeks of June. The summer months are typically Amtrak's highest ridership, and as the vaccinated population and leisure travel in particular continue to increase, Amtrak ridership should continue to increase and exceed the initial FY 2021 projection of 8.6 million riders.
- Several of Amtrak's State-Supported routes are planning to increase service frequencies this summer (or have already done so), including the Downeaster, Cascades, and Pacific Surfliner. The Vermonter and Ethan Allen Express – which suspended all Vermont service in March 2020 – will resume service in July.

Office of the Secretary of Transportation: ARP includes a new program, the Aviation Manufacturing Jobs Protection (AMJP) Program, which provides \$3 billion to help cover employee compensation at eligible aircraft manufacturing, maintenance, and repair businesses.

FY 2021 Q3 Accomplishments:

- OST completed the Paperwork Reduction Act requirements and secured emergency approval from OMB for data collection necessary to implement the program.
- OST staff supporting the AMJP Program conducted extensive public outreach, particularly to the smallest potential recipients, including socioeconomically disadvantaged businesses in underserved communities, conducted a public webinar with more than 13,500 invitees, and published 58 FAQs on the DOT website.
- Retained and onboarded a nationally recognized financial support contractor and selected a web-based platform for application intake, review, and management.
- Secured OMB approval of 2 CFR Implementation Plan, Federal Register Notice, and application form / instructions.
- Launched of the application process in mid-June, less than 100 days after enactment.

FY 2021 Q4 Outlook:

- Conduct a second public webinar with more specific focus on the application process.
- Evaluation and validation of applications in late July and August.
- Brief OMB and conduct Congressional notification prior to award announcements.

Department of Transportation
Coronavirus Response and Relief Supplemental Appropriations (CRRSA) Act
Enacted December 27, 2020, Financial Summary through Q3 FY 2021
(in thousands)

Operating Administration	Appropriation	Budget Authority	Made Available	Obligations	Outlays	Unobligated Balance	% Obligated	% Outlayed
Federal Aviation Administration		<u>\$2,000,000</u>	<u>\$2,000,000</u>	<u>\$1,874,627</u>	<u>\$138,843</u>	<u>\$125,373</u>	<u>94%</u>	<u>7%</u>
	Airport Improvement Program (D)	\$2,000,000	\$2,000,000	\$1,874,627	\$138,843	\$125,373	94%	7%
Federal Highway Administration		<u>\$10,000,000</u>	<u>\$10,000,000</u>	<u>\$2,384,778</u>	<u>\$1,148,636</u>	<u>\$7,615,222</u>	<u>24%</u>	<u>11%</u>
	Highway Infrastructure Programs (D)	\$10,000,000	\$10,000,000	\$2,384,778	\$1,148,636	\$7,615,222	24%	11%
Federal Transit Administration		<u>\$14,000,000</u>	<u>\$14,000,000</u>	<u>\$3,972,223</u>	<u>\$1,107,545</u>	<u>\$10,027,777</u>	<u>28%</u>	<u>8%</u>
	Transit Infrastructure Grants (D)	\$14,000,000	\$14,000,000	\$3,972,223	\$1,107,545	\$10,027,777	28%	8%
Federal Railroad Administration		<u>\$1,000,000</u>	<u>\$998,985</u>	<u>\$998,985</u>	<u>\$998,985</u>	<u>\$1,015</u>	<u>100%</u>	<u>100%</u>
	NE Corridor Grants to Amtrak (D)	\$655,431	\$655,431	\$655,431	\$655,431	\$0	100%	100%
	National Network Grants to Amtrak (D)	\$344,569	\$343,554	\$343,554	\$343,554	\$1,015	100%	100%
Office of the Secretary of Transportation		<u>\$23,332</u>	<u>\$23,332</u>	<u>\$23,332</u>	<u>\$18,118</u>	<u>\$0</u>	<u>100%</u>	<u>78%</u>
	Essential Air Service (M)	\$23,332	\$23,332	\$23,332	\$18,118	\$0	100%	78%
	Salaries and Expenses (SCASDP - D)	[\$4,000]	[\$4,000]	\$0	\$0	[\$4,000]	0%	0%
Department of Transportation Total		\$27,023,332	\$27,022,317	\$9,253,945	\$3,412,127	\$17,769,387	34%	13%

CRRSA Implementation and Execution through Q3 FY 2021:

- FAA announced the availability of CRRSA grant funding on February 12. Including the allocation of unallocated CARES funds, CRRSA provided roughly \$2.28 billion in funding for relief to 3,048 airports, including \$200 million for airport concessions relief. To date, FAA has processed over 2,000 CRRSA grants and over 300 concessions grants.
- FHWA has apportioned all Highway Infrastructure Program funding to the States, and has provided \$48 million directly to Tribes, while working with the Bureau of Indian Affairs (BIA) at the Department of Interior to provide \$66 million for those Tribes with direct agreements with BIA.
- FTA made CRRSA grant funding available in January 2021 and has awarded 118 grants for \$3.95 billion
- FRA obligated and disbursed CRRSA funds to Amtrak in February 2021. Through May, Amtrak had expended about \$617 million (62%) of the \$1 billion provided.
- OST amended the existing SCASDP NOFO to include the up to \$5 million available through CRRSA in January 2021 and is currently reviewing applications.

Expected Activity through Q4 FY 2021:

- The deadline to apply for airports to apply for CRRSA funding is June 30th, 2021. FAA is actively reaching out to airport sponsors that have not yet applied to make sure they do by the deadline. FAA will obligate the full \$2 billion in CRRSA funding by September 30th.
- FTA is actively processing CRRSA grants, with approximately 176 grants currently under reviewed requesting over \$7.8 billion.
- FRA will continue to track expenditure of CRRSA funding for Amtrak, approximately 50% is remaining for NEC and 33% per for the National Network.
- SCASDP grants utilizing the \$4 million provided in CRRSA are expected to be awarded in Summer of 2021.

Department of Transportation
Coronavirus Aid, Relief, and Economic Security (CARES) Act
Enacted March 27, 2020, Financial Summary through Q3 FY 2021
(in thousands)

Operating Administration	Appropriation	Budget Authority	Made Available	Obligations	Outlays	Unobligated Balance	% Obligated	% Outlayed
Federal Aviation Administration		<u>\$10,025,000</u>	<u>\$10,024,956</u>	<u>\$9,716,144</u>	<u>\$6,830,009</u>	<u>\$308,856</u>	<u>97%</u>	<u>68%</u>
	Operations (D)	\$25,000	\$24,956	\$24,956	\$23,838	\$44	100%	95%
	Airport Improvement Program (D)	\$10,000,000	\$10,000,000	\$9,691,188	\$6,806,171	\$308,812	97%	68%
Federal Transit Administration		<u>\$25,000,000</u>	<u>\$25,000,000</u>	<u>\$24,311,947</u>	<u>\$19,158,226</u>	<u>\$688,053</u>	<u>97%</u>	<u>77%</u>
	Transit Infrastructure Grants (D)	\$25,000,000	\$25,000,000	\$24,311,947	\$19,158,226	\$688,053	97%	77%
Federal Railroad Administration		<u>\$1,018,250</u>	<u>\$1,018,247</u>	<u>\$1,018,247</u>	<u>\$1,018,220</u>	<u>\$3</u>	<u>100%</u>	<u>100%</u>
	NE Corridor Grants to Amtrak (D)	\$492,000	\$492,000	\$492,000	\$492,000	\$0	100%	100%
	National Network Grants to Amtrak (D)	\$526,000	\$526,000	\$526,000	\$526,000	\$0	100%	100%
	Safety and Operations (D)	\$250	\$247	\$247	\$220	\$3	99%	88%
Office of the Secretary of Transportation		<u>\$57,753</u>	<u>\$57,753</u>	<u>\$57,753</u>	<u>\$49,252</u>	<u>\$0</u>	<u>100%</u>	<u>85%</u>
	Essential Air Service (M)	\$56,000	\$56,000	\$56,000	\$47,499	\$0	100%	85%
	Salaries and Expenses (D)	\$1,753	\$1,753	\$1,753	\$1,753	\$0	100%	100%
Federal Motor Carrier Safety Administration		<u>\$150</u>	<u>\$136</u>	<u>\$136</u>	<u>\$136</u>	<u>\$14</u>	<u>90%</u>	<u>91%</u>
	Operations and Programs (M)	\$150	\$136	\$136	\$136	\$14	90%	91%
Maritime Administration		<u>\$4,134</u>	<u>\$3,945</u>	<u>\$3,945</u>	<u>\$3,724</u>	<u>\$189</u>	<u>95%</u>	<u>90%</u>
	Operations & Training (D)	\$3,134	\$2,945	\$2,945	\$2,724	\$189	94%	87%
	State Maritime Academy Operations (D)	\$1,000	\$1,000	\$1,000	\$1,000	\$0	100%	100%
Office of the Inspector General		<u>\$5,000</u>	<u>\$82</u>	<u>\$82</u>	<u>\$82</u>	<u>\$4,918</u>	<u>2%</u>	<u>2%</u>
	Salaries and Expenses (D)	\$5,000	\$82	\$82	\$82	\$4,918	2%	2%
Department of Transportation Total		\$36,110,287	\$36,105,119	\$35,108,254	\$27,059,650	\$1,002,033	97%	75%

CARES Implementation and Execution through Q3 FY 2021:

- CARES funding is almost fully obligated, with approximately \$1 billion remaining between FAA and FTA.
- FAA has processed over 2,100 grants to over 3,200 airports totaling approximately \$8.9 billion. Additional unallocated CARES Act funding has been obligated through CRRSA, approximately \$279 million. FAA has outlayed more than two-thirds of CARES Act funding.
- FTA has outlayed approximately 75% of the CARES Act funding and is continuing work on liquidating obligations.
- Amtrak has fully expended all CARES Act funding provided by FRA.
- Funding to support operations of the Department during the pandemic in OST, FMCSA, FRA, FAA and MARAD is almost fully obligated.

Expected Activity through Q4 FY 2021:

- There are nine airports that have not yet applied for or declined their allocations. FAA will continue to process those applications as they are received. FAA also will continue to process applications to use CARES funds, including currently unobligated funds, for airport development.
- Similarly, FTA continues to award CARES Act grants, and is currently processing about 88 applications for over \$274 million.
- OIG has obligated only a small portion of the CARES Act funding. As oversight and review of CARES Act and other COVID-supplemental funding takes place, these funds will be obligated.